

October 2016

**PANTHEON**  
Investment Group

Thomas A. Moritz

Pantheon Investment Group, LLC  
626 South Lake Avenue  
Pasadena, CA 91106  
[www.pantheonfunds.com](http://www.pantheonfunds.com)

This brochure supplement provides information about Mr. Moritz that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Moritz at (626) 833-4763 if you did not receive Pantheon Investment Group, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Moritz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #1864800.

## Item 2: Educational Background & Business Experience

**Thomas A. Moritz**  
**Year of Birth:** 1960

### **Educational Background:**

- 1992: Claremont Graduate University; MBA - International Finance
- 1987: University of Southern California; BS - Business Administration

### **Business Background:**

- 06/2016 – Present     Pantheon Investment Group, LLC; Chief Compliance Officer
- 01/2014 – 06/2016     Clifford Swan Investment Counsel; Portfolio Manager
- 11/2013 – 01/2014     D.A. Davidson & Co.; Financial Advisor
- 04/2006 – 11/2013     Crowell Weedon; Portfolio Manager

### **Exams, Licenses & Other Professional Designations:**

- 06/1999: Chartered Financial Analyst (CFA)

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Moritz.

## Item 4: Other Business Activities

Mr. Moritz does not have any outside business activities to report.

### **Item 5: Additional Compensation**

Mr. Moritz does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Moritz is the firm's sole owner and Chief Compliance Officer and has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Moritz has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy