

*This brochure supplement provides information about David A Hathaway that supplements the SHP Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact David A Hathaway if you did not receive SHP Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about David A Hathaway is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **SHP Wealth Management, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **David A Hathaway**

Personal CRD Number: 5838143

Investment Adviser Representative

SHP Wealth Management, LLC  
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UPDATED: 08/29/2018

## Item 2: Educational Background and Business Experience

**Name:** David A Hathaway **Born:** 1983

### Educational Background and Professional Designations:

#### Education:

Bachelor of Science; Finance, Oral Roberts University - 2006

#### Business Background:

07/2018 - Present	Investment Adviser Representative SHP Wealth Management, LLC
12/2017 - 07/2018	Investment Support Rep Santander Investment Services
05/2016 - 05/2016	Relationship Manager Capital Advisors
08/2010 - 05/2016	Director of Managed Accts Compass Pointe Financial
06/2006 - 08/2010	Tax Specialist DP Financial & Tax

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

David A Hathaway is an independent licensed insurance agent with SHP Financial. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. SWML always acts in the best interest of the client; including the sale of commissionable

products to advisory clients. Clients are in no way required to utilize the services of any representative of SWML in connection with such individual's activities outside of SWML.

### **Item 5: Additional Compensation**

David A Hathaway does not receive any economic benefit from any person, company, or organization, other than SHP Wealth Management, LLC in exchange for providing clients advisory services through SHP Wealth Management, LLC.

### **Item 6: Supervision**

As a representative of SHP Wealth Management, LLC, David A Hathaway is supervised by Matthew Peck, the firm's Chief Compliance Officer. Matthew Peck is responsible for ensuring that David A Hathaway adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Matthew Peck is (508) 746-2400.