

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
August 2015**

**Michael Porfido**

**January Capital Advisors LLC  
855 Sansome Street, 3<sup>rd</sup> Floor  
San Francisco, CA 94111  
[www.JanuaryCapital.com](http://www.JanuaryCapital.com)**

**Firm Contact:  
Jeffrey Hodges  
Managing Partner**

**This brochure supplement provides information about Mr. Porfido that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Hodges if you did not receive January Capital Advisors LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Porfido is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2: Educational Background & Business Experience

**Michael Anthony Porfido**

**Year of Birth:** 1957

### **Educational Background:**

- 1980: California State University, Chico; Bachelor of Science in Finance

### **Business Background:**

- 05/2015 – Present LPL Financial LLC; Registered Representative
- 11/2014 – Present January Capital Advisors LLC; Managing Partner, Chief Compliance Officer & Investment Advisor
- 06/2006 – 05/2015 Ameritas Investment Corp; Registered Representative
- 06/2006 – 05/2015 Ameritas Life Insurance Corp; Agent
- 02/1986 – 10/2014 San Francisco Associates; Associate
- 06/2006 – 12/2011 Acacia Life Insurance; Agent
- 06/1995 – 12/2011 Union Central Life Insurance; Agent
- 07/1999 – 06/2006 Carillon Investments Inc; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 1985: Series 7 Exam
- 1987: CERTIFIED FINANCIAL PLANNER (CFP®)
- 1988: Series 63 Exam
- 1990: Series 24 Exam
- 1996: Series 65 Exam
- CA Insurance Licensed – Accident & Health, Life and Variable Contracts

The CERTIFIED FINANCIAL PLANNER™ certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP board's standard of professional conduct. As a prerequisite the IAR must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the standards of professional conduct.

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to your evaluation of Mr. Porfido.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

#### **Item 4: Other Business Activities**

Mr. Porfido is a registered representative with LPL Financial LLC, member FINRA/SIPC and a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn. In order to mitigate this conflict of interest, Mr. Porfido will recommend products based solely on the client's best interests.

#### **Item 5: Additional Compensation**

Mr. Porfido does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

As a Managing Partner of January Capital Advisors LLC, Jeffrey Hodges supervises and monitors Mr. Porfido's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Hodges if you have any questions about Mr. Porfido's brochure supplement at (415)364-0209.

#### **Item 7: Requirements for State-Registered Advisers**

Mr. Porfido has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.