

*This brochure supplement provides information about Robert A Moore that supplements the Pine Valley Investments brochure. You should have received a copy of that brochure. Please contact Robert A Moore if you did not receive Pine Valley Investments's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Robert A Moore is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Pine Valley Investments**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Robert A Moore**  
Personal CRD Number: 2051333  
Investment Adviser Representative

Pine Valley Investments  
810 Chapel Ave. West - Suite 150  
Cherry Hill, NJ 08002  
(856) 334-8260  
[bmoore@pinevalleyinvestments.com](mailto:bmoore@pinevalleyinvestments.com)

UPDATED: 02/07/2018

## Item 2: Educational Background and Business Experience

**Name:** Robert A Moore      **Born:** 1957

### **Educational Background and Professional Designations:**

#### **Education:**

Robert A Moore has not received any higher education degrees after high school.

#### **Business Background:**

|                   |  |
|-------------------|--|
| 03/2016 - Present | Investment Advisor Representative<br>Pine Valley Investments |
| 03/2016 - Present | Senior Investment Advisor<br>Pine Valley Investments, LLC    |
| 09/2016 - Present | Registered Representative<br>Purshe Kaplan Sterling          |
| 05/2002 - 03/2016 | Advisor<br>Morgan Stanley                                    |

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Robert A Moore is a registered representative with Purshe Kaplan Sterling. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Pine Valley Investments always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Pine Valley Investments in such individual's outside capacities.

### **Item 5: Additional Compensation**

Robert A Moore does not receive any economic benefit from any person, company, or organization, other than Pine Valley Investments in exchange for providing clients advisory services through Pine Valley Investments.

### **Item 6: Supervision**

As a representative of Pine Valley Investments, Robert A Moore is supervised by Harry Morad, the firm's Chief Compliance officer. Harry Morad is responsible for ensuring that Robert A Moore adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Harry Morad is (856) 334-8260.