

BUXTON HELMSLEY

(THE BUXTON HELMSLEY GROUP, INC.)

300 Park Avenue, Floor 12
New York, NY 10022-7419

+1-917-477-3774

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This brochure provides information about the qualifications and business practices of The Buxton Helmsley Group, Inc. If you have any questions about the contents of this brochure, please contact us at +1-917-477-3774. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority. Investment adviser registration does not imply a certain level of skill or training.

Additional information about The Buxton Helmsley Group, Inc. also is available on the SEC's website at www.adviserinfo.sec.gov.

ALEXANDER E. PARKER, born 1996

Item 2. Education Background and Experience

Formal education after high school:

Mercy College, New York, N.Y. – Finance and Economics

Business Background:

2015-present The Buxton Helmsley Group, Inc.; New York, N.Y.; Chief Executive Officer

2014-present Buxton Helmsley Holdings, Inc.; New York, N.Y.; Managing Director

Item 3. Disciplinary Information

This item includes information about certain legal or disciplinary events involving this individual, such as formal investigations and disciplinary actions initiated by regulators, and certain criminal charges or convictions. Mr. Parker has no legal or disciplinary events that are required to be disclosed.

Item 4. Other Business Activities

As noted in “Business Background”, Mr. Parker has interest in and holds a Managing Director position at Buxton Helmsley Holdings, Inc., a private holding company. Mr. Parker has no other investment-related business or occupation that is required to be disclosed, and no outside business activities that are required to be disclosed.

Item 5. Additional Compensation

This item requires certain disclosure if Mr. Parker receives compensation or economic benefits other than fees from clients with respect to his advisory activities, such as sales awards or prizes. Mr. Parker does not receive any such additional economic benefits.

Item 6. Supervision

Alexander E. Parker, BH’s Chief Compliance Officer, supervises BH’s supervised persons by monitoring their compliance with BH’s Statement of Policies and Procedures, including its Code of Ethics. Alexander E. Parker also monitors the securities transactions that each supervised person enters into on behalf of clients generally using the review process described in Item 13 of BH’s Brochure. The telephone number of Alexander Parker is +1-917-477-3774.

Item 7. Requirements for State-Registered Advisers

This item includes information about certain legal or disciplinary events involving this individual, such as formal investigations and disciplinary actions initiated by regulators, and certain criminal charges or convictions. Mr. Parker has no legal, disciplinary or bankruptcy events that are required to be disclosed.

SARAH A. HODGES, born 1984

Item 2. Education Background and Experience

Formal education after high school:

Grand Valley State University, Grand Rapids, MI

Business Background:

2017-present The Buxton Helmsley Group, Inc.; New York, N.Y.; Executive Vice President

2016-present Innerform Incorporated; New York, N.Y.; President

1997-present Herremans Family Ltd Partnership; Grand Rapids, MI; Limited Partner

Item 3. Disciplinary Information

This item includes information about certain legal or disciplinary events involving this individual, such as formal investigations and disciplinary actions initiated by regulators, and certain criminal charges or convictions. Ms. Hodges has no legal or disciplinary events that are required to be disclosed.

Item 4. Other Business Activities

As noted in "Business Background", Ms. Hodges has interest in and holds a President position at Innerform Incorporated, a wellness coaching company. She also retains interest as a limited partner in a family-owned partnership, Herremans Family Ltd Partnership. The nature of the partnership's business is to hold assets for the purpose of an eventual estate transfer of a relative of Ms. Hodges. Ms. Hodges has no other investment-related business or occupation that is required to be disclosed, and no outside business activities that are required to be disclosed.

Item 5. Additional Compensation

This item requires certain disclosure if Ms. Hodges receives compensation or economic benefits other than fees from clients with respect to his advisory activities, such as sales awards or prizes. Ms. Hodges does not receive any such additional economic benefits.

Item 6. Supervision

Alexander E. Parker, BH's Chief Compliance Officer, supervises BH's supervised persons by monitoring their compliance with BH's Statement of Policies and Procedures, including its Code of Ethics. Alexander E. Parker also monitors the securities transactions that each supervised person enters into on behalf of clients generally using the review process described in Item 13 of BH's Brochure. The telephone number of Alexander Parker is +1-917-477-3774.

Item 7. Requirements for State-Registered Advisers

This item includes information about certain legal or disciplinary events involving this individual, such as formal investigations and disciplinary actions initiated by regulators, and certain criminal charges or convictions. Ms. Hodges has no legal, disciplinary or bankruptcy events that are required to be disclosed.