

**Jeffrey Scott Ferraro**

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**01/25/2013**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Jeffrey Ferraro that supplements the Fieldpoint Private Securities, LLC brochure. You should have received a copy of that brochure. Please contact us at 203-682-6550 if you did not receive Fieldpoint Private Securities, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jeffrey Ferraro is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## ***Educational Background and Business Experience***

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### **Jeffrey S. Ferraro**

*Year of Birth:* 1970

*Formal Education After High School:* BA, Villanova University, 1992  
Major: Economics

#### *Business Background for the Previous Five Years:*

**Fieldpoint Private Securities, LLC.**, Registered Representative, 05/2012 to Present;  
Fieldpoint Private Bank & Trust, Managing Director, 05/2012-Present  
Bank of America, U.S. Trust division, 10/2009-05/2012  
Bank of America Investment Services, 11/2005-10/2009

## ***Disciplinary Information***

Form ADV Part 2B, Item 3

Mr. Ferraro does not have any current disciplinary disclosure.

## ***Other Business Activities***

Form ADV Part 2B, Item 4

Approximately 99 percent of Mr. Ferraro's time is dedicated to activities relating to his role as an Investment Adviser Representative of Fieldpoint Private Securities, LLC ("FPS"), a dually registered securities broker-dealer and investment advisor. Mr. Ferraro is also a Registered Representative of FPS, and spends approximately 1 percent of his time in securities brokerage-related activities. As a Registered Representative of FPS, Mr. Ferraro may recommend or accept orders for securities transactions in brokerage accounts held at FPS. If such securities brokerage transactions are effected, Mr. Ferraro may receive payments not otherwise received in connection with advisory accounts, including customary transactional commissions and ongoing commissions for client assets held in mutual funds. FPS at all times strives to act in the best interests of its clients; however, the possibility of brokerage transaction compensation may present a conflict of interest and provide an incentive for transactional brokerage products to be recommended. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of our firm brochure for additional disclosures on this topic.

### ***Additional Compensation***

Form ADV Part 2B, Item 5

Mr. Ferraro has no additional compensation beyond what is described above.

### ***Supervision***

Form ADV Part 2B, Item 6

Mr. Ferraro is supervised by the President of Fieldpoint Private Securities, LLC, John Palazzetti, who may be reached at 203-413-9360.

Mr. Kummerfeld, a Principal and Chief Compliance Officer of Fieldpoint Private Securities, LLC. reviews account statements at least Quarterly.

### ***Requirements for State-Registered Advisers***

Form ADV Part 2B, Item 7

Mr. Ferraro has no additional disclosures that would be necessary for state registered advisers.