

**Form ADV Part 2B – Brochure Supplement  
Item 1: Cover Page  
January 2016**

**Ariana Anais Mangum  
CRD# 6142259**

**Miramontes Capital, LLC  
3189 Pullman St.  
Costa Mesa, CA 92626**

This brochure supplement provides information about Ms. Mangum that supplements our brochure, which you should have received a copy of that brochure. Please contact Isidro Z. Miramontes, Chief Compliance Officer if you did not receive Miramontes Capital, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Mangum is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Ariana Anais Mangum**

**Year of Birth: 1987**

### **Educational Background:**

- 2013; California State Polytechnic University, Pomona; M.S. Economics

### **Business Background:**

- 07/2015 – Present Miramontes Capital, LLC; Wealth Planning Manager
- 12/2015 – Present Purshe Kaplan Sterling Investments, Inc.; Registered Representative
- 12/2012 – 07/2015 UBS Financial Services, Inc.; Wealth Strategy Associate
- 06/2012 – 08/2012 Nordstrom; Sales Associate
- 01/2007 – 11/2012 Bad Girls Kits Scrapbooking; Sales Associate

### **Exams, Licenses & Other Professional Designations:**

- 01/2014 – Series 66
- 10/2013 – Series 7

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Ms. Mangum.

## Item 4: Other Business Activities

Ms. Mangum is a registered representatives of Purshe Kaplan Sterling Investments, Inc., member FINRA/SIPC. She may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. In order to mitigate this potential conflict of interest, Ms. Mangum will recommend products based solely on the client's best interests.

## Item 5: Additional Compensation

Ms. Mangum does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Isidro Z. Miramontes, Chief Compliance Officer of Miramontes Capital, LLC, supervises and monitors Ms. Mangum's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Miramontes if you have any questions about Ms. Mangum's brochure supplement at (714) 782-0595.

### **Item 7: Requirements for State-Registered Advisers**

Ms. Mangum has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.