

**Part 2B of Form ADV: Brochure Supplement**  
**Item 1: Cover Page**

**SUSSEX FINANCIAL GROUP, INC.**

**60 Revere Drive, Suite 907**

**Northbrook, IL 60062**

**Phone: 847-498-5722**

**Fax: 847-498-6960**

This Supplement covers the educational and business background, any legal or disciplinary events and any other business activities and additional compensation arrangements for Marvin Heiman, the Investment Adviser and provider of advisory services for Sussex Financial Group, Inc. This Supplement also describes any supervisory procedures that may be required in the conduct of the advisory services provided by Sussex Financial Group, Inc.

## **ITEM 2: EDUCATION AND BUSINESS BACKGROUND**

Marvin Heiman is the founder and 100% owner of Sussex Financial Group, Inc. since its founding in 1986. Mr. Heiman is also the firm's President and Chief Compliance Officer and has operated exclusively with Sussex Financial Group, Inc. since 1986. Mr. Heiman majored in Business Administration at Roosevelt University in Chicago. Mr. Heiman can be contacted directly at [sussexfin@aol.com](mailto:sussexfin@aol.com).

## **ITEM 3: DISCIPLINARY INFORMATION**

There have never been any legal, criminal or investment related disciplinary actions or proceedings against Marvin Heiman by any Federal or State regulatory agency, foreign financial regulatory authority or self-regulatory authority in which a professional attainment, designation, or license was revoked, nor are there any pending actions.

## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Marvin Heiman is the 100% owner of Sussex Insurance Group, Inc. which is licensed in Illinois to sell a variety of insurance products such as life, health, property and casualty. The business location is the same as Sussex Financial Group, Inc. and is operated exclusively by Scott Heiman who is also the Vice-President of Sussex Financial Group, Inc. Sussex Insurance Group, Inc. operates autonomously from Sussex Financial Group, Inc.

## **ITEM 5: ADDITIONAL COMPENSATION**

Neither Mr. Heiman nor any employees, Officers, or Independent Contractors of Sussex Financial Group, Inc. or Sussex Insurance Group, Inc. receive any compensation or any other economic benefits from either clients or outside sources in the rendering of advisory services. Neither Sussex Financial Group, Inc. nor Sussex Insurance Group, Inc. receive or have received any economic benefits as a result of the advisory services provided by Marvin Heiman.

## **ITEM 6: SUPERVISION**

Marvin Heiman and Earl Holtzman are responsible for rendering investment advisory services for the firm's accounts. All trading activity is reviewed daily by Marvin Heiman, the Chief Compliance Officer of Sussex Financial Group, Inc. and then reviewed again at month end by both Marvin Heiman and Earl Holtzman prior to sending out any firm generated portfolio statements. Marvin Heiman holds a mandatory Annual Compliance Meeting for all employees, Registered Representatives and Independent Contractors to review current operating procedures as well as any new compliance directives of the Securities and Exchange Commission and the State of Illinois.

## **ITEM 7: REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

With Reference to above Item 3, there are no disclosures or events to report regarding disciplinary actions or proceedings against Marvin Heiman, nor are there any pending actions or proceedings, and, as such, no elaboration on any event is applicable.