

JIMUSTOCK SECURITIES, LLC

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Form ADV Part 2A

Client Brochure

June 29, 2015

This brochure (“Brochure”) provides information about the qualifications and business practices of Jimustock Securities, LLC. (“JIMU”), a registered investment adviser. Registration does not imply a certain level of skill or training but only indicates that JIMU has registered its business with federal regulatory authorities, including the United States Securities and Exchange Commission (our CRD# 269900). The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

If you have any questions about the contents of this Brochure, please contact us at +86 18669108777 or barry.freeman@jimubox.com. Additional information about JIMU is also available on the SEC’s website at www.adviserinfo.sec.gov.

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Item 2 Material Changes

This Brochure, dated June 29, 2015, is our initial document prepared in accordance with the SEC's requirements. We will ensure that you receive a summary of any material changes to this and subsequent Brochures within 120 days of the close of our business' fiscal year. Furthermore, we will provide you with other interim disclosures about material changes as necessary.

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Item 4 Advisory Business

A. General Description of the Firm

JIMU is an investment advisory services firm registered with the Securities and Exchange Commission (“SEC”). JIMU provides portfolio management services for clients. Additional information about JIMU’s products and services is provided on Part 1 of JIMU’s Form ADV which is available online at <http://www.adviserinfo.sec.gov>. We encourage visiting our website www.Jimustock.com for additional information.

B. Summary of JIMU’s Advisory Services

JIMU offers investment advisory services providing access to affordable, professional portfolio management. Each client’s portfolio is created to be in alignment with clients’ acceptable risk parameters and financial objectives. We develop an investment program and guide the Client’s investments by managing: 1) the most suitable asset classes, 2) specific investments within each of those asset classes, and, 3) achieving a balance in the investment program. JIMU will make recommendation as to how much a client should allocate to each investment program based upon JIMU’s review of a client’s financial resources.

C. Tailored Services and Investment Restrictions

JIMU tailors its service to the individual needs of each of its Clients. To tailor its portfolio offering to each Client, JIMU uses a process that identifies key elements of the client’s investing profile.

D. Investment Program(s)

JIMU may buy or sell securities consistent with analysis designed to seek an investment return suitable to the investment objectives and goals of each distinct client account. JIMU determines a suitable course of action by performing a review of each client’s individual account and suitability parameters. This review may include type of account, investment objectives, overall financial condition, income and tax status, personal and business assets, risk tolerance, and other factors unique to the individual client’s situation. Based on client suitability parameters, JIMU will design the client’s investment portfolio. JIMU provides investment advice on a discretionary basis only. Periodic reviews of the client’s investment program may result in the client’s program being revised, from time to time.

E. Assets Under Management

As disclosed in JIMU’s Form ADV Part 1, JIMU is presently a start-up and has \$ 0 in client assets under management on a discretionary basis. JIMU does not manage assets on a nondiscretionary basis.

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Item 5 Fees and Compensation

A. Advisory Fees

JIMU is compensated for its advisory services by charging a fee based on the ending market value of a Client's Account. JIMU reserves the right, in its sole discretion, to negotiate the advisory fee for certain Client Accounts for any period of time determined by JIMU. In addition, JIMU may reduce or waive its fees for the Accounts of some Clients without notice to, or fee adjustment for, other Clients.

JIMU's investment service charges an annualized fee of 0.50% on a Client's assets under management. Annual fees are charged on a quarterly basis, in arrears.

B. Other Account Fees

JIMU is a "fee only" investment advisor, and other than its advisory fee described above, neither the firm nor its employees receive or accept any direct or indirect compensation related to investments that are purchased or sold for Client Accounts. This means that Clients will not be sold products or services that create additional fees or compensation to benefit JIMU or its employees or its affiliates other than those described in this Brochure and on the Site. However, in addition to advisory fees, Clients may also pay other fees or expenses to third-parties. The issuer of some of the securities or products we purchase for Clients, such as ETFs or other similar financial products, may charge product fees that affect Clients. JIMU does not charge these fees to Clients, and does not benefit directly or indirectly from any such fees. An ETF typically includes embedded expenses that may reduce the fund's net asset value, and therefore directly affect the fund's performance and indirectly affect a Client's portfolio performance or an index benchmark comparison.

