

Blackridge Asset Management LLC

6631 Main Street, Suite B

Williamsville, New York 14221

(716) 568-8566

www.blackridgeasset.com

Part 2B of Form ADV

Item 1 - Brochure Supplement for Glenn C. Wiggle

January 15, 2016

Blackridge Asset Management LLC is managed through the concerted efforts of its co-owners, Glenn Wiggle, Michael Lomas and Daniel Neiman. Mr. Wiggle serves as BAM's Chief Compliance Officer and Anti-Money Laundering Officer, responsible for the day-to-day supervision of the Firm's advisory activities. Mr. Wiggle also serves as an Investment Advisor Representative of the Firm in conducting its advisory business. This Brochure Supplement provides information specific to Mr. Wiggle, as an Investment Advisor Representative, in addition to that provided in the Firm's Part 2A Disclosure Document, which you should have received a copy of. If not, please contact Mr. Wiggle at (716) 568-8566 or gwiggle@blackridgeasset.com to receive one or if you have any questions about the contents of the Disclosure Document or this Brochure Supplement. Additional information about Mr. Wiggle is available on the SEC's website at www.adviserinfo.sec.gov.

Glenn C. Wiggle

Item 2 - Educational Background and Business Experience

Glenn Wiggle was born in 1972 and graduated from Michigan State University in East Lansing, Michigan with a Bachelor of Arts degree in Finance. Mr. Wiggle is currently a Principal of our Firm and has served as the Chief Compliance Officer since 2008. From 2000 to 2015, Mr. Wiggle was also a Registered Representative of Next Financial Group, Inc., a FINRA- and SIPC-member broker/dealer. Mr. Wiggle left Next Financial Group in 2015 to form Peak Brokerage Services LLC and is currently a Registered Representative of that FINRA- and SIPC-member broker/dealer.

Mr. Wiggle is a founder and partner of The Financial Guys LLC, which has served individual client financial needs in the Western New York community through its 20+ team of Investment Professionals since 2000. In conjunction with this role, Mr. Wiggle has been the co-host of *The Financial Guys Radio Program* heard on am930 WBEN since 2000.

Mr. Wiggle is also a Partner in Neiman Funds Management LLC which is the advisor to the Neiman Funds family of mutual funds. Neiman Funds Management LLC was formed in 2009 and Mr. Wiggle has served as its Chief Compliance Officer since inception.

In 2008, Mr. Wiggle formed Independent Solutions Wealth Management LLC, an SEC-registered investment advisory firm located in Williamsville, New York. Since its inception, Mr. Wiggle has served and continues to serve in the roles of Chief Compliance Officer and Investment Advisor Representative.

In 2015, Mr. Wiggle formed Blackridge Asset Management LLC, as a New York State-registered investment advisory firm located in Williamsville, New York as well. Mr. Wiggle serves as this firm's Chief Compliance Officer and Investment Advisor Representative concurrently with his functions with Independent Solutions Wealth Management. As noted above, Mr. Wiggle also serves as a Registered Representative of Peak Brokerage Services, a broker/dealer affiliate of Blackridge.

Item 3 - Disciplinary Information

As a registered investment advisory firm, Blackridge is required to disclose the qualifications of its Investment Advisor Representatives, along with any industry-related disciplinary actions involving those individuals. Accordingly, it is herein disclosed that in 2011, a complaint was filed with FINRA against Mr. Wiggle and NEXT Financial Group alleging that in 2007 the purchases of two REITs were unsuitable and misrepresented, mutual fund class C shares were purchased by selling mutual fund class A and I shares and equities were purchased without prior knowledge or consent. The case was settled by mutual agreement among the parties involved due to projected legal costs, without any determination of validity to the allegations.

In addition in 2015, a complaint was filed with FINRA against NEXT Financial Group where Mr. Wiggle was not a named respondent, but a subject in the complaint. The customer alleges that in 2007 recommendations in one REIT and two limited partnerships were unsuitable. The

allegation has been denied by both NEXT and Mr. Wiggle based on the facts that the client was an accredited and experienced investor and understood the risks of the investments involved. The case is open and a hearing date is pending.

