

*This brochure supplement provides information about Christopher John Mendoza that supplements the Factor Technologies Inc. brochure. You should have received a copy of that brochure. Please contact Christopher John Mendoza if you did not receive Factor Technologies Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Christopher John Mendoza is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Factor Technologies Inc.**

d/b/a HummingBird

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Christopher John Mendoza**

Personal CRD Number: 5213966

Investment Adviser Representative

10620 Trenea Street, Suite 230  
San Diego, CA 92131  
(858) 880-0217  
[www.factorindexing.com](http://www.factorindexing.com)  
[mendoza@factorindexing.com](mailto:mendoza@factorindexing.com)

UPDATED: 09/02/2015

## Item 2: Educational Background and Business Experience

**Name:** Christopher John Mendoza **Born:** 1978

### Educational Background and Professional Designations:

#### Education:

Masters of Business Administration International Business & Finance, Rollins College - 2003  
Bachelors of Science Finance, University of Florida - 2000

#### Business Background:

09/2012 - Present	President & CIO Factor Technologies Inc.
05/2006 - Present	Portfolio Manager Graham Investment Management Inc
11/2004 - Present	Director JING Institute
06/2000 - 11/2004	Sr Business Analyst Lockheed Martin Corp
06/2000 - 01/0001	Senior Business Analyst Lockheed Martin

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Christopher John Mendoza is an investment adviser representative with another firm. From time to time, he will offer clients advice or products from this activity. Factor Technologies Inc. always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Factor Technologies Inc. in such individual's outside capacity.

Christopher John Mendoza is director at the JING Institute. The JING Institute is a Chinese cultural center that teaches Chinese language and culture. He anticipates his activities at the JING institute will consume less than 25% of his overall time. Factor Technologies Inc. will not offer clients advice or products from this activity. Always acts in the best interest of the client and clients are in no way required to the services of any representative of Factor Technologies Inc. in connection with such individual's activities outside of Factor Technologies Inc.

#### **Item 5: Additional Compensation**

Christopher John Mendoza does not receive any economic benefit from any person, company, or organization, other than Factor Technologies Inc. in exchange for providing clients advisory services through Factor Technologies Inc..

#### **Item 6: Supervision**

Christopher John Mendoza is a co-owner and co-supervisor of Factor Technologies Inc., and works closely with co-supervisor Ian A Lucas. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Ian Lucas can be reached at (858) 880-0217. Christopher John Mendoza adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California State securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Christopher John Mendoza has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Christopher John Mendoza has **NOT** been the subject of a bankruptcy petition in the past ten years.