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Item 6 Performance-Based Fees and Side-by-Side Management

JIMU does not charge performance-based fees.

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Item 7 Types Of Clients

JIMU manages investment programs for individuals. There presently is no minimum amount required to open and maintain a JIMU Account. At any time, a Client may terminate an Account, or withdraw all or part of an Account, or update her investment profile, which may initiate an adjustment in the Accounts' holdings. In that case, unless otherwise directed by the Client, JIMU may sell the securities in the Client Account (or portion of the Account, in the case of a partial withdrawal or update) at market prices at the time of the termination, withdrawal or update.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

For its advisory services, JIMU provides Clients with financial advice that is based on Modern Portfolio Theory (MPT). MPT attempts to maximize a portfolio's expected return for a given amount of portfolio risk, or equivalently minimize risk for a given level of expected return, by selecting the proportions of various asset classes rather than selecting individual securities. Historically, rigorous MPT-based financial advice has only been available through high-end financial advisors who typically require minimum account sizes of at least \$1 million and charge annual fees of at least 1% of assets under management.

To employ MPT properly, one must start with an accurate determination of an individual's objective and subjective tolerance for risk. Achieving accuracy requires sophisticated algorithms applied to more detailed questions than are typically asked by advisors. Based on this risk analysis, JIMU seeks to create an individualized investment plan using the optimal asset classes in which to invest, the most efficient and inexpensive securities to represent each of those asset classes, and the ideal mix of asset classes based on the Client's specific risk tolerance.

JIMU periodically reviews a very broad population of securities to identify the most appropriate securities to represent each asset class. JIMU continuously monitors our Clients' portfolios and periodically rebalances them back to the Clients' target mix in an effort to optimize returns for the intended level of risk. We consider tax implications and the volatility associated with each of our chosen asset classes when deciding when and how to rebalance.

Tax-Loss Harvesting

Tax-loss harvesting is a technique used to lower your taxes while maintaining the expected risk and return profile of your portfolio. It harvests previously unrecognized investment losses to offset taxes due on your other gains and income. You can reinvest these tax savings to significantly grow the value of your portfolio.

In tax-loss harvesting, an investor sells a security at a loss to accelerate capital loss realization. The realized capital loss can then be used to offset realized capital gains and ordinary income from other sources, lower tax liabilities at year-end, and therefore increase the after-tax return of the overall portfolio.

RISK CONSIDERATIONS

JIMU cannot guarantee any level of performance or that any Client will avoid a loss of Account assets. **Any investment in securities involves the possibility of financial loss that Clients should be prepared to bear.**

When evaluating risk, financial loss may be viewed differently by each Client and may depend on many different risk items, each of which may affect the probability of adverse consequences and the magnitude of any potential losses. The following risks may not be all-inclusive, but should be considered carefully by a prospective Client before retaining JIMU's services. These risks should be considered as possibilities, with additional regard to their actual probability of occurring and the effect on a Client if there is in fact an occurrence.

Market Risk – The price of any security or the value of an entire asset class can decline for a variety of reasons outside of JIMU’s control, including, but not limited to, changes in the macroeconomic environment, unpredictable market sentiment, forecasted or unforeseen economic developments, interest rates, regulatory changes, and domestic or foreign political, demographic, or social events. If a Client has a high allocation in a particular asset class it may negatively affect overall performance to the extent that the asset class underperforms relative to other market assets. Conversely, a low allocation to a particular asset class that outperforms other asset classes in a particular period will cause that Client Account to underperform relative to the overall market.