Item 4 - Other Business Activities

As a Registered Representative of Peak Brokerage Services, Mr. Wiggle may sell investment and insurance products to Blackridge's clients and receive a commission from those sales. He is also a licensed insurance agent and may sell commission-compensated life and health insurance products to clients.

Through The Financial Guys LLC, Mr. Wiggle receives compensation for advertising on his radio show, *The Financial Guys Radio Program*, which has been on the air on WBEN since 2000.

Mr. Wiggle is also a partner in Neiman Funds Management LLC, a Registered Investment Advisor and the advisor to the Neiman Family of Funds. As a partner he may receive compensation when these funds are purchased by clients as part of their Blackridge investment portfolio.

These additional sources of compensation may create a conflict of interest as previously described in our Firm's ADV Part 2A Brochure.

Item 5 - Additional Compensation

We must inform you of additional compensation that our supervised persons may receive for providing advisory services, such as sales awards or other prizes. As part of our marketing effort, we regularly provide additional training and education to our selected solicitors in due diligence, compliance and the technical aspects of our products and services which are valuable to their business. This training, including the travel, lodging and food expenses connected with such training, is provided by us at no cost to the participating solicitors. Also, as part of our marketing effort, we may also pay to attend conferences and/or symposiums sponsored by broker/dealer firms who refer us business. The cost of the training, education, conferences and/or symposium sponsorships is paid out of our portion of the advisory fees and is not an additional expense to clients or any other investor. We do not believe that such arrangements influence the individual solicitors of the broker/dealer firms to refer business to us or to other advisors with whom the broker/dealer may have similar agreements.

Item 6 - Supervision

We supervise our members in several ways. On a monthly basis we review transaction blotters for each portfolio. We review and compare the blotters to the individual statements of our supervised persons to make sure their trades are in accordance with our Code of Ethics. We also review and compare the transaction blotters to a sampling of client account statements to make sure their respective portfolio models are being followed properly.

Our Firm also utilizes an outside compliance consulting firm, Compass Compliance Consulting LLC, to conduct periodic reviews of the Firm's compliance program. For questions regarding

the supervision of Mr. Wiggle, please contact Daniel D. Neiman, President of Blackridge, at (858) 336-0832.

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Part 2B of Form ADV

Item 1 - Brochure Supplement for Jeffrey Robert Boron

January 11, 2016

This Brochure Supplement provides information specific to Jeffrey R. Boron, as an Investment Advisor Representative, in addition to that provided in the Firm's Part 2A Disclosure Document, which you should have received a copy of. If not, please contact Mr. Glenn C. Wiggle, Chief Compliance Officer, at (716) 568-8566 or gwiggle@blackridgeasset.com to receive one or if you have any questions about the contents of the Disclosure Document or this Brochure Supplement. Additional information about Mr. Boron is available on the SEC's website at www.adviserinfo.sec.gov.

Jeffrey Robert Boron

Item 2 – Educational Background and Business Experience

BAM permits its advisors to be part- or full-time associates of the Firm but must have a Bachelor's degree from an accredited university or substantially equivalent industry-related work experience. They are also required to participate on an ongoing basis in related coursework or training focused on furthering their knowledge of financial planning and asset management. Examples of acceptable coursework objectives include advanced degrees and professional designations such as MBA, CFP[®], CFA, ChFC, JD, CTFA, EA or CPA. Upon achieving one or more of these advanced degrees or industry- recognized professional designations, advisors are required to participate in ongoing continuing education programs sufficient to maintain their degrees or designations in a current, active and good standing status. Additionally, advisors must have work experience that demonstrates their aptitude for financial planning and asset management along with a demonstrated knowledge of and compliance with federal, state and industry regulations governing the financial industry.

Professional Certifications

At this time, Mr. Boron does not hold any of the professional designations cited above.

Educational Background

- Born 1960
- Post-Secondary Education
 - Canisius College – Bachelor of Science/Accounting 1982
 - State University of New York (Buffalo)– Master of Business Administration/Finance 1991
- Other Professional Licenses
 - Licensed in New York State as an insurance agent for life, health and annuity insurance products.

