

**Form ADV Part 2B – Brochure Supplement  
Item 1: Cover Page  
May 2016**

**Teresa Flores**

**Pinnacle Peak Private Client Group, LLC**  
18835 N. Thompson Peak Parkway, Suite C-204  
Scottsdale, Arizona 85255  
Phone: (480) 771-4301  
Fax: (480) 771-4305

This brochure supplement provides information about Teresa Flores that supplements our brochure. You should have received a copy of that brochure. Please contact Brian P. Jack, Chief Compliance Officer, if you did not receive Pinnacle Peak Private Client Group, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Teresa Flores is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2686520.

## Item 2: Educational Background & Business Experience

**Teresa Flores**

**Year of Birth:** 1974

### **Educational Background:**

Ms. Flores does not have a post-secondary education to disclose.

### **Business Background:**

- 01/2016 – Present Pinnacle Peak Private Client Group, LLC;  
Managing Member and Investment Adviser Representative
- 01/2016 – Present Purshe Kaplan Sterling Investments, Inc.; Registered Representative
- 04/2010 – 01/2016 Ameriprise Financial Services, Inc.; Registered Sales Associate
- 10/2008 – 04/2010 Robert W Baird; Transition Specialist
- 04/2007 – 10/2008 H&R Block Financial Advisors; Registered Sales Associate
- 08/2006 – 04/2007 UBS Financial Services Inc.; Registered Sales Associate
- 02/2006 – 08/2006 Piper Jaffray & Co.; Registered Sales Associate
- 10/2001 – 02/2006 Morgan Stanley DW Inc.; Registered Sales Associate

### **Exams, Licenses & Other Professional Designations:**

- Life, Variable, Accident and Health Insurance License
- 10/2012 – Series 66
- 04/2001 – Series 7
- 06/2000 – Series 63

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Ms. Flores.

## Item 4: Other Business Activities

Ms. Flores is a registered representatives of Purshe Kaplan Sterling Investments, Inc., member FINRA/SIPC, and licensed insurance agent/broker. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned.

### **Item 5: Additional Compensation**

Ms. Flores does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Brian P. Jack, Chief Compliance Officer of Pinnacle Peak Private Client Group, LLC, supervises and monitors Ms. Flores' activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Jack if you have any questions about Ms. Flores' brochure supplement at (480) 771-4301.

### **Item 7: Requirements for State-Registered Advisers**

Ms. Flores has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.