

*This brochure supplement provides information about Roger Lee that supplements the Captain Advisors LLC brochure. You should have received a copy of that brochure. Please contact Roger Lee if you did not receive Captain Advisors LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Roger Lee is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Captain Advisors LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Roger Lee**

Personal CRD Number: 6517613

Investment Adviser Representative

Captain Advisors LLC  
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## Item 2: Educational Background and Business Experience

**Name:** Roger Lee      **Born:** 1986

### **Educational Background and Professional Designations:**

#### **Education:**

BA Applied Mathematics, Harvard University - 2008

#### **Business Background:**

06/2015 - Present	Manager Captain Advisors LLC
04/2015 - Present	CEO Captain401 Inc.
01/2015 - 03/2015	Unemployed
03/2007 - 12/2014	President/COO PaperG, Inc.
09/2004 - 06/2008	Student Harvard University

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Roger Lee is CEO of Captain401 Inc. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Captain Advisors LLC always acts in the best interest of the client and clients are in no

way required to the services of any representative of Captain Advisors LLC in connection with such individual's activities outside of Captain Advisors LLC.

### **Item 5: Additional Compensation**

Roger Lee does not receive any economic benefit from any person, company, or organization, other than Captain Advisors LLC in exchange for providing clients advisory services through Captain Advisors LLC.

### **Item 6: Supervision**

As the sole manager of Captain Advisors LLC, Roger Lee supervises all activities of the firm. Roger Lee's response information is on the cover page of this disclosure document. Roger Lee adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.