

**Form ADV Part 2B - Brochure Supplement  
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January 2017**

**Connor Campbell**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Connor Campbell that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Campbell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 6660725.

## **Item 2: Educational Background & Business Experience**

**Connor Campbell**  
**Year of Birth:** 1993

### **Educational Background:**

- Loyola Marymount University, Bachelor of Business Administration in Finance

### **Business Background:**

- 01/2017 – Present      CLG, LLC; Investment Adviser Representative
- 06/2016 – Present      Cetera Advisors Network; Registered Representative and Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 08/2016      Series 7
- 10/2016      Series 66
- 11/2016      Life & Health License

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Mr. Campbell.

## **Item 4: Other Business Activities**

Mr. Campbell is a registered representative and an investment adviser representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Campbell will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Mr. Campbell does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Campbell's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Campbell's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Connor Campbell has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Elizabeth C. Carr**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Elizabeth C. Carr that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth C. Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Carr is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #1830205.

## **Item 2: Educational Background & Business Experience**

**Elizabeth C. Carr**  
**Year of Birth:** 1966

### **Educational Background:**

- University of California, Davis; Bachelor of Science, Managerial Economics

### **Business Background:**

- 05/2016 – Present      CLG, LLC; Partner and Investment Adviser Representative
- 06/1988 – Present      Cetera Advisor Networks; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 02/2014 – Series 51
- 01/1995 – Series 63
- 04/1993 – Series 24
- 08/1988 – Series 7

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Ms. Carr.

## **Item 4: Other Business Activities**

Ms. Carr is a registered representative of Cetera Advisor Networks, member FINRA/SIPC. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Ms. Carr will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Ms. Carr does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Sarah Ehmann, Partner of CLG, LLC, supervises and monitors Ms. Carr's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Ehmann if you have any questions about Ms. Carr's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Elizabeth Carr has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Eric Anspach**

25109 Jefferson Ave, Suite 325  
Murrieta, CA 92562  
Phone: (951) 677-5115  
Fax: (951) 677-5127

This brochure supplement provides information about Eric Anspach that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth C. Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Anspach is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #5911292.

## Item 2: Educational Background & Business Experience

**Eric Van Anspach**

**Year of Birth:** 1985

**Educational Background:**

- Walla Walla University; Bachelor of Science – Business Administration; 2008

**Business Background:**

- 12/2016 – Present CLG, LLC; Investment Adviser Representative
- 01/2013 – Present Cetera Advisors Network; Registered Representative
- 04/2011 – 12/2012 Cetera Advisors Network dba Financial Network; Registered Representative

**Exams, Licenses & Other Professional Designations:**

- 09/2011 – Series 66
- 05/2011 – Series 7

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Anspach.

## Item 4: Other Business Activities

Mr. Anspach is a registered representative of Cetera Advisors Network, member FINRA/SIPC, and a licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Anspach will only recommend products that serve clients' best interest.

## Item 5: Additional Compensation

Mr. Anspach does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Anspach's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Anspach's brochure supplement at (310) 329-5150.



### **Item 7: Requirements for State-Registered Advisers**

Eric Anspach has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Gerald Mitchell**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Gerald Mitchell that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Mitchell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #6220214.

## **Item 2: Educational Background & Business Experience**

**Gerald Mitchell**  
**Year of Birth:** 1991

### **Educational Background:**

- Creighton University; Bachelor of Business Administration, Financial Planning and Bachelor in Marketing; 2014

### **Business Background:**

- 12/2016 – Present      CLG, LLC; Investment Adviser Representative
- 04/2015 – Present      Cetera Advisors Network; Registered Representative and Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 04/2015 – Series 7
- 06/2015 – Series 66

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Mr. Mitchell.

## **Item 4: Other Business Activities**

Mr. Mitchell is a registered representative and an investment adviser representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Mitchell will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Mr. Mitchell does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Mitchell's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Mitchell's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Gerald Mitchell has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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Harry Kitter

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Harry Kitter that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Kitter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #1175345.

## Item 2: Educational Background & Business Experience

**Harry Kitter**

**Year of Birth:** 1955

### **Educational Background:**

- Lafayette College; AB in Economics; 1977
- University of Pittsburgh; Master of Business Administration; 1978

### **Business Background:**

- 12/2016 – Present CLG, LLC; Investment Adviser Representative
- 01/2006 – Present Cetera Advisors Network; Registered Representative and Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 10/1984 – CPA
- 09/1983 – Series 7
- 11/1983 – Series 24, 27
- 02/1984 – Series 63
- 06/1999 – Series 65
- 10/2008 – Series 53
- 04/2013 – Series 4

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, CPAs are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Kitter.