Advisory Risk –There is no guarantee that JIMU’s judgment or investment decisions about particular securities or asset classes will necessarily produce the intended results. JIMU’s judgment may prove to be incorrect, and a Client might not achieve his or her investment objectives. JIMU may also make future changes to the investing algorithms and advisory services that it provides. In addition, it is possible that Clients or JIMU itself may experience computer equipment failure, loss of internet access, viruses, or other events that may impair access to JIMU’s software based financial advisory service. JIMU and its representatives are not responsible to any Client for losses unless caused by JIMU breaching its fiduciary duty.

Volatility and Correlation Risk – Clients should be aware that JIMU’s asset selection process is based in part on a careful evaluation of past price performance and volatility in order to evaluate future probabilities. However, it is possible that different or unrelated asset classes may exhibit similar price changes in similar directions which may adversely affect a Client, and may become more acute in times of market upheaval or high volatility. **Past performance is no guarantee of future results, and any historical returns, expected returns, or probability projections may not reflect actual future performance.**

Liquidity and Valuation Risk –High volatility and/or the lack of deep and active liquid markets for a security may prevent a Client from selling her securities at all, or at an advantageous time or price because JIMU and the Client’s Broker may have difficulty finding a buyer and may be forced to sell at a significant discount to market value. Some securities that hold or trade financial instruments may be adversely affected by liquidity issues as they manage their portfolios. While JIMU values the securities held in Client Accounts based on reasonably available exchange-traded security data, JIMU may from time to time receive or use inaccurate data, which could adversely affect security valuations, transaction size for purchases or sales, and/or the resulting advisory fees paid by a Client to JIMU.

Credit Risk – JIMU cannot control and Clients are exposed to the risk that financial intermediaries or security issuers may experience adverse economic consequences that may include impaired credit ratings, default, bankruptcy or insolvency, any of which may affect portfolio values or management. This risk applies to assets on deposit with any Broker utilized by Client, notwithstanding asset segregation and insurance requirements that are beneficial to Broker clients generally. In addition, exchange trading venues or trade settlement and clearing intermediaries could experience adverse events that may temporarily or permanently limit trading or adversely affect the value of Client securities. Finally, any issuer of securities may experience a credit event that could impair or erase the value of the issuer’s securities held by a Client. JIMU seeks to limit credit risk by generally adhering to the purchase of securities, which are subject to regulatory limits on asset segregation and leverage such that fund shareholders are given liquidation priority versus the fund issuer; however, certain funds and products may involve higher issuer credit risk because they are not structured as a registered

fund.

Legislative and Tax Risk - Performance may directly or indirectly be affected by government legislation or regulation, which may include, but is not limited to: changes in investment advisor or securities trading regulation; change in the U.S. government's guarantee of ultimate payment of principal and interest on certain government securities; and changes in the tax code that could affect interest income, income characterization and/or tax reporting obligations (particularly for ETF securities dealing in natural resources). JIMU does not engage in financial or tax planning, and in certain circumstances a Client may incur taxable income on her investments without a cash distribution to pay the tax due.

Clients who activate our tax-loss harvesting service are alerted to the following risks:

1. **Be aware that if you and/or your spouse have other taxable or non-taxable accounts, and you hold in those accounts any of the securities (including options contracts) held in your JIMU account, you cannot trade any of those securities 30 days before or after JIMU trades those same securities as part of the tax-loss harvesting strategy to avoid possible wash sales and, as a result, a nullification of any tax benefits of the strategy.** For more information on the wash sale rule, please read [IRS Publication 550](#).
2. **You should confer with your personal tax advisor regarding the tax consequences of investing with JIMU and engaging in the tax-loss harvesting strategy, based on your particular circumstances.** You and your personal tax advisors are responsible for how the transactions in your account are reported to the IRS or any other taxing authority. JIMU assumes no responsibility to you for the tax consequences of any transaction.
3. JIMU's tax-loss harvesting strategy is not intended as tax advice, and JIMU does not represent in any manner that the tax consequences described will be obtained or that JIMU's investment strategy will result in any particular tax consequence. The tax consequences of this strategy and other JIMU strategies are complex and may be subject to challenge by the IRS. This strategy was not developed to be used by, and it cannot be used by, any investor to avoid penalties or interest.
4. When JIMU replaces investments with "similar" investments as part of the tax-loss harvesting strategy, it is a reference to investments that are expected, but are not guaranteed, to perform similarly and that might lower an investor's tax bill while maintaining a similar expected risk and return on investor's portfolio. **Expected returns and risk characteristics are no guarantee of actual performance.**
5. You must notify JIMU of specific Restricted Securities you are prohibit investing in. If you instruct JIMU not to purchase certain Restricted Securities, JIMU will select an alternate Security to purchase on your behalf. You shall notify JIMU immediately if you consider any investments recommended or made for the Account to violate such restrictions.

