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## BROCHURE SUPPLEMENT

Nelson Taul

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Ross, Sinclair & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Nelson Taul that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Nelson Taul is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

## **Education, Business, and Disciplinary Information**

Larry Nelson Taul, Jr.

Date of Birth 02/14/1969

Director of Municipal Underwriting and Trading of Ross, Sinclair & Associates, LLC

### *Education*

B.S. in English and Economics, Centre College, 1991

### *Business Background*

Ross, Sinclair & Associates, LLC (1991 – Present) – Managing Director Sales, Trading, and Underwriting, Partner

### *Disciplinary Actions*

Mr. Taul has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Nelson Taul has no investment related outside business activities.

Nelson Taul has no non-investment related outside business activities.

Nelson Taul is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Nelson Taul. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Taul if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Taul, there is no information to disclose in this regard.

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## BROCHURE SUPPLEMENT

Andy Armstrong

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Andy Armstrong that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Andy Armstrong is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

## **Education, Business, and Disciplinary Information**

Andy J. Armstrong

Date of Birth 04/23/1979

Chief Compliance Officer of Ross, Sinclair & Associates, LLC

### *Education*

B.A. in Business Administration, Northern Kentucky University, 2001

M.A. in Business Administration, Northern Kentucky University, 2010

### *Business Background*

Ross, Sinclair & Associates, LLC (2008 – Present) – Chief Compliance Officer

Fifth Third Securities (2001 – 2008) – Compliance Officer

### *Disciplinary Actions*

Mr. Armstrong filed Chapter 7 in 2009.

## **Other Business Activities and Additional Compensation**

Andy Armstrong has no investment related outside business activities.

Andy Armstrong has no non-investment related outside business activities.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Andy Armstrong. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Armstrong if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding.

Mr. Armstrong filed for Chapter 7 bankruptcy in May 2009. The filing was discharged in June 2009 and the matter is closed.

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## BROCHURE SUPPLEMENT

Thomas Williams

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Thomas Williams that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Thomas Williams is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

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## **Education, Business, and Disciplinary Information**

Thomas R. Williams, Sr.

Date of Birth 07/04/1956

Director of RSA Asset Management of Ross, Sinclaire & Associates, LLC

### *Education*

M.A. in International Management, Thunderbird School of Global Management, 1980

B.A. in Economics, Wittenberg University, 1978

### *Business Background*

Ross, Sinclaire & Associates, LLC (2010 – Present) – Director of RSA Asset Management

SBioMed LLC (2006 – 2010) – Chief Operating Officer

Fifth Third Bank (1992 – 2005) – Vice President / Product Manager Investment Advisory Division, President of Fifth Third Securities, Managing Director Corporate Finance

Wells Fargo Bank (1988 – 1992) – Portfolio manager

Security Pacific National Bank (1983 – 1988) – Vice President Merchant Banking Group

### *Disciplinary Actions*

Mr. Williams has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Thomas Williams has no investment related outside business activities.

Thomas Williams Is an unpaid board member for sBioMed, LLC.

Thomas Williams is on the board of United Cerebral Palsy of Greater Cincinnati.

Thomas Williams is on the board of The Convalescent Hospital for Children.

Thomas Williams is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Thomas Williams. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Williams if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Williams, there is no information to disclose in this regard.

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## BROCHURE SUPPLEMENT

Justin Hennessy

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Justin Hennessy that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Justin Hennessy is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.



