

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
July 2016**

**Yvonne R. O'Neill**



**O'Neill Financial Management, Inc.  
23201 Lake Center Drive, Suite 215  
Lake Forest, CA 92630  
[www.oneillfm.com](http://www.oneillfm.com)**

**Firm Contact:  
John O'Neill Jr.  
Chief Compliance Officer**

This brochure supplement provides information about Yvonne O'Neill that supplements our brochure. You should have received a copy of that brochure. Please contact John O'Neill, Jr. if you did not receive O'Neill Financial Management, Inc.'s brochure or if you have any questions about the contents of this supplement. Additional information about Yvonne O'Neill is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #3216749.

## Item 2: Educational Background & Business Experience

**Yvonne R. O'Neill**  
**Year of Birth:** 1952

### **Educational Background:**

- 1981: California State University, Fullerton; Bachelor of Arts in Business Administration, Accounting Concentration

### **Business Background:**

- 04/2016 – Present O'Neill Financial Management, Inc.; Owner & Investment Adviser Representative
- 02/2012 – 04/2016 Independent Financial Group, LLC; Registered Representative & Investment Adviser Representative
- 07/1999 – 02/2012 Genworth Financial Securities Corporation; Registered Representative & Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 06/2003: Series 65 Exam
- 11/1983: Certified Public Accountant

CERTIFIED PUBLIC ACCOUNTANT, CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Yvonne O'Neill.

## Item 4: Other Business Activities

Yvonne O'Neill is a Certified Public Accountant. In such capacity, she may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Clients have the option of engaging our representative for tax preparation or accounting services, however, they are under no obligation to do so.

### **Item 5: Additional Compensation**

Yvonne O'Neill does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

John O'Neill, Jr., Chief Compliance Officer of O'Neill Financial Management, Inc., supervises and monitors Yvonne O'Neill's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact John O'Neill, Jr. if you have any questions about Yvonne O'Neill's brochure supplement at 949-582-1577.

### **Item 7: Requirements for State-Registered Advisers**

Yvonne O'Neill has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.