Business Experience

2016 to Present	Investment Advisor Representative of Blackridge Asset Management LLC, a SEC-registered investment advisor.
2015 to Present	Registered Representative, Peak Brokerage Services LLC, a FINRA and SIPC member securities broker/dealer.
2013 to 2015	Registered Representative, Next Financial Group, Inc., a FINRA and SIPC member securities broker/dealer.
2003 to 2013	Financial Planner and Registered Representative of AXA Advisors, Inc., a FINRA and SIPC member securities broker/dealer.

Item 3 – Disciplinary Information

As a registered investment advisory firm, BAM is required to disclose the qualifications of its Investment Advisor Representatives, along with any industry-related disciplinary actions involving those individuals. Accordingly, it is herein disclosed that in 2010, a complaint was lodged with FINRA against Mr. Boron and AXA Advisor alleging the misrepresentation of

material facts in the transfer of Fidelity mutual fund holdings to a variable annuity product for the purpose of applying for financial aid. Following an in-depth review of the complaint, FINRA recommended that the parties pursue an arbitrated settlement, which was done. Mr. Boron, however, was not named as a party to the arbitration nor was he required to participate in an arbitrated settlement between the client and the broker/dealer in 2011.

In addition, in 2013 Mr. Boron was subjected to an internal review at AXA Advisors due to the discovery of several signature discrepancies with some client documents. Upon completion of the investigation by AXA, it was determined that an administrative staff member of AXA was responsible for the discrepancies, unbeknownst to Mr. Boron. The staff member took full responsibility for his actions, was terminated by AXA and Mr. Boron was absolved of any involvement. Subsequently, Mr. Boron left AXA later in 2013 to join NEXT Financial Group. After his departure, NEXT made Mr. Boron aware that AXA had submitted terminating documents to FINRA indicating that he was permitted to resign, as opposed to an accurate indication of his voluntary resignation to join another broker/dealer.

Mr. Boron has not been a party to any other industry-related disciplinary reviews or actions to date.

Item 4 – Other Business Activities

Jeffrey Robert Boron is affiliated with Peak Brokerage Services as a Registered Representative. Peak is registered as a full service general securities broker/dealer and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). Registered Representatives affiliated with Peak Financial Group, Inc. recommend certain securities for which they receive a commission, markup or markdown. They may also offer various advisory services as Investment Advisor Representatives of Registered Investment Advisors (IARs) for which they may receive a fee. Commissions/markups/markdowns for effecting securities transactions are separate and apart from the fees charged by Peak's IARs for advisory services.

Peak Reps LLC is the parent company of Blackridge Asset Management LLC. Some IARs may have ownership of Peak Reps LLC through either granted profits interests (Class C units) or through purchase of Class B units. Fees and commissions paid by clients may be accretive to the value of the parent company and may benefit those IARs who are stockholders.

These additional sources of compensation may potentially create conflicts of interest as previously described in BAM's Form ADV Part 2A Disclosure Document.

Mr. Boron is also currently engaged in the following additional outside businesses/activities:

- The Financial Guys LLC–DBA–0% revenue, 1% time, 0% Ownership;
- AK Jefferson–Rental Property–10% revenue, 10% time, 100% Ownership;
- The College Planning Guys–DBA–0% revenue, 10% time, 100% Ownership;
- The Life Insurance Specialist–DBA/Insurance Sales-10% revenue, 10% time, 100% ownership;

-Jeffrey R. Boron–Sole Proprietorship–real estate sales and service– 1% revenue, 5% time, 100% ownership.

Item 5 – Additional Compensation

We must inform you of additional compensation that our supervised persons may receive for providing advisory services, such as sales awards or other prizes. As part of our marketing effort, we regularly provide additional training and education to our selected solicitors in due diligence, compliance and the technical aspects of our products and services which are valuable to their business. This training, including the travel, lodging and food expenses connected with such training, is provided by us at no cost to the participating solicitors. Also, as part of our marketing effort, we may also pay to attend conferences and/or symposiums sponsored by broker/dealer firms who refer us business. The cost of the training, education, conferences and/or symposium sponsorships is paid out of our portion of the advisory fees and is not an additional expense to clients or any other investor. We do not believe that such arrangements influence the individual solicitors of the broker/dealer firms to refer business to us or to other advisors with whom the broker/dealer may have similar agreements.