## **Education, Business, and Disciplinary Information**

Justin D. Hennessy

Date of Birth 08/30/1954

Director of Portfolio Management, RSA Asset Management of Ross, Sinclaire & Associates, LLC

### *Education*

2 Years Northeastern University, Boston, MA

1 Year Boston College, Chestnut Hill, MA

### *Business Background*

Ross, Sinclaire & Associates, LLC (2011 – present) – Director of Portfolio Management

Northern Capital Securities Corp (2009 – 2011) – Managing Director / Fixed Income Trader and Municipal Portfolio Manager

Strategic Partners Investment Advisors (2000 – 2009) – Managing Director / Head of Portfolio Management

Ambac Financial Group (1992 – 2000) – Managing Director / Director of Fixed Income Investments

CIGNA Investment Management Co. (1986 – 1992) – Senior Vice President / Municipal Bond Portfolio Manager

Bank of Boston (1973 – 1986) – Investment Officer / Municipal Fixed Income Trader

### *Disciplinary Actions*

Mr. Hennessy has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Justin Hennessy is President of PTS Analytics

Version Date: January 15, 2014

Page 9 of 27

Justin Hennessy has no non-investment related outside business activities.

Justin Hennessy is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Justin Hennessy. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Hennessy if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Hennessy, there is no information to disclose in this regard.

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## **BROCHURE SUPPLEMENT**

Peter P. Baden, CFA

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Peter Baden that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Peter Baden is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

## **Education, Business, and Disciplinary Information**

Peter P Baden, CFA

Date of Birth 07/15/1964

Chief Investment Officer, RSA Asset Management of Ross, Sinclair & Associates LLC

### *Education*

B. A. in Finance/Insurance, 1986, University of Cincinnati

Chartered Financial Analyst Designation, 1997

### *Business Background*

Ross, Sinclair & Associates, LLC (2003 – present) – Chief Investment Officer

Schneider Electric (Square D) (2001 – 2003) – Technology Project Leader

City of Cincinnati (1995 – 2000) – Systems Analyst

US Bank, NA (1992 – 1995) – Investment Analyst / Fund Portfolio Manager

Pacholder Associates (Now JP Morgan High Yield) (1987 – 1992) – Assistant Vice President / Cash Portfolio Manager

### *Disciplinary Actions*

Mr. Baden has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Peter Baden has no investment related outside business activities.

Peter Baden has no non-investment related outside business activities.

Peter Baden is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Peter Baden. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Baden if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Baden, there is no information to disclose in this regard.

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## BROCHURE SUPPLEMENT

Rick B. Bell

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Rick Bell that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Rick Bell is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

## **Education, Business, and Disciplinary Information**

Rick Bell

Date of Birth 04/05/1979

Portfolio Manager, RSA Asset Management of Ross, Sinclaire & Associates, LLC

### *Education*

B.S. in Business Administration/Finance, 2010, Regis University

Version Date: January 15, 2014

Page 14 of 27

### *Business Background*

Ross, Sinclair & Associates, LLC (2006 – present) – Portfolio Manager

### *Disciplinary Actions*

Mr. Bell has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Rick Bell has no investment related outside business activities.

Rick Bell has no non-investment related outside business activities.

Rick Bell is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Rick Bell. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Bell if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Bell, there is no information to disclose in this regard.

## BROCHURE SUPPLEMENT

Jake Terlau

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Ross, Sinclaire & Associates, LLC  
700 Walnut Street, Suite 600  
Cincinnati, OH 45202  
(513) 381-3939  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Jake Terlau that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Jake Terlau is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.



## **Education, Business, and Disciplinary Information**

John Jake Terlau

Date of Birth 12/14/1976

Registered Representative, RSA Asset Management of Ross, Sinclaire & Associates, LLC

### *Education*

Certified Financial Planner, 2011

MBA, New York University School of Business, 2004

Bachelor of Science, University of Kentucky, 1999

### *Business Background*

Ross, Sinclaire & Associates, LLC (2008 – Present) – Brokerage Services,  
Registered Representative

### *Disciplinary Actions*

Mr. Terlau has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclaire & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Jake Terlau has no investment related outside business activities.

Jake Terlau has no non-investment related outside business activities.

Jake Terlau is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclaire & Associates, LLC.

## **Supervision**

As Managing Director of Ross Sinclaire & Associates, LLC, Murray Sinclaire is primarily responsible for supervision of Ross Sinclaire and its IA Reps, including Jake Terlau. Advisory clients may contact Mr. Sinclaire at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Terlau if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Terlau, there is no information to disclose in this regard.

