

## **Part 2B of Form ADV: *Brochure Supplement***

This Supplemental Brochure is prepared for the customers of

Randal E. Ferguson

A registered investment advisory representative of

FIRST WESTERN SECURITIES, INC.

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Find us on the web at: [www.firstwesternsecurities.com](http://www.firstwesternsecurities.com)

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This brochure supplement provides information about Randal E. Ferguson that supplements the First Western Securities, Inc. brochure. You should have received a copy of that brochure. Please contact Randal E. Ferguson if you did not receive First Western Securities, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Randal E. Ferguson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Educational Background and Business Experience

Born in Fort Worth, Texas

Graduated Oklahoma State University, BS in Finance

Employment History:

First Western Securities, Inc. Investment Representative, President (Broker-Dealer)  
1987 to present

First Western Securities, Inc. IAR, President (RIA) 2003 to present

### Disciplinary Information

No disciplinary action.

### Other Business Activities

I have no outside business.

### Additional Compensation

My only compensation is provided by First Western Securities, Inc.

### Supervision

Randal E. Ferguson is the primary supervisor. Randal E. Ferguson will review recommended 3<sup>rd</sup> party money managers and the initial assessment of the appropriate strategy. For any direct investment advice given Randal E. Ferguson or a qualified designated principal will review the advisory client's accounts at least quarterly. Randal E. Ferguson is obliged to follow First Western Securities, Inc. Code of Ethics and Compliance manual, which prohibits conduct that deliberately, causes harm to a customer.

### Requirements for State-Registered Advisers

Randal E. Ferguson has no additional items requiring disclosure.