

**Dara Johnson**

CRD No: 3005845

**Fieldpoint Private Securities, LLC**

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**11-21-2014**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Dara Johnson that supplements the Fieldpoint Private Securities, LLC brochure. You should have received a copy of that brochure. Please contact us at 203-682-6550 if you did not receive Fieldpoint Private Securities, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Dara Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## ***Educational Background and Business Experience***

Form ADV Part 2B, Item 2

### **M. Thomas Conway**

*Year of Birth:* 1975

*Formal Education After High School:* Lafayette College, BA, 1997. Majors: Economics and Business

#### ***Business Background for the Previous Five Years:***

Fieldpoint Private Securities, LLC., Registered Representative, Investment Advisor, 2011 to Present;  
Fieldpoint Private Bank & Trust, Managing Director, 2008-Present  
Goldman Sachs, Vice President, 09-2005 to 04-2008  
Merrill Lynch, Financial Advisor, 06-1997 to 08-2005

## ***Disciplinary Information***

Form ADV Part 2B, Item 3

Ms. Johnson does not have any current disciplinary disclosure.

## ***Other Business Activities***

Form ADV Part 2B, Item 4

Approximately 90 percent of Ms. Johnson's time is dedicated to activities relating to her role as an Investment Adviser Representative of Fieldpoint Private Securities, LLC ("FPS"), which is a dually registered securities broker-dealer and investment advisor. Ms. Johnson is also a Registered Representative of FPS, and may spend approximately 5 percent of her time in securities brokerage-related activities. As a Registered Representative of FPS, Ms. Johnson may recommend or accept orders for securities transactions in brokerage accounts held at FPS. If such securities brokerage transactions are effected, Ms. Johnson may receive payments not otherwise received in connection with advisory accounts, including customary transactional commissions and ongoing commissions for client assets held in mutual funds. FPS at all times strives to act in the best interests of its clients; however, the possibility of brokerage transaction compensation may present a conflict of interest and provide an incentive for transactional brokerage products to be recommended. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of our firm brochure for additional disclosures on this topic.

Ms. Johnson, as a Managing Director of Fieldpoint Private Bank & Trust, may spend approximately 5 percent of her time on lending-related activities. Her time spent on these activities might conflict with the time required for investment advisory activities.

## ***Additional Compensation***

Ms. Johnson has no additional compensation beyond what is described above.

### ***Supervision***

Mr. Conway is supervised by the President of Fieldpoint Private Securities, LLC, Robert S Matthews, who may be reached at 203-413-9300.

Mr. Kummerfeld, a Principal and Chief Compliance Officer of Fieldpoint Private Securities, LLC. reviews account activity at least Quarterly.