

*This brochure supplement provides information about J. Clark Kastner that supplements the Weatherhelm Capital Management LLC brochure. You should have received a copy of that brochure. Please contact J. Clark Kastner if you did not receive Weatherhelm Capital Management LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about J. Clark Kastner is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Weatherhelm Capital Management LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**J. Clark Kastner**

Personal CRD Number: 3268079

Investment Adviser Representative

Weatherhelm Capital Management LLC  
15 West Main Street - Varak Park Professional Building  
Cambridge, NY 12816  
(518) 407-3886  
[clark@weatherhelm.net](mailto:clark@weatherhelm.net)

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## Item 2: Educational Background and Business Experience

**Name:** J. Clark Kastner      **Born:** 1977

### Educational Background and Professional Designations:

#### Education:

MSc (hons.) Finance, The London School of Economics - 2008  
AB *cum laude* Government, Harvard University - 1999

#### Business Background:

01/2015 - Present	Managing Director Chief Investment Officer Weatherhelm Capital Management LLC Cambridge, NY
11/2014 - Present	Law Clerk Jurist Consultant Costello, Valente & Gentry, PC Brattleboro, VT
12/2010 - 01/2015	Financial Advisor Portfolio Manager, PIA Program Merrill Lynch, Pierce, Fenner & Smith Inc. West Dover, VT
08/2009 - 09/2010	Senior Vice President Head of USD Interest Rate Derivatives Jefferies & Company, Inc. New York, NY
08/2008 - 08/2009	Unemployed
10/2005 - 07/2008	Associate Director PM, Regulatory Liquidity Reserve Portfolio Barclays Capital London, UK

05/2004 - 08/2005

Strategist  
Haverford Securities LTD.  
Hamilton, Bermuda

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

J. Clark Kastner is engaged as a jurist consultant / law clerk. From time to time, he will offer clients advice or products from this activity. Weatherhelm Capital Management LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Weatherhelm Capital Management LLC in any legal capacity..

J. Clark Kastner is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Weatherhelm Capital Management LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Weatherhelm Capital Management LLC in their capacity as a licensed insurance agent.

### **Item 5: Additional Compensation**

J. Clark Kastner does not receive any economic benefit from any person, company, or organization, other than Weatherhelm Capital Management LLC in exchange for providing clients advisory services through Weatherhelm Capital Management LLC.

### **Item 6: Supervision**

As the only owner and representative of Weatherhelm Capital Management LLC, J. Clark Kastner supervises all activities of the firm. J. Clark Kastner's response information is on the cover page of this disclosure document. J. Clark Kastner adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.