

**Form ADV Part 2B – Brochure Supplement  
Item 1: Cover Page  
May 2016**

**Matthew Figueroa**

**Pinnacle Peak Private Client Group, LLC**  
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This brochure supplement provides information about Matthew Figueroa that supplements our brochure. You should have received a copy of that brochure. Please contact Brian P. Jack, Chief Compliance Officer, if you did not receive Pinnacle Peak Private Client Group, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Matthew Figueroa is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #3121253.

## Item 2: Educational Background & Business Experience

**Matthew Joseph Figueroa**

**Year of Birth:** 1974

**Educational Background:**

1996; University of Redlands; Business Administration and Minor in Economics

**Business Background:**

- 04/2016 – Present Pinnacle Peak Private Client Group, LLC;  
Managing Director and Investment Adviser Representative
- 04/2016 – Present Purshe Kaplan Sterling Investments, Inc.; Registered Representative
- 11/2008 – 04/2016 Ameriprise Financial Services, Inc.; Financial Advisor
- 01/2000 – 11/2008 H&R Block Financial Advisors; Financial Advisor

**Exams, Licenses & Other Professional Designations:**

- Life and Health Insurance License
- 02/2009 – Series 31
- 08/2007 – Series 65
- 02/1999 – Series 63
- 01/1999 – Series 7

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Figueroa.

## Item 4: Other Business Activities

Mr. Figueroa is a registered representatives of Purshe Kaplan Sterling Investments, Inc., member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned.

## Item 5: Additional Compensation

Mr. Figueroa does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Brian P. Jack, Chief Compliance Officer of Pinnacle Peak Private Client Group, LLC, supervises and monitors Mr. Figueroa's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Jack if you have any questions about Mr. Figueroa's brochure supplement at (480) 771-4301.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Figueroa has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.