
BROCHURE SUPPLEMENT

Nelson Taul

Ross, Sinclair & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Nelson Taul that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Nelson Taul is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Larry Nelson Taul, Jr.

Date of Birth 02/14/1969

Director of Municipal Underwriting and Trading of Ross, Sinclair & Associates, LLC

Education

B.S. in English and Economics, Centre College, 1991

Business Background

Ross, Sinclair & Associates, LLC (1991 – Present) – Managing Director Sales, Trading, and Underwriting, Partner

Disciplinary Actions

Mr. Taul has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Nelson Taul has no investment related outside business activities.

Nelson Taul has no non-investment related outside business activities.

Nelson Taul is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Nelson Taul. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Taul if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Taul, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Andy Warning

Ross, Sinclair & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Andy Warning that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Andy Warning is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

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Education, Business, and Disciplinary Information

Andy S. Warning

Date of Birth 10/10/1971

Chief Compliance Officer of Ross, Sinclair & Associates, LLC

Education

B.A. in Organizational Leadership, Minor in Communications, Northern Kentucky University

Business Background

Ross, Sinclair & Associates, LLC (2013 – Present) – Chief Compliance Officer

Merrill Lynch (2012-2013) – Vice President Administrative Manager

Securities America, Inc. (2009-2012) – Regional Sales Supervision Principal

Breck and Young Advisors (2007-2009) – Risk and Audit Manager

*Purchased by Securities America, Inc. 10/2008

Western Southern Life-IFS (2005-2007) - Field Compliance Manager

Disciplinary Actions

Mr. Warning has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Andy Warning has no investment related outside business activities.

Andy Warning has no non-investment related outside business activities.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Andy Warning. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Warning if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Warning, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Thomas Williams

Ross, Sinclaire & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Thomas Williams that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Thomas Williams is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Thomas R. Williams, Sr.

Date of Birth 07/04/1956

Director of RSA Asset Management of Ross, Sinclair & Associates, LLC

Education

M.A. in International Management, Thunderbird School of Global Management, 1980

B.A. in Economics, Wittenberg University, 1978

Business Background

Ross, Sinclair & Associates, LLC (2010 – Present) – Director of RSA Asset Management

SBioMed LLC (2006 – 2010) – Chief Operating Officer

Fifth Third Bank (1992 – 2005) – Vice President / Product Manager Investment Advisory Division, President of Fifth Third Securities, Managing Director Corporate Finance

Wells Fargo Bank (1988 – 1992) – Portfolio manager

Security Pacific National Bank (1983 – 1988) – Vice President Merchant Banking Group

Disciplinary Actions

Mr. Williams has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Thomas Williams has no investment related outside business activities.

Thomas Williams is an unpaid board member for sBioMed, LLC.

Thomas Williams is on the board of United Cerebral Palsy of Greater Cincinnati.

Thomas Williams is on the board of The Convalescent Hospital for Children.

Thomas Williams is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Thomas Williams. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Williams if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Williams, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Justin Hennessy

Ross, Sinclair & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Justin Hennessy that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Justin Hennessy is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Justin D. Hennessy

Date of Birth 08/30/1954

Director of Portfolio Management, RSA Asset Management of Ross, Sinclaire & Associates, LLC

Education

2 Years Northeastern University, Boston, MA

1 Year Boston College, Chestnut Hill, MA

Business Background

Ross, Sinclaire & Associates, LLC (2011 – present) – Director of Portfolio Management

Northern Capital Securities Corp (2009 – 2011) – Managing Director / Fixed Income Trader and Municipal Portfolio Manager

Strategic Partners Investment Advisors (2000 – 2009) – Managing Director / Head of Portfolio Management

Ambac Financial Group (1992 – 2000) – Managing Director / Director of Fixed Income Investments

CIGNA Investment Management Co. (1986 – 1992) – Senior Vice President / Municipal Bond Portfolio Manager

Bank of Boston (1973 – 1986) – Investment Officer / Municipal Fixed Income Trader

Disciplinary Actions

Mr. Hennessy has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Justin Hennessy is President of PTS Analytics

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Justin Hennessy has no non-investment related outside business activities.

