

*This brochure supplement provides information about Thomas Joseph Johnson that supplements the Factor Wave, LLC brochure. You should have received a copy of that brochure. Please contact Thomas Joseph Johnson if you did not receive Factor Wave, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Thomas Joseph Johnson is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Factor Wave, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Thomas Joseph Johnson**

Personal CRD Number: 5684512

Investment Adviser Representative

Factor Wave, LLC  
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Chicago, IL 60647  
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UPDATED: 12/23/2015

## Item 2: Educational Background and Business Experience

**Name:** Thomas Joseph Johnson

**Born:** 1980

### **Educational Background and Professional Designations:**

#### **Education:**

MBA Finance, Northwestern University - 2009

BA Computational Biology, Harvey Mudd College - 2002

#### **Business Background:**

04/2015 - Present	COO Factor Wave, LLC
08/2013 - 04/2015	Self-Employed PvP Technology
06/2010 - 07/2013	Trade Engineer Allston Trading
06/2009 - 06/2010	Analyst Barclays Capital Group
09/2007 - 06/2009	Student - MBA Candidate Northwestern University Kellogg School of Management
08/2005 - 09/2007	Programmer Advertising.com
03/2005 - 07/2005	Consultant ThoughtWorks
09/2004 - 03/2005	Programmer PayPal, Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Thomas Joseph Johnson is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Thomas Joseph Johnson does not receive any economic benefit from any person, company, or organization, other than Factor Wave, LLC in exchange for providing clients advisory services through Factor Wave, LLC.

### **Item 6: Supervision**

As a representative of Factor Wave, LLC, Thomas Joseph Johnson is supervised by Euan Sinclair, the firm's Chief Compliance officer. Euan Sinclair is responsible for ensuring that Thomas Joseph Johnson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Euan Sinclair is (312) 479-2736.