

**Supplement to**  
**Part 2A of Form ADV**

**12/27/2016**

## **Martha Stubblefield Mason Biographical Information**

Martha Mason, born in 1959, currently serves as President and Chief Executive Officer of Taylor Securities, Inc. She obtained a Bachelor of Science degree in Business in 1981 from Lipscomb University and joined Taylor Financial Corporation in 1982. She first became registered in the securities industry in 1994 and currently has the following registrations: Uniform Securities Agent State Law Series 63, Investment Company and Variable Contracts Representative Series 6, Financial Operations Principal Series 28, Operations Professional Series 99, and Investment Advisor registration. She also serves as Vice President and Chief Operating Officer for Taylor Financial Corporation and Taylor-Webster, Inc., as well as Taylor Securities, Inc.

### Disciplinary Information

Ms. Mason has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Ms. Mason or of Taylor Securities, Inc.

### Other Business Activities

Ms. Mason is not engaged in any other investment related business outside of Taylor Securities, Inc. However, she continues to work for and is a minority shareholder of Taylor Financial Corporation and Taylor-Webster, Inc, in addition to Taylor Securities, Inc.

### Additional Compensation

In connection to providing investment advice to clients, Ms. Mason does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Ms. Mason is a member of the management team at Taylor Securities, Inc. She is also a member of the firm's investment advisory committee, which evaluates the current market conditions, researches various investment options, and makes recommendations appropriate to individual clients. Her securities activities and recommendations are overseen by the Chief Compliance Officer, George W. Moody.

## **Barbara Gail Daugherty Biographical Information**

Barbara Daugherty, born in 1952, currently serves as Secretary-Treasurer of Taylor Securities, Inc. She obtained a Bachelor of Science degree in Education in 1974 and a Master of Education degree in Psychology in 1980 from Middle Tennessee State University. She first became registered in the securities industry in 1986, when she began working for Taylor Securities, Inc. She currently has the following securities registrations: Uniform Securities Agent State Law Series 63, Investment Company and Variable Products Representative Series 6, Investment Company and Variable Products Principal Series 26, Financial Operations Principal Series 28, Operations Professional Series 99, and Investment Advisor registration.

### Disciplinary Information

Ms. Daugherty has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Ms. Daugherty or of Taylor Securities, Inc.

### Other Business Activities

Ms. Daugherty is not engaged in any other investment related business outside of Taylor Securities, Inc. However, she may on occasion represent both Taylor Financial Corporation and Taylor-Webster, Inc.

### Additional Compensation

In connection with providing investment advice to clients, Ms. Daugherty does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Ms. Daugherty is one of the compliance officers at Taylor Securities, Inc. She is also a member of the firm's investment advisory committee, which evaluates the current market conditions, researches various investment options, and makes recommendations appropriate to individual clients. Her securities activities and recommendations are overseen by the Chief Compliance Officer, George W. Moody.

## **William G. Taylor III Biographical Information**

William Taylor III, born in 1981, currently serves as a Financial Advisor for Taylor Securities, Inc. He obtained a Bachelor of Science degree in Engineering from the University of Tennessee in 2004. After working in the aerospace industry for two years, he joined Taylor Securities, Inc. and obtained his first securities registration in 2007. He currently has the following FINRA registrations: Uniform Securities Agent State Law & Investment Advisor Series 66, General Securities Representative Series 7, General Securities Principal Series 24, Municipal Securities Principal Series 53, Operations Professional Series 99, and Investment Advisor Registration. He also is a Certified Financial Planner™ professional. In addition to serving Taylor Securities, Inc, he also serves as Vice-President of Taylor Financial Corporation, an independent insurance agency, and Taylor-Webster, Inc., a retirement plan administration and consulting firm.

### Disciplinary Information

Mr. Taylor has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Taylor or of Taylor Securities, Inc.

### Other Business Activities

In addition to Taylor Securities Inc., Mr. Taylor continues to work for and is the majority shareholder of Taylor Financial Corporation and Taylor-Webster, Inc. Outside of Taylor Securities, Inc., he is also a partner in a real estate investment.

### Additional Compensation

In connection to providing investment advice to clients, Mr. Taylor does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Mr. Taylor is a member of the firm's management team at Taylor Securities, Inc. His securities activities are overseen by the Chief Compliance Officer, George W. Moody.

## **David A. Lankford Biographical Information**

David Lankford, born in 1955, currently serves as Vice President, an Investment Advisor, and member of the investment advisory committee at Taylor Securities, Inc. He obtained a Bachelor of Science degree in Accounting in 1978 from Lipscomb University. He first became registered in the securities industry in 1986 and currently has the following registrations: Uniform Securities Agent State Law Series 63, General Securities Representative Series 7, General Securities Principal Series 24, Operations Professional Series 99, and Investment Advisor registration. He also works as an insurance agent for Taylor Financial Corporation, an independent insurance agency, and on some occasions, he may represent Taylor-Webster, Inc, a retirement plan administration and consulting firm.

### Disciplinary Information

Mr. Lankford has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Lankford or of Taylor Securities, Inc.