Foreign Investing and Emerging Markets Risk - Foreign investing involves risks not typically associated with U.S. investments, and the risks may be exacerbated further in emerging market countries. These risks may include, among others, adverse fluctuations in foreign currency values, as well as adverse political, social and economic developments affecting one or more foreign countries. In addition, foreign investing may involve less publicly available information

and more volatile or less liquid securities markets, particularly in markets that trade a small number of securities, have unstable governments, or involve limited industry. Investments in foreign countries could be affected by factors not present in the U.S., such as restrictions on receiving the investment proceeds from a foreign country, foreign tax laws or tax withholding requirements, unique trade clearance or settlement procedures, and potential difficulties in enforcing contractual obligations or other legal rules that jeopardize shareholder protection. Foreign accounting may be less transparent than U.S. accounting practices and foreign regulation may be inadequate or irregular.

ETF Risks, including Net Asset Valuations and Tracking Error - ETF performance may not exactly match the performance of the index or market benchmark that the ETF is designed to track because 1) the ETF will incur expenses and transaction costs not incurred by any applicable index or market benchmark; 2) certain securities comprising the index or market benchmark tracked by the ETF may, from time to time, temporarily be unavailable; and 3) supply and demand in the market for either the ETF and/or for the securities held by the ETF may cause the ETF shares to trade at a premium or discount to the actual net asset value of the securities owned by the ETF. Certain ETF strategies may from time to time include the purchase of fixed income, commodities, foreign securities, American Depositary Receipts, or other securities for which expenses and commission rates could be higher than normally charged for exchange-traded equity securities, and for which market quotations or valuation may be limited or inaccurate.

Clients should be aware that to the extent they invest in ETF securities they will pay two levels of advisory compensation – advisory fees charged by JIMU plus any management fees charged by the issuer of the ETF. This scenario may cause a higher advisory cost (and potentially lower investment returns) than if a Client purchased the ETF directly.

An ETF typically includes embedded expenses that may reduce the fund's net asset value, and therefore directly affect the fund's performance and indirectly affect a Client's portfolio performance or an index benchmark comparison. Expenses of the fund may include investment advisor management fees, custodian fees, brokerage commissions, and legal and accounting fees. ETF expenses may change from time to time at the sole discretion of the ETF issuer. JIMU discloses each ETF's current information, including expenses, on the Site. ETF tracking error and expenses may vary.

Inflation, Currency, and Interest Rate Risks - Security prices and portfolio returns will likely vary in response to changes in inflation and interest rates. Inflation causes the value of future dollars to be worth less and may reduce the purchasing power of an investor's future interest payments and principal. Inflation also generally leads to higher interest rates, which in turn may cause the value of many types of fixed income investments to decline. In addition, the relative value of the U.S. dollar-denominated assets primarily managed by JIMU may be affected by the risk that currency devaluations affect Client purchasing power.

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Item 9 Disciplinary Information

Like all registered investment advisors, JIMU is obligated to disclose any disciplinary event that might be material to any Client when evaluating our services.

We do not have any legal, financial, regulatory, or other “disciplinary” item to report to any Client. This statement applies to our firm and to every employee of our firm.

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Item 10 Other Financial Industry Activities and Affiliations

JIMU does not have any Financial Industry activities to disclose.