## Item 4: Other Business Activities

Mr. Kitter is a registered representative and an investment adviser representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and

receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Kitter will only recommend products that serve clients' best interest.

#### **Item 5: Additional Compensation**

Mr. Kitter does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Kitter's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Kitter's brochure supplement at (310) 329-5150.

#### **Item 7: Requirements for State-Registered Advisers**

Harry Kitter has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Janice Cartwright**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Janice Cartwright that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Cartwright is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #842616.



## Item 2: Educational Background & Business Experience

**Janice Cartwright**  
**Year of Birth:** 1938

### **Educational Background:**

- University of Wisconsin; Bachelor of Science

### **Business Background:**

- 05/2016 – Present CLG, LLC; Partner and Investment Adviser Representative
- 01/2013 – Present Cetera Advisors Network; Registered Representative
- 07/1983 – 12/2012 Financial Network Investment Corporation; Affiliated Person

### **Exams, Licenses & Other Professional Designations:**

- Series 24
- 11/1985 – Series 63
- 06/1981 – Series 7

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Ms. Cartwright.

## Item 4: Other Business Activities

Ms. Cartwright is a registered representative of Cetera Advisors Network, member FINRA/SIPC. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Ms. Cartwright will only recommend products that serve clients' best interest.

## Item 5: Additional Compensation

Ms. Cartwright does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Ms. Cartwright's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Ms. Cartwright's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Janice Cartwright has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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John Cartwright

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about John Cartwright that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Cartwright is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #42283.

## Item 2: Educational Background & Business Experience

**John Cartwright**

**Year of Birth:** 1936

### **Educational Background:**

- University of Wisconsin; Bachelor of Science

### **Business Background:**

- 05/2016 – Present      CLG, LLC; Partner and Investment Adviser Representative
- 03/1983 – Present      Cetera Advisors Network; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- Series 24
- 11/1994 – Series 63
- 03/1963 – Series 7

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Cartwright.

## Item 4: Other Business Activities

Mr. Cartwright is a registered representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Cartwright will only recommend products that serve clients' best interest.

In addition, Mr. Cartwright is a Member of the Finance Committee at the Pauma Valley Country Club. Our firm has determined that this outside business activity does not present a conflict of interest.

## Item 5: Additional Compensation

Mr. Cartwright does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Cartwright's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Cartwright's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

John Cartwright has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Kurt Ehmann**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Kurt Ehmann that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Ehmann is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #3023897.

## **Item 2: Educational Background & Business Experience**

**Kurt Ehmann**  
**Year of Birth:** 1970

### **Educational Background:**

- Arizona State University; Bachelor of Science, Exercise Science

### **Business Background:**

- 05/2016 – Present      CLG, LLC; Partner and Investment Adviser Representative
- 03/1998 – Present      Cetera Advisors Network; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 06/2006 – Series 66
- 06/2003 – Series 63
- 04/2003 – Series 7

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Mr. Ehmann.

## **Item 4: Other Business Activities**

Mr. Ehmann is a registered representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Ehmann will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Mr. Ehmann does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Ehmann's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Ehmann's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Kurt Ehmann has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.



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**Michael L. Bishop**

2519 Jefferson Ave., Suite 325  
Murrieta, CA 92562  
Phone: (951) 677-5115  
Fax: (951) 677-5127

This brochure supplement provides information about Michael L. Bishop that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Bishop is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #1352714.

## **Item 2: Educational Background & Business Experience**

**Michael L. Bishop**  
**Year of Birth:** 1954

### **Educational Background:**

- Loma Linda University; Bachelor of Science, Biology; 1978

### **Business Background:**

- 12/2016 – Present CLG, LLC; Investment Adviser Representative
- 02/1985 – Present Cetera Advisors Network (dba Financial Network since 2012);  
Registered Representative and Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 04/1985 – Series 7
- 09/1995 – Series 63

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Mr. Bishop.

## **Item 4: Other Business Activities**

Mr. Bishop is a registered representative and an investment adviser representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Bishop will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Mr. Bishop does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Bishop's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Bishop's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Michael Bishop has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Michael Joseph**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Michael Joseph that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Joseph is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2576929.