Justin Hennessy is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Justin Hennessy. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Hennessy if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Hennessy, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Peter P. Baden, CFA

Ross, Sinclaire & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Peter Baden that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Peter Baden is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Peter P Baden, CFA

Date of Birth 07/15/1964

Chief Investment Officer, RSA Asset Management of Ross, Sinclair & Associates LLC

Education

B. A. in Finance/Insurance, 1986, University of Cincinnati

Chartered Financial Analyst Designation, 1997

Business Background

Ross, Sinclair & Associates, LLC (2003 – present) – Chief Investment Officer

Schneider Electric (Square D) (2001 – 2003) – Technology Project Leader

City of Cincinnati (1995 – 2000) – Systems Analyst

US Bank, NA (1992 – 1995) – Investment Analyst / Fund Portfolio Manager

Pacholder Associates (Now JP Morgan High Yield) (1987 – 1992) – Assistant Vice President / Cash Portfolio Manager

Disciplinary Actions

Mr. Baden has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Peter Baden has no investment related outside business activities.

Peter Baden has no non-investment related outside business activities.

Peter Baden is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Peter Baden. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Baden if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Baden, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Rick B. Bell

Ross, Sinclaire & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Rick Bell that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Rick Bell is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Rick Bell

Date of Birth 04/05/1979

Portfolio Manager, RSA Asset Management of Ross, Sinclaire & Associates, LLC

Education

B.S. in Business Administration/Finance, 2010, Regis University

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Business Background

Ross, Sinclair & Associates, LLC (2006 – present) – Portfolio Manager

Disciplinary Actions

Mr. Bell has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Rick Bell has no investment related outside business activities.

Rick Bell has no non-investment related outside business activities.

Rick Bell is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Rick Bell. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Bell if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Bell, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Jake Terlau

Ross, Sinclaire & Associates, LLC
700 Walnut Street, Suite 600
Cincinnati, OH 45202
(513) 381-3939
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Jake Terlau that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Jake Terlau is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

John Jake Terlau

Date of Birth 12/14/1976

Registered Representative, RSA Asset Management of Ross, Sinclaire & Associates, LLC

Education

Certified Financial Planner, 2011

MBA, New York University School of Business, 2004

Bachelor of Science, University of Kentucky, 1999

Business Background

Ross, Sinclaire & Associates, LLC (2008 – Present) – Brokerage Services,
Registered Representative

Disciplinary Actions

Mr. Terlau has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Jake Terlau has no investment related outside business activities.

Jake Terlau has no non-investment related outside business activities.

Jake Terlau is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclaire & Associates, LLC.

Supervision

As Managing Director of Ross Sinclaire & Associates, LLC, Murray Sinclaire is primarily responsible for supervision of Ross Sinclaire and its IA Reps, including Jake Terlau. Advisory clients may contact Mr. Sinclaire at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Terlau if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Terlau, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Bradley C Pickrell

Ross, Sinclair & Associates, LLC
325 West Main Street. Suite 300
Lexington, KY 40507
(859) 977-6600
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Brad Pickrell that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Brad Pickrell is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

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Education, Business, and Disciplinary Information

Brad Pickrell

Date of Birth 01/11/1980

Registered Representative, RSA Asset Management of Ross, Sinclair & Associates, LLC

Education

BBA in Finance and Marketing, Gatton College of Business at the University of Kentucky, 2003

Business Background

Ross, Sinclair & Associates, LLC (2010 – Present) – Brokerage Services,
Registered Representative

Merrill Lynch (2003-2010) – Financial Advisor

Disciplinary Actions

Mr. Pickrell has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Brad Pickrell has no investment related outside business activities.

Brad Pickrell has no non-investment related outside business activities.

Brad Pickrell is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Chief Investment Officer, Peter Paul Baden is primarily responsible for supervision of Brad Pickrell. Advisory clients may contact Mr. Baden at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Pickrell if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Pickrell, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Andrew R. Paolillo

Ross, Sinclair & Associates, LLC
2 Oliver Street, Suite 1003
Boston, MA 02109
(617) 748-5530
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Andrew Paolillo that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Andrew Paolillo is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Andrew Paolillo

Date of Birth 09/24/1982

VP, Senior Portfolio Manager, RSA Asset Management of Ross, Sinclair & Associates, LLC

Education

BS in Business Administration with concentration in Finance, Fordham University, 2004

Business Background

Ross, Sinclair & Associates, LLC (8/2013 – Present) – VP, Senior Portfolio Manager, RSA Asset Management

Rocky Hill Asset Management (2009-2013) – Investment Advisor Representative

Bear Stearns (2004-2009)- Investment Advisor Representative

Disciplinary Actions

Mr. Paolillo has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Andrew Paolillo has no investment related outside business activities.

