

*This brochure supplement provides information about Matthew Ross Krenke that supplements the ASC Wealth & Retirement Advisors LLC brochure. You should have received a copy of that brochure. Please contact Matthew Ross Krenke if you did not receive ASC Wealth & Retirement Advisors LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Matthew Ross Krenke is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **ASC Wealth & Retirement Advisors LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Matthew Ross Krenke**

Personal CRD Number: 5199146

Investment Adviser Representative

ASC Wealth & Retirement Advisors LLC  
5583 Waterford Lane, Suite a  
Appleton, WI 54913  
(920) 735-9800  
[matthew@ascwealth.com](mailto:matthew@ascwealth.com)

UPDATED: 03/02/2016

## Item 2: Educational Background and Business Experience

**Name:** Matthew Ross Krenke      **Born:** 1973

### **Educational Background and Professional Designations:**

#### **Education:**

B.S. Economics, University of Wisconsin Oshkosh - 1997

#### **Designations:**

##### **ChFC®- Chartered Financial Consultant®**

##### **ChFC® MINIMUM QUALIFICATIONS:**

- Bachelor's degree or its equivalent, in any discipline, from an accredited university, this qualifies as one year of business experience
- Three years of full-time business experience is required; this three-year period must be within the five years preceding the date of the award (part-time qualifying business experience is also credited toward the three-year requirement with 2,000 hours representing the equivalent of one year full-time experience).
- Must fulfill the ChFC® seven course curriculum, as well as two additional elective courses
- Pass the exams for all required and elective courses
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

#### **Business Background:**

|                   |   |
|-------------------|---|
| 02/2016 - Present | Investment Advisor Representative<br>ASC Wealth & Retirement Advisors LLC |
| 08/2006 - 02/2016 | Financial Representative<br>Thrivent Financial                            |
| 11/2004 - 08/2006 | Owner<br>Figaros Pizza  |
| 10/1999 - 10/2014 | First National Bank - Fox Valley<br>Credit Analyst                        |

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Matthew Ross Krenke is an independent licensed insurance agent, and from time to time, may offer clients advice or products from those activities. Clients should be aware that these services may pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. ASC Wealth & Retirement Advisors LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of ASC Wealth & Retirement Advisors LLC in connection with such individual's activities outside of ASC Wealth & Retirement Advisors LLC.

### **Item 5: Additional Compensation**

Matthew Ross Krenke does not receive any economic benefit from any person, company, or organization, other than ASC Wealth & Retirement Advisors LLC in exchange for providing clients advisory services through ASC Wealth & Retirement Advisors LLC.

### **Item 6: Supervision**

As a representative of ASC Wealth & Retirement Advisors LLC, Matthew Ross Krenke is supervised by James R. Allan, the firm's Chief Compliance officer. James R. Allan is responsible for ensuring that Matthew Ross Krenke adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for James R. Allan is (920) 735-9800.