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Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

JIMU's ethical and legal duty is to act at all times as a fiduciary to its Clients. This means that JIMU puts the interests of its Clients *ahead of its own*, and seeks to manage any perceived or actual conflict of interest that may arise in relation to its advisory services. JIMU has adopted a Code of Ethics, which is designed to ensure that we meet our fiduciary obligation to Clients, enhance our culture of compliance within the firm, and detect and prevent any violations of securities laws.

JIMU's Code of Ethics ("COE"), which establishes standards of conduct for JIMU's officers and employees ("Supervised Persons" as defined in the Statement) and is consistent with the Code of Ethics requirements of Rule 204A-1 under the Investment Advisers Act of 1940, as amended. The Statement includes general requirements that all Supervised Persons comply with their fiduciary obligations to Clients and applicable securities laws, and specific requirements relating to, among other things, personal trading, insider trading, conflicts of interest, and confidentiality of client information.

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Item 12 Brokerage Practices

JIMU will direct its brokerage and custody of client assets to Apex Clearing Corporation (Apex). Apex provides trade execution and clearing services in addition to traditional brokerage and custody services.

JIMU will seek the best execution of transactions for Client Accounts consistent with its judgment as to the business qualifications of Apex or other Brokers. “Best execution” means the best overall qualitative execution, not necessarily the lowest possible commission cost. Accordingly, the factors that JIMU considers when selecting or recommending Brokers are matters that directly benefit Client Accounts, and consistent with obtaining the best execution of their transactions. These factors include: execution capability and available liquidity; timing and size of particular orders; commission rates; responsiveness; trading experience; reputation, integrity and fairness in resolving disputes; quality of their application programming interfaces and technology; and other factors.

JIMU does *not* engage in any “soft dollar” practices involving the receipt of research or other brokerage service in relation to client commission money, nor do we receive any research or other products in connection with Client transactions. JIMU also does not use Client commission money to compensate or otherwise reward any brokers for client referrals.

JIMU may, but is not required to, aggregate orders for a Client’s Account with orders of other Clients. JIMU may aggregate securities sale and purchase orders for a Client with similar orders being made contemporaneously for other Client Accounts. In such event, the average price of the securities purchased or sold in such a transaction may be determined and a Client may be charged or credited, as the case may be, the average transaction price. As a result, however, the price may be less favorable to the Client than it would be if similar transactions were not being executed concurrently for other Accounts.

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Item 13 Review of Accounts

JIMU provides its Clients with access to their investment program account information via the internet. Clients may also receive periodic e-mail communications regarding their account(s).

On a quarterly basis, JIMU will review each Client account and contact each Client to remind them to review and update the profile information they previously provided. JIMU also requests that Clients reconfirm the same information on an annual basis. JIMU conducts reviews when material changes may have occurred to a Client's portfolio or investment objectives. JIMU will retain the client account review documentation in its database. We consider tax implications and the volatility associated with each of our chosen asset classes when deciding when and how to rebalance.

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Item 14 Client Referrals and Other Compensation

JIMU does not presently pay for third-party client referrals. If JIMU determines in the future to pay or compensate a third-party for Client referrals, JIMU will disclose this practice in writing to the Client and comply with the requirements of Rule 206(4)-3 under the Investment Advisers Act of 1940, as amended, to the extent required by applicable law.

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Item 15 Custody

JIMU does not maintain custody of any Client funds or securities. JIMU has selected Apex to custody client assets.

Each Client will receive Account information, including trade confirmations and monthly account statements, directly from Apex or by logging into their account. Each Client should carefully review this information and compare it with information provided by JIMU when they are evaluating Account performance, securities holdings, and transactions.

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Item 16 Investment Discretion

JIMU requires that an Account Agreement be completed by a Client who decides to retain JIMU as her investment advisor. Under the terms of the Account Agreement, JIMU assumes full discretionary trading and investment authority over the Client's assets held with the Broker. This means that JIMU is given full authority under a power of attorney arrangement to select the timing, size, and identity of securities to buy and sell for the Client.

