

*This brochure supplement provides information about Frank Fernandez that supplements the Blue Duck Wealth Management brochure. You should have received a copy of that brochure. Please contact Frank Fernandez if you did not receive Blue Duck Wealth Management's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Frank Fernandez is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Blue Duck Wealth Management**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Frank Fernandez**

Personal CRD Number: 2204725

Investment Adviser Representative

Blue Duck Wealth Management  
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UPDATED: 09/05/2017

## Item 2: Educational Background and Business Experience

**Name:** Frank Fernandez **Born:** 1965

### Educational Background and Professional Designations:

#### Education:

Business Administration Finance, Florida Atlantic - 1994

#### Business Background:

07/2017 - Present	Investment Adviser representative Blue Duck Wealth Management
06/2013 - 04/2017	Financial Advisor Securian Financial
01/2012 - 06/2013	Financial Representative Mutual of Omaha
08/2011 - 12/2011	Unemployed
05/2011 - 08/2011	Manager Trading Legend Securities
09/1997 - 05/2011	Manager Trading JP Turner

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Frank Fernandez is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Blue Duck Wealth Management always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Blue Duck Wealth Management in their capacity as a licensed insurance agent.

#### **Item 5: Additional Compensation**

Frank Fernandez does not receive any economic benefit from any person, company, or organization, other than Blue Duck Wealth Management in exchange for providing clients advisory services through Blue Duck Wealth Management.

#### **Item 6: Supervision**

As a representative of Blue Duck Wealth Management, Frank Fernandez is supervised by Lisa Arrington, the firm's Chief Compliance Officer. Lisa Arrington is responsible for ensuring that Frank Fernandez adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Lisa Arrington is (770) 642-4902.