

*This brochure supplement provides information about Christopher Michael Marks that supplements the Sterling Investment Counsel, LLC brochure. You should have received a copy of that brochure. Please contact Christopher Michael Marks if you did not receive Sterling Investment Counsel, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Christopher Michael Marks is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Sterling Investment Counsel, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Christopher Michael Marks**

Personal CRD Number: 2875428

Investment Adviser Representative

Sterling Investment Counsel, LLC  
360 Delaware Avenue, Suite 206  
Buffalo, NY 14202  
(716) 783-7054  
[cmarks@sterinv.com](mailto:cmarks@sterinv.com)

UPDATED: 04/24/2017

## Item 2: Educational Background and Business Experience

**Name:** Christopher Michael Marks      **Born:** 1964

### **Educational Background and Professional Designations:**

#### **Education:**

J.D. Law, State University of New York at Buffalo School of Law - 1993

M.B.A. Business, St. Bonaventure University - 1988

B.S. Accounting, Villanova University - 1986

#### **Business Background:**

04/2016 - Present	Chief Compliance Officer Investment Adviser Representative Sterling Investment Counsel, LLC
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04/1997 - Present	Financial Planner Jensen, Marks, Langer & Vance LLC
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11/2007 - Present	Registered Representative, Investment Adviser Representative SII Investment, Inc.
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04/1997 - 11/2007	Registered Representative, Investment Adviser Representative 1717 Capital Management Company
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## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Christopher Michael Marks is a registered representative with SII Investments, Inc. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Sterling Investment Counsel, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services \*of\* any representative of Sterling Investment Counsel, LLC in such individual's outside capacity.

Christopher Michael Marks is an investment adviser representative with another firm, SII Investments, Inc.. From time to time, he will offer clients advice or products from this activity. Sterling Investment Counsel, LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Sterling Investment Counsel, LLC in such individual's outside capacity.

Christopher Michael Marks is a lawyer. From time to time, he will offer clients advice or services from this activity. Sterling Investment Counsel, LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Sterling Investment Counsel, LLC in their capacity as a lawyer.

Christopher Michael Marks is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Sterling Investment Counsel, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Sterling Investment Counsel, LLC in their capacity as a licensed insurance agent.

Christopher Michael Marks is a member of the Board of Trustees of The Nativity Miguel Middle School of Buffalo, New York.

Christopher Michael Marks is a member of The Board of Directors of Senotronics, Inc.

#### **Item 5: Additional Compensation**

Christopher Michael Marks does not receive any economic benefit from any person, company, or organization, other than Sterling Investment Counsel, LLC in exchange for providing clients advisory services through Sterling Investment Counsel, LLC.

## **Item 6: Supervision**

As the Chief Compliance Officer of Sterling Investment Counsel, LLC, Christopher Michael Marks supervises all activities of the firm. Christopher Michael Marks's contact information is on the cover page of this disclosure document. Christopher Michael Marks adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.