## Item 2: Educational Background & Business Experience

**Michael Joseph**

**Year of Birth:** 1949

### **Educational Background:**

- California State University, Los Angeles; Bachelors of Science in History and Physical Education, 0000
- University of Southern California; Teaching Credential; 0000

### **Business Background:**

- 12/2016 – Present CLG, LLC; Investment Adviser Representative
- 01/2006 – Present Cetera Advisors Network; Registered Representative and Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 02/1996 - Series 7
- 03/1996 – Series 66
- 1996 – California Chartered Life Underwriter (CLU®)

### **Chartered Life Underwriter (CLU®)**

The CLU® is offered by The American College. The CLU® designation is obtained by completing 8 core, 3 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. All candidates must meet ethics standards and agree to comply with The American College Code of Ethics and Procedures. This designation requires 30 hours of continuing education every 2 years.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Joseph.

## Item 4: Other Business Activities

Mr. Joseph is a registered representative and an investment adviser representative of Cetera Advisors Network, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Mr. Joseph will only recommend products that serve clients' best interest.

### **Item 5: Additional Compensation**

Mr. Joseph does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Mr. Joseph's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Mr. Joseph's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Michael Joseph has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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Pamela Eckenrode

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Pamela Eckenrode that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth C. Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Eckenrode is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2669423.

## **Item 2: Educational Background & Business Experience**

**Pamela Eckenrode**

**Year of Birth:** 1971

### **Educational Background:**

- University of Arizona; Bachelor of Arts

### **Business Background:**

- 05/2016 – Present      CLG, LLC; Partner and Investment Adviser Representative
- 03/1995 – Present      Cetera Advisors Network; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- Certified Retirement Counselor (CRC)
- 03/2003 – Series 51
- 08/1997 – Series 24
- 02/1996 – Series 63
- 12/1995 – Series 7

### **Certified Retirement Counselor (CRC)**

This designation is obtained by completing a 200 question multiple choice exam. As a prerequisite, the IAR must have a bachelor's degree or equivalent with 2 years relevant professional experience (within the past 5 years) or a high school diploma or equivalent with 5 five years relevant professional experience (within the past 7 years) and pass a background check. This designation requires 15 hours of continuing education per year.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Ms. Eckenrode.

## **Item 4: Other Business Activities**

Ms. Eckenrode is a registered representative of Cetera Advisors Network, member FINRA/SIPC, and a licensed insurance agent/broker. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Ms. Eckenrode will only recommend products that serve clients' best interest.



### **Item 5: Additional Compensation**

Ms. Eckenrode does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Ms. Eckenrode's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Ms. Eckenrode's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Pamela Eckenrode has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Sarah Ehmann**

1025 West 190<sup>th</sup> Street, Suite 120  
Gardena, CA 90248  
Phone: (310) 329-5150  
Fax: (310) 217-9274

This brochure supplement provides information about Sarah Ehmann that supplements our brochure. You should have received a copy of that brochure. Please contact Elizabeth C. Carr, Chief Compliance Officer if you did not receive CLG, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Ehmann is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #3023886.

## **Item 2: Educational Background & Business Experience**

**Sarah Ehmann**

**Year of Birth:** 1973

### **Educational Background:**

- University of California, Santa Barbara; Bachelor of Arts

### **Business Background:**

- 05/2016 – Present      CLG, LLC; Partner and Investment Adviser Representative
- 03/1998 – Present      Cetera Advisors Network; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 03/2003 – Series 51
- 09/2000 – Series 24
- 06/1999 – Series 65
- 06/1998 – Series 63
- 05/1998 – Series 7

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to the evaluation of Ms. Ehmann.

## **Item 4: Other Business Activities**

Ms. Ehmann is a registered representative of Cetera Advisors Network, member FINRA/SIPC, and a licensed insurance agent/broker. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this conflict of interest, Ms. Ehmann will only recommend products that serve clients' best interest.

## **Item 5: Additional Compensation**

Ms. Ehmann does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Elizabeth Carr, Chief Compliance Officer of CLG, LLC, supervises and monitors Ms. Ehmann's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Carr if you have any questions about Ms. Ehmann's brochure supplement at (310) 329-5150.

### **Item 7: Requirements for State-Registered Advisers**

Sarah Ehmann has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.