

This brochure supplement provides information about Andrew Lewis Groat that supplements the Aldemar Research Corporation brochure. You should have received a copy of that brochure. Please contact Andrew Lewis Groat if you did not receive Aldemar Research Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew Lewis Groat is also available on the SEC's website at www.adviserinfo.sec.gov.

Aldemar Research Corporation

Form ADV Part 2B – Individual Disclosure Brochure

for

Andrew Lewis Groat

Personal CRD Number: 2542579

Investment Adviser Representative

Aldemar Research Corporation
7030 East Genesee Street, Suite 110
Fayetteville, New York 13066
(315) 382-4843
agroa@aldemarresearch.com

UPDATED: 10/01/2018

Item 2: Educational Background and Business Experience

Name: Andrew Lewis Groat **Born:** 1968

Educational Background and Professional Designations:

Education:

MBA Finance, Syracuse University - 1994

BA History, Colgate University - 1991

Business Background:

03/2015 - Present Chief Compliance Officer
Aldemar Research Corporation

01/2011 – May 2015 Registered Rep
Ridgeway & Conger

09/2004 - 01/2011 Registered Rep
RBC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Andrew Lewis Groat is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Andrew Lewis Groat does not receive any economic benefit from any person, company, or organization, other than Aldemar Research Corporation in exchange for providing clients advisory services through Aldemar Research Corporation.

Item 6: Supervision

As the only owner and representative of Aldemar Research Corporation, Andrew Lewis Groat supervises all activities of the firm. Andrew Lewis Groat's response information is on the cover page of this disclosure document. Andrew Lewis Groat adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by New York and Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Andrew Lewis Groat has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Andrew Lewis Groat has NOT been the subject of a bankruptcy petition in the past ten years.