

**Form ADV Part 2B: *Brochure Supplement***

**Item 1 – Cover Page**

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**This brochure supplement provides information about Matthew Piepenburg that supplements the Ark Financial Management, LLC disclosure brochure. You should have received a copy of that brochure. Please contact Matthew Piepenburg at 703-774-3520 or at [mpiepenburg@arkfinria.com](mailto:mpiepenburg@arkfinria.com) if you did not receive Ark Financial Management's brochure or if you have any questions about the contents of this supplement. Additional information about Matthew Piepenburg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 – Educational Background and Business Experience**

### **Matthew Piepenburg**

Born: 1970

CRD # 5754125

#### ***Post Secondary Educational Background:***

- Brown University, Bachelor of Arts - History: 1992
- University of Michigan Law School, Juris Doctor: 1995
- Harvard University, Master of Arts - Humanities: 1997

#### ***Business Background:***

- Ark Financial Management, Investment Advisor Representative, 01/2015 to Present
- Ark Strategic Investment Partners, LLC, Managing Director, 12/2014 to Present
- Ark Partners Fund, LLC, Chief Investment Officer, April 2016 to Present
- No Employment from 03/2014 to 11/2014
- Drake Libby, Chief Investment Officer, 05/2013 to 02/2014
- Massey Quick & Company, Managing Director, 04/2011 to 04/2013
- Tiedemann Wealth Management, Senior Vice President, 10/2010 to 03/2011
- Shepherd Kaplan LLC, Investment Advisor Representative and Sr. Vice President, 12/2009 to 08/2010
- Insight Partners, Financial Advisor, 01/2009 to 11/2009
- J. Howard Johnson Family Office, General Counsel and Chief Investment Officer, 01/2000 to 11/2009

## **Item 3 – Disciplinary Information**

Matthew Piepenburg has no legal or disciplinary events to report.

## **Item 4 – Other Business Activities**

Matthew Piepenburg spends approximately 90% of his time on the following other business activities with the remaining amount of his time devoted to Ark Financial Management responsibilities.

### **Ark Strategic Investment Partners, LLC**

Matthew Piepenburg is a Managing Director of Ark Strategic Investment Partners, LLC. It manages a private pooled investment vehicle designed under a private fund structure known as Ark Strategic Partners, LP. Ark Strategic Investment Partners serves as general partner and investment manager of the Ark Strategic Partners, LP, which is a private fund. . The term private fund has been defined by regulators to mean “an issuer that would be an investment company as defined in section 3 of the Investment Company Act of 1940 but for section 3(c)(1) or 3(c)(7) of that Act. The offer and sale of interests in the Ark Private Fund are exempt from registration under the Securities Act of 1933 and similar state laws.

### **Ark Partners Fund, LLC**

Matthew Piepenburg is Chief Investment Officer of Ark Partners Fund, LLC. It manages a private pooled investment vehicle designed under a private fund structure known as The Ark Fund, LP. Ark Partners

Fund, LLC serves as general partner and investment manager of The Ark Fund, LP, which is a private fund. The Ark Fund, LP is a fund of hedge funds for qualified investors, and, in particular, institutional investors.

#### **Item 5 – Additional Compensation**

Other than his normal salary and share of company profits as an owner of the firm, Matthew Piepenburg receives no additional compensation related to the advisory services provided through Ark Financial Management.

#### **Item 6 – Supervision**

Robert Wiedemer is the Chief Compliance Officer of Ark Financial Management. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Matthew Piepenburg. Robert Wiedemer can be contacted at 703-774-3520.

#### **Item 7 – Requirements for State-Registered Advisers**

Matthew Piepenburg has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.

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