

BRIAN CASTILLO

This brochure provides supplemental information about Brian Castillo. This supplements the Silver Oak Wealth Management, LLC ("Silver Oak Wealth") ADV Part 2 A brochure, which should have also been provided to you. Please contact us at 480 760 1689 or by email sowm@cox.net if you need the brochure or if you have any questions.

Additional information about Silver Oak Wealth Management is also available at the SEC's website www.adviserinfo.sec.gov (select "investment adviser firm" and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.

ITEM 1 – COVER PAGE BROCHURE ADV PART 2 B

JULY 12, 2016

CRD #: 281310
6201 E. CACTUS ROAD #20, SCOTTSDALE, ARIZONA, 85254
SOWM@COX.NET
(480) 760 1689

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Brian Castillo

CRD: 2942269

Year Born: 1974

Educational Background:

Certificate of Financial Planning, Florida State (2002)

Business Background:

10/2015 – Present: *Managing Member & Investment Advisor Representative*, Silver Oak Wealth Management, LLC

09/2009 – 09/2015: *Financial Advisor*, Morgan Stanley

11/2006 – 09/2009: *Financial Advisor*, Merrill Lynch

09/2002 – 11/2006: *Owner*, BRC Capital

09/1999 – 09/2002: *Representative*, Charles Schwab

08/1996 – 11/1999: *Financial Representative*, New England Financial

Professional Qualifications:

Series 7, General Securities Representative Examination (1999)

Series 63, Uniform Securities Agent Laws (1997)

Series 66, Uniform Combined State Law Examination (2007)

Professional Designation(s):

None

Explanation(s) of Designation(s):

ChFC® : (*Chartered Financial Consultant*)

This designation is a professional designation representing completion of a comprehensive course consisting of financial education, examinations and practical experience. Chartered Financial Consultant designations are granted by The American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice.

In order to be considered for the program, the applicant must already have a minimum of three years working in the financial industry. In addition, it is recommended that applicants have a degree related to finance or business before applying as it will make the program much easier.

ITEM 3 - DISCIPLINARY INFORMATION

Brian Castillo has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Brian Castillo is that of a registered investment advisor. Brian Castillo is also an insurance agent. Employees who are insurance agents may be paid for these services. In cases where we receive payment,

there may be a conflict of interest. At all times, you are free to choose outside agents to avoid the possibility of there being a conflict of interest.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Silver Oak Wealth Management and any disclosures made in Items 2 and 4 above, Brian Castillo receives no additional compensation related to outside business activities.

ITEM 6 - SUPERVISION

Brian Castillo is the sole managing owner of Silver Oak Wealth Management and is the supervising authority. He may be contacted at (480) 760-1689. Mr. Castillo remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Silver Oak Wealth Management maintains a written compliance manual that is reviewed with employees when they are hired as well as annually. Silver Oak Wealth Management has established internal policies for the guidance of its trading personnel. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Other than any disclosures made in Item 3 above, Brian Castillo has not been found liable in any additional material arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Brian Castillo has never been the subject of a bankruptcy petition.