## **BROCHURE SUPPLEMENT**

Bradley C Pickrell

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Ross, Sinclair & Associates, LLC  
325 West Main Street. Suite 300  
Lexington, KY 40507  
(859) 977-6600  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Brad Pickrell that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Brad Pickrell is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration itself does not imply any level or skill or training.

## **Education, Business, and Disciplinary Information**

Brad Pickrell

Date of Birth 01/11/1980

Registered Representative, RSA Asset Management of Ross, Sinclair & Associates, LLC

### *Education*

BBA in Finance and Marketing, Gatton College of Business at the University of Kentucky, 2003

### *Business Background*

Ross, Sinclair & Associates, LLC (2010 – Present) – Brokerage Services,  
Registered Representative

Merrill Lynch (2003-2010) – Financial Advisor

### *Disciplinary Actions*

Mr. Pickrell has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Brad Pickrell has no investment related outside business activities.

Brad Pickrell has no non-investment related outside business activities.

Brad Pickrell is a licensed securities broker and may earn compensation through commissions on an agency or principal basis on securities traded through Ross Sinclair & Associates, LLC.

## **Supervision**

As Chief Investment Officer, Peter Paul Baden is primarily responsible for supervision of Brad Pickrell. Advisory clients may contact Mr. Baden at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Pickrell if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Pickrell, there is no information to disclose in this regard.

## **BROCHURE SUPPLEMENT**

Andrew R. Paolillo

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Ross, Sinclair & Associates, LLC  
2 Oliver Street, Suite 1003  
Boston, MA 02109  
(617) 748-5530  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Andrew Paolillo that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Brad Pickrell is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

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## **Education, Business, and Disciplinary Information**

Andrew Paolillo

Date of Birth 09/24/1982

VP, Senior Portfolio Manager, RSA Asset Management of Ross, Sinclair & Associates, LLC

### *Education*

BS in Business Administration with concentration in Finance, Fordham University, 2004

### *Business Background*

Ross, Sinclair & Associates, LLC (8/2013 – Present) – VP, Senior Portfolio Manager, RSA Asset Management

Rocky Hill Asset Management (2009-2013) – Investment Advisor Representative

Bear Stearns (2004-2009)- Investment Advisor Representative

### *Disciplinary Actions*

Mr. Paolillo has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Andrew Paolillo has no investment related outside business activities.

Andrew Paolillo has no non-investment related outside business activities.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including Andrew Paolillo. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Paolillo if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Paolillo, there is no information to disclose in this regard.



## **BROCHURE SUPPLEMENT**

William Kipfer Weese

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Ross, Sinclair & Associates, LLC  
2 Oliver Street, Suite 1003  
Boston, MA 02109  
(617) 748-5573  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Andrew Paolillo that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Brad Pickrell is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

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## **Education, Business, and Disciplinary Information**

William Weese

Date of Birth 12/31/1970

Director of Advisory Marketing, RSA Asset Management of Ross, Sinclair & Associates, LLC

### *Education*

BS in English Education, University of Maine, 1994

### *Business Background*

Ross, Sinclair & Associates, LLC (11/2013 – Present) – Director of Advisory Marketing, RSA Asset Management

Institutional Manager Resource, LLC (2011-2013) – Principal

Portfolio Brokerage Services, Inc. (2005-2011)- Regional Director

### *Disciplinary Actions*

Mr. Weese has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

William Weese has no investment related outside business activities.

William Weese has no non-investment related outside business activities.

## **Supervision**

As Managing Director of Ross Sinclair & Associates, LLC, Murray Sinclair is primarily responsible for supervision of Ross Sinclair and its IA Reps, including William Weese. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Ross, Sinclair & Associates, LLC would be required to disclose additional information for Mr. Weese if he has ever been the subject of a bankruptcy petition or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply to Mr. Weese, there is no information to disclose in this regard.