

*This brochure supplement provides information about Kristina L Ickes that supplements the Weatherhelm Capital Management LLC brochure. You should have received a copy of that brochure. Please contact Kristina L Ickes if you did not receive Weatherhelm Capital Management LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Kristina L Ickes is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Weatherhelm Capital Management LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Kristina L Ickes**

Personal CRD Number: 5225223

Investment Adviser Representative

Weatherhelm Capital Management LLC  
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UPDATED: 03/16/2015

## Item 2: Educational Background and Business Experience

**Name:** Kristina L Ickes **Born:** 1966

### Educational Background and Professional Designations:

#### Education:

MBA Business Administration, University of Phoenix, AZ - 2004  
Bachelors Degree Philosophy/Psychology, University of Massachusetts, Lowell - 1999

#### Business Background:

|                   |   |
|-------------------|---|
| 03/2015 - Present | Investment Adviser Representative<br>Weatherhelm Capital Management LLC |
| 05/2014 - Present | Administrator II<br>State of New Hampshire                              |
| 05/2009 - 06/2014 | Financial Advisor<br>Merrill Lynch                                      |
| 09/2006 - 06/2009 | Chief Information Officer<br>UBS  |

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Kristina Ickes is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Kristina L Ickes does not receive any economic benefit from any person, company, or organization, other than Weatherhelm Capital Management LLC in exchange for providing clients advisory services through Weatherhelm Capital Management LLC.

### **Item 6: Supervision**

As a representative of Weatherhelm Capital Management LLC, Kristina L Ickes works closely with supervisor Jason C Kastner, and all advice provided to clients is reviewed by this supervisor prior to implementation. Jason Kastner can be reached at (518) 407-3886. Kristina L Ickes adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

