

**FIRSTRADE ADVISOR, LLC**

**30-50 Whitestone Expressway, Building A301  
Flushing, NY 11354**

**Firm Contact:  
Eng Lian, Chief Compliance Officer**

**Firm Website Address:  
[www.firstradeadvisor.com](http://www.firstradeadvisor.com)**

March 22, 2016

Eng Lian  
Eng Lian

Kwon Mei  
Kwon Mei

THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ON OUR PERSONNEL LISTED ABOVE AND SUPPLEMENTS THE FIRSTRADE ADVISOR, LLC (“FIRSTRADE”) BROCHURE (“BROCHURE”). YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT OUR CHIEF COMPLIANCE OFFICER (“CCO”) ENG LIAN AT (718) 269-1504 OR BY EMAIL TO [ENG.LIAN@FIRSTRADE.COM](mailto:ENG.LIAN@FIRSTRADE.COM). IF YOU HAVE NOT RECEIVED A COPY OF FIRSTRADE’S BROCHURE OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT. ADDITIONAL INFORMATION ABOUT THE PERSONNEL LISTED ABOVE IS AVAILABLE ON THE SEC’S WEBSITE AT [WWW.ADVISERINFO.SEC.GOV](http://WWW.ADVISERINFO.SEC.GOV).

**ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Name: Eng Lian

Year of Birth: 1959

Educational Background:

MBA from Pace University in 1985

BBA in Accounting from Pace University in 1983

Past Five Years Business Experience:

March 2016 to Present:	Firstrade Advisor, LLC	Chief Compliance Officer
October 2014 to Present:	Firstrade Securities, Inc.	Chief Compliance Officer
June 2014 to October 2014:	NYLife Securities, LLC	Registered Representative
November 2006 to May 2014:	Citigroup Global Markets Inc.	Branch Manager

Past Five Years Business Experience:

March 2016 to Present:	Firstrade Advisor, LLC	Chief Compliance Officer
October 2014 to Present:	Firstrade Securities, Inc.	Chief Compliance Officer
June 2014 to October 2014:	NYLife Securities, LLC	Registered Representative
November 2006 to May 2014:	Citigroup Global Markets Inc.	Branch Manager

**ITEM 3. DISCIPLINARY INFORMATION**

Mr. Lian has not been the subject of any material legal or disciplinary event.

**ITEM 4. OTHER BUSINESS ACTIVITIES**

Mr. Lian works as Chief Compliance Officer (“CCO”) and registered broker-dealer on behalf of Firstrade Securities, Inc (“Firstrade Securities”). Firstrade is a wholly-owned subsidiary of Firstrade Securities. This relationship is material to Firstrade’s advisory business in that, generally, the trades of all clients on the wrap-fee platform will be directed to Firstrade Securities, subject to the obligations to obtain best execution. The structure of a wrap platform inherently creates a conflict of interest in that, generally, all trades are executed through a specific, designated broker-dealer. This particular relationship creates a potential conflict of interest as Firstrade Securities will receive the portion of the wrap-fee charged to clients dedicated to brokerage commissions. All of Firstrade’s supervised persons are subject to the ethical standards laid out in our Code of Ethics, which includes a fiduciary duty to clients to consider said client’s interests above personal gain.

**ITEM 5. ADDITIONAL COMPENSATION**

Mr. Lian does not provide any additional advisory services for compensation.

**ITEM 6. SUPERVISION**

Although Mr. Lian does not have a direct supervisor, his activities are monitored by John Liu. Mr. Liu can be reached at (718) 269-1501. Mr. Liu supervises Mr. Lian by requiring that he adhere to Firstrade’s compliance policies and procedures and the Code of Ethics and by performing routine oversight of his activities as they relate to Firstrade or its clients.

**ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Name: Kwon Mei

Year of Birth: 1975

Educational Background:

BS from the State University of New York at Stonybrook in 2000

Business Experience:

March 2016 to Present:	Firstrade Advisor, LLC	Customer Service Representative
June 2014 to Present:	Firstrade Securities, Inc.	Customer Service Representative
April 2012 to March 2014:	Citigroup Global Markets Inc.	Citigold Relationship Manager
December 2009 to April 2012:	Chase Investment Services	Financial Advisor

Business Experience:

March 2016 to Present:	Firstrade Advisor, LLC	Customer Service Representative
June 2014 to Present:	Firstrade Securities, Inc.	Customer Service Representative
April 2012 to March 2014:	Citigroup Global Markets Inc.	Citigold Relationship Manager
December 2009 to April 2012:	Chase Investment Services	Financial Advisor

**ITEM 3. DISCIPLINARY INFORMATION**

Mr. Mei has not been the subject of any material legal or disciplinary event.

**ITEM 4. OTHER BUSINESS ACTIVITIES**

Mr. Mei works as a registered broker-dealer on behalf of Firstrade Securities, Inc (“Firstrade Securities”). Firstrade is a wholly-owned subsidiary of Firstrade Securities. This relationship is material to Firstrade’s advisory business in that, generally, the trades of all clients on the wrap-fee platform will be directed to Firstrade Securities, subject to the obligations to obtain best execution. The structure of a wrap platform inherently creates a conflict of interest in that, generally, all trades are executed through a specific, designated broker-dealer. This particular relationship creates a potential conflict of interest as Firstrade Securities will receive the portion of the wrap-fee charged to clients dedicated to brokerage commissions. All of Firstrade’s supervised persons are subject to the ethical standards laid out in our Code of Ethics, which includes a fiduciary duty to clients to consider said client’s interests above personal gain.

**ITEM 5. ADDITIONAL COMPENSATION**

Mr. Mei does not provide any additional advisory services for compensation.

**ITEM 6. SUPERVISION**

Mr. Mei’s direct supervisor is Eng Lian and his activities are monitored by Mr Lian. Mr. Lian can be reached at (718) 269-1504. Mr. Lian supervises Mr. Mei by requiring that he adhere to Firstrade’s compliance policies and procedures and the Code of Ethics and by performing routine oversight of his activities as they relate to Firstrade or its clients.