### Other Business Activities

Mr. Lankford is not engaged in any other investment related business outside of Taylor Securities, Inc. However, he may on occasion represent both Taylor Financial Corporation and Taylor-Webster, Inc.

### Additional Compensation

In connection to providing investment advice to clients, Mr. Lankford does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Mr. Lankford is a member of the investment advisory committee, which evaluates the current market conditions, researches the various investment options, and makes recommendations appropriate to individual clients. His recommendations and investment activities are overseen by the firm's Chief Compliance Officer, George W. Moody.

## **George W. Moody Biographical Information**

George Moody, born in 1983, currently serves as General Securities Principal, Municipal Securities Principal, and Chief Compliance Officer of Taylor Securities, Inc. He obtained a bachelor's degree in Finance From Auburn University in 2005, first became registered in the securities industry in 2006, and joined Taylor Securities, Inc. in 2011. He currently holds the following registrations: Uniform Securities Agent State Law and Investment Advisor Series 66, Investment Company and Variable Contracts Representative Series 6, General Securities Representative Series 7, General Securities Principal Series 24, Financial Operations Principal Series 28, Municipal Securities Principal Series 53, Options Principal Series 4, and Operations Professional Series 99.

### Disciplinary Information

Mr. Moody has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Moody or of Taylor Securities, Inc.

### Other Business Activities

Mr. Moody is not engaged in any other investment related business outside of Taylor Securities, Inc. However, he may on occasion represent both Taylor Financial Corporation and Taylor-Webster, Inc.

### Additional Compensation

In connection with providing investment advice to clients, Mr. Moody does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Mr. Moody is a member of the management team at Taylor Securities, Inc. as well as a member of the investment advisory committee. As Chief Compliance Officer, General Securities Principal, and Municipal Securities Principal, he oversees the investment and other securities activities of the firm.

## **Jay Lawrence Hollis, Jr. Biographical Information**

Jay Hollis, born in 1977, currently serves the firm as Financial Advisor focusing on business development and retirement plan client relations. He obtained a bachelor's degree in journalism and public relations from the University of Mississippi in 2002 and joined Taylor Securities, Inc. in 2011. He has the following registrations in the Securities Industry: Uniform Securities Agent State Law and Investment Adviser Series 66, Operations Professional Series 99, and General Securities Representative Series 7. He also works in our affiliate companies, Taylor Financial Corporation and Taylor-Webster, Inc.

### Disciplinary Information

Mr. Hollis has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Hollis or of Taylor Securities, Inc.

### Other Business Activities

Mr. Hollis is not engaged in any other investment related business outside of Taylor Securities, Inc. However, he continues to work for and is a minority shareholder of Taylor Financial Corporation and Taylor-Webster, Inc., in addition to Taylor Securities, Inc.

### Additional Compensation

In connection to providing investment advice to clients, Mr. Hollis does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the two affiliated corporations named above.

### Supervision

Mr. Hollis' securities activities are overseen by the Chief Compliance Officer, George W. Moody.

## **Frederick Allan Phillips Biographical Information**

Allan Phillips, born in 1978, currently serves as a Financial Advisor for Taylor Securities, Inc. He obtained a Bachelors of Arts in Communications from Vanderbilt University in 2001. He first became registered in the securities industry in 2006, and joined Taylor Securities, Inc. in 2016. He has the following registrations in the securities industry: Uniform Securities Agent State Law and Investment Adviser Series 66, Operations Professional Series 99, and General Securities Representative Series 7. He also works for our affiliate company, Taylor Financial Corporation, and holds his Life, Accident & Health, and Variable Insurance licenses.

### Disciplinary Information

Mr. Phillips has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Phillips or of Taylor Securities, Inc.

### Other Business Activities

Mr. Phillips is not engaged in any other investment related business outside of Taylor Securities, Inc. However, he may represent our affiliate company, Taylor Financial Corp.

### Additional Compensation

In connection to providing investment advice to clients, Mr. Phillips does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the affiliated corporation named above.

### Supervision

Mr. Phillips' securities activities are overseen by the Chief Compliance Officer, George W. Moody.



## **Frederic Albert Palmliden Biographical Information**

Fred Palmliden, born in 1978, currently serves as Investment Strategist for Taylor Securities, Inc. He obtained a bachelor's degree in Mathematics from Union University in 2000. He first became registered in the securities industry in 2001 and joined Taylor Securities in 2016. He has the following registrations in the securities industry: Uniform Securities Agent State Law and Investment Advisor Series 66, General Securities Representative Series 7, Retail Off-Exchange FOREX Examination Series 34, National Commodity Futures Examination Series 3, and Research Analyst Exams Series 86 and 87.

### Disciplinary Information

Mr. Palmliden has not been involved in any illegal or disciplinary events that would be material to a client's evaluation of Mr. Palmliden or of Taylor Securities, Inc.

### Other Business Activities

Mr. Palmliden is not engaged in any other investment related business outside of Taylor Securities, Inc.

### Additional Compensation

In connection to providing investment advice to clients, Mr. Palmliden does not receive economic benefits from any person or entity other than Taylor Securities, Inc. and the affiliated corporation named above.

### Supervision

Mr. Palmliden's securities activities are overseen by the Chief Compliance Officer, George W. Moody.