Andrew Paolillo has no non-investment related outside business activities.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Andrew Paolillo. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Paolillo if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Paolillo, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

William Kipfer Weese

Ross, Sinclair & Associates, LLC
2 Oliver Street, Suite 1003
Boston, MA 02109
(617) 748-5573
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Andrew Paolillo that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about William Weese is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

William Weese

Date of Birth 12/31/1970

Director of Advisory Marketing, RSA Asset Management of Ross, Sinclair & Associates, LLC

Education

BS in English Education, University of Maine, 1994

Business Background

Ross, Sinclair & Associates, LLC (11/2013 – Present) – Director of Advisory Marketing, RSA Asset Management

Institutional Manager Resource, LLC (2011-2013) – Principal

Portfolio Brokerage Services, Inc. (2005-2011)- Regional Director

Disciplinary Actions

Mr. Weese has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

William Weese has no investment related outside business activities.

William Weese has no non-investment related outside business activities.

Supervision

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including William Weese. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Weese if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Weese, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Joseph Robertson
Ross, Sinclair & Associates, LLC
175 South Third Street
Suite 1090
Columbus, OH 43215
(614) 220-4069
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Joseph Robertson that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Joseph Robertson is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Joseph Robertson

Date of Birth 05/13/1968

Managing Director of Municipal Underwriting at Ross, Sinclair & Associates, LLC

Education

B.S. in Finance and Economics, Ashland University

Business Background

Ross, Sinclair & Associates, LLC (January 2011 – Present) – Investment Banker

17 year career as an experienced investment banker

Disciplinary Actions

Mr. Robertson has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Joseph Robertson has no investment related outside business activities.

Joseph Robertson has non-investment related outside business activities. (Rental Property)

Joseph Robertson is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Director of Municipal Underwriting and Trading for Ross Sinclair & Associates, LLC, Nelson Taul is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Joseph Robertson. Advisory clients may contact Mr. Taul at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr.

Robertson if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Robertson, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Omar Ganoom
Ross, Sinclair & Associates, LLC
175 South Third Street
Suite 1090
Columbus, OH 43215
(614) 220-0965
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Omar Ganoom that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Omar Ganoom is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Omar Ganoom

Date of Birth 07/21/1955

Managing Director of Municipal Underwriting at Ross, Sinclaire & Associates, LLC

Education

B.A. in Political Science and Masters in Public Administration Economics, Ohio State

Business Background

Ross, Sinclaire & Associates, LLC (March 2009 – Present) – Investment Banker

35 year career as an experienced investment banker

Disciplinary Actions

Mr. Ganoom has a customer disclosure from 2006 while employed with Butler Wick. He has not been involved in any legal or disciplinary event since then that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Omar Ganoom has no investment related outside business activities.

Omar Ganoom has non-investment related outside business activities. (Rental Property and an Adjunct Professor)

Omar Ganoom is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclaire & Associates, LLC.

Supervision

As Director of Municipal Underwriting and Trading for Ross Sinclaire & Associates, LLC, Nelson Taul is primarily responsible for supervision of Ross Sinclaire and its IA Reps, including Omar Ganoom. Advisory clients may contact Mr. Taul at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclaire & Associates, LLC would be required to disclose additional information for Mr.

Ganoom if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Ganoom, there is no information to disclose in this regard.

BROCHURE SUPPLEMENT

Eric Prall
Ross, Sinclair & Associates, LLC
175 South Third Street
Suite 1090
Columbus, OH 43215
(614) 220-0869
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Eric Prall that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Eric Prall is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

Education, Business, and Disciplinary Information

Eric Prall

Date of Birth 11/16/1981

Managing Director of Municipal Underwriting at Ross, Sinclair & Associates, LLC

Education

B.S. in Finance, The Fisher College of Business at Ohio State University

Business Background

Ross, Sinclair & Associates, LLC (February 2009 – Present) – Investment Banker

Disciplinary Actions

Mr. Prall has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Eric Prall has no investment related outside business activities.

Eric Prall has non-investment related outside business activities. (Rental Property)

Eric Prall is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

Supervision

As Director of Municipal Underwriting and Trading for Ross Sinclair & Associates, LLC, Nelson Taul is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Eric Prall. Advisory clients may contact Mr. Taul at (513) 381-3939.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Prall if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Prall, there is no information to disclose in this regard.