Item 6 – Supervision

The supervision of our IARs will be handled by the Home Office Supervisors as designated by the CCO. The supervisor assigned to supervise Mr. Boron is Glenn Wiggle, the Firm's Chief Compliance Officer, who is responsible for the day-to-day management and supervision of the activities of the IARs under their direct supervision. The Principals supervise the IARs that are assigned to them by reviewing certain new account documentation for suitability and use systems to ensure that the transactions are appropriate.

In some cases, Office of Supervisory Jurisdiction Managers ("OSJ Managers") and Home Office Principals ("HOPs") collectively, "Principals", may be designated as the immediate supervisors of dual registered IARs.

Blackridge also utilizes an outside compliance consulting firm, Compass Compliance Consulting LLC, to conduct periodic reviews of the Firm's overall compliance program. For questions regarding the supervision of Mr. Boron, please contact Glenn Wiggle, Chief Compliance Officer, at 716-568-8567.

Item 7 – Requirements for State-Registered Advisors

Mr. Boron, as of the updating of this brochure supplement, is not subject to any additional requirements relative to BAM's status as a state-registered advisory firm beyond those disclosed in the Firm's brochure and this brochure supplement.

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Part 2B of Form ADV

Item 1 - Brochure Supplement for Denise Joan Nostrom

January 11, 2016

This Brochure Supplement provides information specific to Denise J. Nostrom, as an Investment Advisor Representative, in addition to that provided in the Firm's Part 2A Disclosure Document, which you should have received a copy of. If not, please contact Mr. Glenn C. Wiggle, Chief Compliance Officer, at (716) 568-8566 or gwiggle@blackridgeasset.com to receive one or if you have any questions about the contents of the Disclosure Document or this Brochure Supplement. Additional information about Ms. Nostrom is available on the SEC's website at www.adviserinfo.sec.gov.

Denise Joan Nostrom

Item 2 – Educational Background and Business Experience

BAM permits its advisors to be part- or full-time associates of the Firm but must have a Bachelor's degree from an accredited university or substantially equivalent industry-related work experience. They are also required to participate on an ongoing basis in related coursework or training focused on furthering their knowledge of financial planning and asset management. Examples of acceptable coursework objectives include advanced degrees and professional designations such as MBA, CFP[®], CFA, ChFC, JD, CTFA, EA or CPA. Upon achieving one or more of these advanced degrees or industry- recognized professional designations, advisors are required to participate in ongoing continuing education programs sufficient to maintain their degrees or designations in a current, active and good standing status. Additionally, advisors must have work experience that demonstrates their aptitude for financial planning and asset management along with a demonstrated knowledge of and compliance with federal, state and industry regulations governing the financial industry.

Professional Certifications

Ms. Nostrom has earned the following professional designation, certification and/or credential that is required to be explained in further detail:

Chartered Financial Consultant (ChFC®) – Designation received in 2001.

Financial planners seeking the ChFC® professional designation are required to fulfill the following requirements to qualify for use of the designation with the public:

- Successfully complete nine (9) college-level courses sponsored by The American College pertaining to all aspects of financial planning. This course of study normally entails over four hundred (400) hours of study and must be completed within five (5) years from initial enrollment.
- Have a minimum of three (3) years of full-time business experience.
- Complete thirty (30) hours of professional continuing education every two years.
- Agree to comply with The American College Code of Ethics and Procedures.

Chartered Life Underwriter (CLU®) – Designation received in 2011.

Insurance professionals seeking the CLU® professional designation are required to fulfill the following requirements to qualify for use of the designation with the public:

- Successfully complete five (5) core and three (3) elective courses sponsored by The American College pertaining to all aspects of insurance and protection planning.
- Achieve a passing score on a final, comprehensive proctored final examination.
- Have a minimum of three (3) years of full-time business experience within the five (5) years prior to achieving the designation.
- Complete thirty (30) hours of professional continuing education every two years.
- Agree to comply with The American College Code of Ethics and Procedures.

