

This brochure supplement provides information about Keith Winslow Ellis that supplements the SHP Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Keith Winslow Ellis if you did not receive SHP Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Keith Winslow Ellis is also available on the SEC's website at www.adviserinfo.sec.gov.

SHP Wealth Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Keith Winslow Ellis

Personal CRD Number: 6441180

Investment Adviser Representative

SHP Wealth Management, LLC
225 Water St Unit C210
Plymouth, MA 02360
(508) 746-2400
keithe@shpne.com

UPDATED: 10/22/2018

Item 2: Educational Background and Business Experience

Name: Keith Winslow Ellis **Born:** 1977

Educational Background and Professional Designations:

Education:

Bachelors History, Education, and American Studies, Franklin Pierce - 2001

Business Background:

01/2015 - Present	Co-owner SHP Wealth Management, LLC
01/2018 - Present	Director WAGG Marketing
7/23/2010 - Present	Partner D&K Partners, LLC
1/24/2007 - Present	Partner GEP Real Estate, LLC
08/2002 - Present	Owner/ Partner Keith Ellis and Associates, LLC
08/2002 - Present	Owner/ Partner SHP Financial, LLC
01/2015 - 12/2015	Investment Advisor Representative Eqis Capital Management

Item 3: Disciplinary Information

On October 28, 2015, the Massachusetts Division of Securities entered an order in the matter of SHP Wealth Management, LLC, Matthew C. Peck, CFP®, Derek L. Gregoire, and Keith W. Ellis, Jr., conditioning the registration of the firm on the firm engaging an independent compliance consultant to conduct annual compliance reviews of the firm for five years. In addition, for a

period of five years, the firm is prohibited from having custody of client assets or securities other than for deduction of advisory fees in compliance with MA regulations.

Item 4: Other Business Activities

Keith Winslow Ellis is a licensed insurance agent and Owner/Partner of an insurance agency, SHP Financial, LLC. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. SWML always acts in the best interest of the client and clients are in no way required to utilize the services of any representative of SWML in such individual's outside capacities.

Keith Winslow Ellis engages in a relationship with attorney Keith McManus of McManus Estate Planning. They share insurance commissions on mutual clients.

Keith Winslow Ellis is the Managing Member of several Limited Liability Corporation listed above. Clients of SHP Wealth Management, LLC are not offered any of the services of these entities.

Keith Winslow Ellis is a director of WAGG Marketing, not investment related, he spends 2 hours per week.

Item 5: Additional Compensation

Keith Winslow Ellis does not receive any economic benefit from any person, company, or organization, other than SHP Wealth Management, LLC in exchange for providing clients advisory services through SHP Wealth Management, LLC.

Item 6: Supervision

Keith Winslow Ellis is a co-owner and co-supervisor of SHP Wealth Management, LLC, and works closely with co-supervisor Matthew C. Peck, CFP®. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Matthew C. Peck, CFP® can be reached at (508) 746-2400. Keith Winslow Ellis adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.