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Item 17 Voting Client Securities

JIMU, as a matter of policy does not vote proxies for portfolio securities of the Client's accounts.

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Item 18 Financial Information

JIMU does not require or solicit the prepayment of any advisory fees, and does not have any adverse financial condition that is reasonably likely to impair our ability to continuously meet our contractual commitments to our Clients.

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Item 19 Privacy Policy

JIMU does not disclose nonpublic personal information about its clients or former clients to any persons other than as described below. JIMU collects information about its clients (such a name, address, social security number, assets and income) from its website, discussions with clients, from documents that clients may deliver to JIMU (such as account applications) and in the course of providing services. In order to service its client accounts and effect client transactions, JIMU may provide client personal information to its affiliates and to firms that assist it in servicing client accounts and which have a need for such information. JIMU does not otherwise provide information about its clients to outside firms, organizations or individuals except as required by law. Any party that receives this information will use it only for the services and as allowed by applicable law or regulations, and is not permitted to share or use this information for any other purpose.

Brochure Supplement
(Part 2B of Form ADV)

RICHARD BARRY FREEMAN, JR.

INVESTMENT ADVISER REPRESENTATIVE

June 29, 2015

Email: barry.freeman@jimubox.com

NOTICE: The attached Brochure Supplement provides information about the Investment Adviser Representative of Jimustock Securities, LLC. You should have received a copy of the Brochure for Jimustock Securities, LLC CRD No. 269900 as well. Please contact Barry Freeman, Managing Member, if you did not receive the Firm's brochure. You can also contact Mr. Freeman if you have any questions about the content of the attached supplement.

Additional information about this investment adviser representative of our Firm is available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number known as a CRD number. Barry Freeman's CRD number is 4417442.

A. General Requirements

Generally, Jimustock Securities, LLC requires employees to hold a college or advanced degree or have relevant working experience in the securities industry. Any employee of Jimustock Securities, LLC acting in a representative capacity will be appropriately licensed or registered as such.

B. Investment Adviser Representative Information

We currently have one investment adviser representative employed by Jimustock Securities, LLC. This Brochure Supplement provides information about **Barry Freeman**.

Item 2 Education and Business Experience

CRD Number: 4417442

Year of Birth: 1978

University of Georgia – 2000

Bachelor's Degree – Business Administration

Managing Member

2015 – Present

Jimustock Securities, LLC

Chief Financial Officer & Co-Founder

2013 – Present

Lerong Technology Holdings, Ltd.

Chief Financial Officer

2012 – 2013

China Financial Services, Ltd.

Managing Director – Private Equity

2009 – 2012

ARC China

Vice President

2006 – 2009

Knight Capital Group

Item 3 Disciplinary Information

Mr. Freeman does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4 Other Business Activities

Mr. Freeman is not engaged in activities other than giving investment advice.

Item 5 Additional Compensation

Mr. Freeman does not receive any economic benefit from any third party for providing advisory services.

Item 6 Supervision

Mr. Freeman is a Managing Member and Investment Adviser Representative of Jimustock Securities, LLC. He is also responsible for compliance and administration of the Firm's operations.

Item 7 Requirements for State-Registered Advisers**A.1 Arbitration Claims**

None. Mr. Freeman has not been found liable in any arbitration claim alleging damages in excess of \$2,500 involving an investment or investment-related business or activity, fraud, false statements or omissions, theft, embezzlement or other wrongful taking of property, bribery, forgery, counterfeiting or extortion or dishonest, unfair or unethical practices.

A.2 Self-Regulatory Organization or Administrative Proceedings

None. Mr. Freeman has not been found liable in any civil, self-regulatory organization or administrative proceeding involving an investment or investment related business or activity, fraud, false statements or omissions, theft embezzlement or other wrongful taking of property bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

B. Bankruptcy Petitions

None. Mr. Freeman has not been the subject of a bankruptcy petition at any time during the last 10 years.