Educational Background

- Born 1968
- Post-Secondary Education
Adelphi University – Bachelor of Business Administration 1990
- Other Professional Licenses
Licensed in New York State as an insurance agent for life, health and annuity insurance products.

Business Experience

2016 to Present	Investment Advisor Representative of Blackridge Asset Management LLC, a SEC-registered investment advisor.
2016 to Present	Registered Representative, Peak Brokerage Services LLC, a FINRA and SIPC member securities broker/dealer.
2004 to 2016	Registered Principal, Next Financial Group, Inc., a FINRA and SIPC member securities broker/dealer.
2003 to 2013	Financial Planner and Registered Representative of AXA Advisors, Inc., a FINRA and SIPC member securities broker/dealer.

Item 3 – Disciplinary Information

Ms. Nostrom has not been a party to any industry-related disciplinary reviews or actions to date.

Item 4 – Other Business Activities

Ms. Nostrom is affiliated with Peak Brokerage Services as a Registered Representative. Peak is registered as a full service general securities broker/dealer and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). Registered Representatives affiliated with Peak Financial Group, Inc. recommend certain securities for which they receive a commission, markup or markdown. They may also offer various advisory services as Investment Advisor Representatives (IARs) of Registered Investment Advisors for which they may receive a fee. Commissions/markups/markdowns for effecting securities transactions are separate and apart from the fees charged by Peak's IARs for advisory services.

Peak Reps LLC is the parent company of Blackridge Asset Management LLC. Some IARs may have ownership of Peak Reps LLC through either granted profits interests (Class C units) or through purchase of Class B units. Fees and commissions paid by clients may be accretive to the value of the parent company and may benefit those IARs who are stockholders.

These additional sources of compensation may potentially create conflicts of interest as previously described in BAM's Form ADV Part 2A Disclosure Document.

Ms. Nostrom is also currently engaged in the following additional outside businesses/activities:
Diversified Financial Solutions/DBA, Crump Life Insurance, Fixed insurance sales;
POWER (Power of Women Exchanging Resources)/Networking group;

Item 5 – Additional Compensation

We must inform you of additional compensation that our supervised persons may receive for providing advisory services, such as sales awards or other prizes. As part of our marketing effort, we regularly provide additional training and education to our selected solicitors in due diligence, compliance and the technical aspects of our products and services which are valuable to their business. This training, including the travel, lodging and food expenses connected with such training, is provided by us at no cost to the participating solicitors. Also, as part of our marketing effort, we may also pay to attend conferences and/or symposiums sponsored by broker/dealer firms who refer us business. The cost of the training, education, conferences and/or symposium sponsorships is paid out of our portion of the advisory fees and is not an additional expense to clients or any other investor. We do not believe that such arrangements influence the individual solicitors of the broker/dealer firms to refer business to us or to other advisors with whom the broker/dealer may have similar agreements.

Item 6 – Supervision

The supervision of our IARs will be handled by the Home Office Supervisors as designated by the CCO. The supervisor assigned to supervise Ms. Nostrom is Glenn Wiggle, the Firm's Chief Compliance Officer, who is responsible for the day-to-day management and supervision of the activities of the IARs under their direct supervision. The Principals supervise the IARs that are assigned to them by reviewing certain new account documentation for suitability and use systems to ensure that the transactions are appropriate.

In some cases, Office of Supervisory Jurisdiction Managers ("OSJ Managers") and Home Office Principals ("HOPs") collectively, "Principals", may be designated as the immediate supervisors of dual registered IARs.

Blackridge also utilizes an outside compliance consulting firm, Compass Compliance Consulting LLC, to conduct periodic reviews of the Firm's overall compliance program. For questions regarding the supervision of Ms. Nostrom, please contact Glenn Wiggle, Chief Compliance Officer, at 716-568-8567.

Item 7 – Requirements for State-Registered Advisors

Ms. Nostrom, as of the updating of this brochure supplement, is not subject to any additional requirements relative to BAM's status as a state-registered advisory firm beyond those disclosed in the Firm's brochure and this brochure supplement.

