

**JEFFERY P. LEWIS**

**FIRM SUPPLEMENTAL BROCHURE  
(ADV - PART 2B)**

**MAY 24, 2018**

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This Supplemental Brochure provides information about Jeffery P. Lewis that supplements the Firm Brochure for Epic Trust Investment Advisor, LLC. You should have received a copy of the Firm Brochure. Please contact Mr. Lewis at (509) 591-0014 if you did not receive the Adviser's Firm Brochure or if you have questions about the contents of this supplement.

Additional information about Jeffery P. Lewis is available on the Securities Exchange Commission's (SEC's) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. The CRD number for Mr. Lewis is 5892152.

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Jeffery P. Lewis**

**Born:** 1983

**Education:**

***Brigham Young University*** – Bachelor's in Business Management – 2008

**Financial Advisor Certification:**

***Series 66 Uniform Combined State Law Examination (NASAA)***

State Securities Law | April 1, 2011 [Passed]

***Series 7 General Securities Representative Examination (NASAA)***

General Industry Product | March 10, 2011 [Passed]

***Certified Financial Planner® – CFP® – 2014***

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required: Candidate must meet the following requirements: A bachelor's degree (or higher) from an accredited college or university, and 3 years of full-time personal financial planning experience

Educational Requirements: Candidate must complete a CFP board-registered program, or hold one of the following certifications, licenses or degrees: Certified Public Accountant (CPA), Chartered Financial Consultant (ChFC), Chartered Life Underwriter (CLU), Chartered Financial Analyst (CFA), Doctor of Philosophy (PhD) in business or economics, Doctor of Business Administration (DBA), or Attorney's License

Examination Type: CFP Certification Examination

Continuing Education/Experience Requirements: 30 hours every 2 years

***Chartered Retirement Planning Counselor® – CRPC® – 2011***

Issued by: College for Financial Planning

Education Requirement: Self-study courses the encompass pre- and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. The program is designed for approximately 120 to 150 hours of self-study.

Examination Type: Proctored Final Exam

Continuing Education/Experience Requirements: 30 hours every 2 years

**Business Background:**

***Epic Trust Investment Advisors LLC*** – October 2015 to Present  
– President | Investment Adviser Representative

***Northwest Insurance Alliance*** – June 2015 to Present  
– President | Life and Disability Insurance Agent

***Retirement Wealth Advisors*** – June 2015 to October 2015

– Investment Adviser Representative

***LPL Financial LLC*** – June 2014 to June 2015

– Registered Representative

***Financial Advocates Investment Management*** – June 2014 to June 2015

– Investment Adviser Representative

***Merrill Lynch, Pierce, Fenner & Smith*** – March 2011 to June 2014

– Financial Advisor

***Bank of America*** – February 2010 to January 2011

– Corporate Financial Analyst

### **ITEM 3 – DISCIPLINARY HISTORY**

Registered Investment Advisers are required to disclose all material facts regarding legal or disciplinary events that might affect your evaluation of supervised persons providing investment advice. No required disclosable information applies to this Item.

### **ITEM 4 – OTHER BUSINESS ACTIVITIES**

Mr. Lewis is a licensed Independent Life and Annuity Insurance Agent, spending approximately 20 hours per week on this activity, and may recommend these services to clients through Northwest Insurance Alliance, LLC. This other business activity pays Mr. Lewis commissions that are separate from fees described in Adviser's ADV - Part 2A, Item 5. This may be considered a conflict of interest, as commissions may give Mr. Lewis a financial incentive to recommend and sell insurance products to clients. Mr. Lewis attempts to mitigate any possible conflicts of interest for clients by informing clients they are not obligated to purchase insurance products through his insurance agency.

Mr. Lewis owns Proactive Tax Consulting, a tax preparation service. He spends approximately 10 hours per month on this activity during tax season and may recommend these services to clients. This other business activity pays Mr. Lewis fees that are separate from fees described in Adviser's ADV - Part 2A, Item 5. This may be considered a conflict of interest, as the additional fees may give Mr. Lewis a financial incentive to recommend the services to clients. Mr. Lewis attempts to mitigate any possible conflicts of interest for clients by informing clients they are not obligated to use the tax preparation services through tax preparation firm.

### **ITEM 5 – ADDITIONAL COMPENSATION**

As disclosed above in Item 4, Mr. Lewis is a licensed Independent Life and Annuity Insurance Agent and he receives commissions for the sale of insurance products. Mr. Lewis does not receive any compensation in addition to that disclosed in Adviser's ADV - Part 2A.

### **ITEM 6 – SUPERVISION**

Mr. Lewis, Adviser's designated principal, is bound by Adviser's Code of Ethics and a professional fiduciary duty to all clients and may be contacted at (509) 591-0014.

## **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

### **A. Arbitration, Civil, Self-Regulatory Organization or Administrative Proceedings**

Mr. Lewis has not been the subject of any arbitration claim, or the subject of any civil, self-regulatory organization or administrative proceeding.

### **B. Bankruptcy History**

Mr. Lewis filed for Chapter 7 bankruptcy protection in November 2009. The bankruptcy was discharged in February 2010.