

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**June 2016**

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**Brian Philip Sweeney**

**Sweeney & Michel**  
ASSET MANAGEMENT GROUP

**2452 Lakewest Drive**  
**Chico, CA 95928**  
**[www.sweeneymichelamg.com](http://www.sweeneymichelamg.com)**

**Firm Contact:**  
**Renee Michel**  
**Chief Compliance Officer**

This brochure supplement provides information about Brian Sweeney that supplements our brochure. You should have received a copy of that brochure. Please contact Renee Michel if you did not receive Sweeney & Michel's brochure or if you have any questions about the contents of this supplement. Additional information about Brian Sweeney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD#716386.

## Item 2: Educational Background & Business Experience

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**Brian Philip Sweeney**

**Year of Birth:** 1956

**Educational Background:**

- 1980; California State University, Chico; No Degree Earned
- 1978; California State University, Chico; BA; Psychology

**Business Background:**

- 03/2016 – Present      Sweeney & Michel, LLC; Managing Member & Investment Adviser Representative
- 07/1997 – Present      Cetera Advisor Networks LLC; Investment Adviser Representative
- 06/1988 – Present      Cetera Advisor Networks LLC; Registered Representative

**Exams, Licenses & Other Professional Designations:**

- 06/1994 – Series 65
- 12/1987 – Series 4
- 09/1987 – Series 24
- 02/1986 – Series 63
- 09/1980 – Series 7

## Item 3: Disciplinary Information

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There are no legal or disciplinary events material to the evaluation of Brian Sweeney.

## Item 4: Other Business Activities

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Brian Sweeney is a registered representative and investment adviser representative of Cetera Advisor Networks, LLC, member FINRA/SIPC. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned.

Brian Sweeney is also licensed insurance professionals. The receipt of insurance commissions presents a conflict of interest as the sale or implementation of insurance recommendations will result in commissions payable to our firm. Clients are under no obligation to implement any recommendations made by Brian Sweeney.

## Item 5: Additional Compensation

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Mr. Sweeney does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

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Renee Michel, Managing Member and Chief Compliance Officer of Sweeney & Michel, LLC, supervises and monitors Brian Sweeney's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Renee Michel if you have any questions about Brian Sweeney's brochure supplement at (530) 342-2900.

## **Item 7: Requirements for State-Registered Advisers**

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Brian Sweeney has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.