

ITEM 1: Cover Page for  
PART 2B OF FORM ADV:  
BROCHURESUPPLEMENT  
DATED 08/26/2015

**Mr. David Cheng**  
**CRD # 2911348**

CASICO Asset Management, LLC.  
10250 Constellation Blvd.  
23rd floor  
Century City, CA 90067  
PHONE NUMBER: (631) 235-0618

**FIRM CONTACT: Jay Sayers, CCO**

**FIRM WEBSITE ADDRESS: <http://casicofunds.com/>**

**This brochure supplement provides information about Mr. Jay Sayers that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Sayers if you did not receive CASICO Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Sayers is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

**David Cheng**

**Born: 05/19/1975**

### **Education:**

1997 – Harvard College, Cambridge, MA – A.B. Degree

### **Licenses and other Professional Designations:**

Series 65 – 03/2015

### **Employment:**

CASICO Asset Management, LLC: 04/2015 – present

CASICO, LLC : 01/2012 – 04/2015

Oakdale Capital Management, LLC: 05/2004 – 12/2009

## **Item 3 Disciplinary Information**

Mr. Cheng does not have any legal or disciplinary information to disclose.

## **Item 4 Other Business Activities**

Mr. Cheng does not have outside business activities to disclose.

## **Item 5 Additional Compensation**

Mr. Cheng does not currently have any additional compensation to disclose.

## **Item 6 Supervision**

Mr. Cheng is supervised by the CCO of Casico Asset Management, LLC, Jay Sayers:

Email: [jsayers@casicofunds.com](mailto:jsayers@casicofunds.com) Phone: (631) 235-0618

## **Item 7 Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, Mr. Cheng discloses all material facts regarding the following:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Cheng has nothing to disclose.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;

- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Cheng has nothing to disclose.

B. If the *supervised person* has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

Mr. Cheng has nothing to disclose.