

**Form ADV Part 2B – Brochure Supplement  
Item 1: Cover Page  
July 2016**

**Michael Fauser**

**The Fauser Group, LLC**  
7785 Sunset Highway SE Suite 249  
Mercer Island, WA 98040

**Firm Contact:  
Michael Fauser  
Chief Compliance Officer**

This brochure supplement provides information about Michael Fauser that supplements our brochure. You should have received a copy of that brochure. Please contact Michael Fauser, Chief Compliance Officer if you did not receive a copy of our brochure or if you have any questions about the contents of this supplement. Additional information about Michael Fauser is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2695008.

## Item 2: Educational Background & Business Experience

**Michael Earl Fauser**

**Year of Birth:** 1969

### **Educational Background:**

- 1993: University of Washington; B.S. in Business & B.A. in English

### **Business Background:**

- 04/2016 – Present      The Fauser Group, LLC;  
   Managing Member and Chief Compliance Officer
- 06/2004 – 04/2016      Ameriprise Financial; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- Chartered Retirement Planning Counselor (CRPC)
- 07/2003 – Series 65
- 07/2002 – Series 24
- 12/2001 – Series 63
- 07/2001 – Series 7
- 12/1995 – Series 6

The CRPC is offered by The College for Financial Planning®. The CRPC Program focuses on the pre- and post-retirement needs of individuals. Enrollment in the program guides you through the retirement process, addressing issues such as estate planning and asset management. The College for Financial Planning® awards the Chartered Retirement Planning Counselor<sup>SM</sup> and CRPC® designation to students who: successfully complete the program; pass the final examination; and comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct promulgated by The College for Financial Planning®.

Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed. Successful students receive a certificate and are granted the right to use the designation on correspondence and business cards for a two-year period.

Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years' individuals must renew their right to continue using the CRPC® designation by: completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Fauser.

#### **Item 4: Other Business Activities**

Mr. Fauser is a licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned.

#### **Item 5: Additional Compensation**

Mr. Fauser does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Mr. Fauser is the firm's sole owner and Chief Compliance Officer and has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

#### **Item 7: Requirements for State-Registered Advisers**

Mr. Fauser has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.