

*This brochure supplement provides information about Nathan Daniel Schleicher that supplements the ASC Wealth & Retirement Advisors LLC brochure. You should have received a copy of that brochure. Please contact Nathan Daniel Schleicher if you did not receive ASC Wealth & Retirement Advisors LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Nathan Daniel Schleicher is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **ASC Wealth & Retirement Advisors LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Nathan Daniel Schleicher**

Personal CRD Number: 4534784

Investment Advisor Representative

ASC Wealth & Retirement Advisors LLC  
5583 Waterford Lane, Suite A  
Appleton, WI 54913  
(920) 735-9800  
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UPDATED: 03/27/2017

## Item 2: Educational Background and Business Experience

**Name:** Nathan Daniel Schleicher **Born:** 1978

### **Educational Background and Professional Designations:**

#### **Education:**

BS Finance, Ferris State University – 2001

#### **Designations:**

**\*C(k)P Designation-** The C(k)P® Certified 401(k) Professional Designation represents the pinnacle of achievement for the professional Retirement Plan Advisor, recognizing a combination of substantial practical retirement plan management experience and the completion of a comprehensive and highly specialized educational program. To earn the right to use the C(k)P Designation requires more than just academic aptitude. A financial professional must demonstrate real world application of the core competencies taught in the classroom.

#### **Business Background:**

04/2015 - Present	Investment Advisor Representative ASC Wealth & Retirement Advisors LLC
04/2009 – 05/2015	Associate Advisor Verus Investment Advisory Group, Inc.
04/2009 - 07/2010	Registered Representative Purshe Kaplan Sterling Investments
10/2005 - 04/2009	Registered Representative SII Investments, Inc.
09/2005 – 04/2009	Associate Advisor Allan Haugner & Hunt, Inc.
02/2005 - 07/2005	Junior Trader Presidential Brokerage

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Nathan Daniel Schleicher is an independent licensed insurance agent, and from time to time, may offer clients advice or products from those activities. Clients should be aware that these services may pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment advisor. ASC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of ASC in connection with such individual's activities outside of ASC.

### **Item 5: Additional Compensation**

Nathan Daniel Schleicher does not receive any economic benefit from any person, company, or organization, other than ASC Wealth & Retirement Advisors LLC in exchange for providing clients advisory services through ASC Wealth & Retirement Advisors LLC.

### **Item 6: Supervision**

As a representative of ASC Wealth & Retirement Advisors LLC, Nathan Daniel Schleicher works closely with supervisor James R. Allan, and all advice provided to clients is reviewed by this supervisor prior to implementation. James R. Allan can be reached at (920) 735-9800. Nathan Daniel Schleicher adheres to all required regulations regarding the activities of an Investment Advisor Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.