

**Form ADV Part 2B – Brochure Supplement**  
**Item 1: Cover Page**  
**March 2015**

**Timothy Winter**

**Steward Family Office, LLC**  
**500 La Terraza Blvd., Suite 150**  
**Escondido, CA 92025**

This brochure supplement provides information about Timothy Winter that supplements our brochure. You should have received a copy of that brochure. Please contact Timothy Winter, Chief Compliance Officer if you did not receive Steward Family Office, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Winter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Timothy Winter**

**Year of Birth:** 1978

### **Educational Background:**

- 2002; Texas A&M University; Masters Degree in Finance
- 2000; Oklahoma Christian University; Bachelors Degree in Business Management

### **Business Background:**

- 12/2014 – Present Steward Family Office, LLC; Owner, Chief Compliance Officer, and Investment Adviser Representative
- 11/2006 – 11/2014 Merrill Lynch, Pierce, Fenner & Smith Inc., Vice President
- 03/2004 – 11/2006 Merrill Lynch Trust Company, FSB, Vice President

### **Exams, Licenses & Other Professional Designations:**

- 10/2007 – Certified Financial Planner
- 02/2005 - Series 65

### **CFP® - CERTIFIED FINANCIAL PLANNER™:**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Winter.

#### **Item 4: Other Business Activities**

Mr. Winter does not have any outside business activities to disclose.

#### **Item 5: Additional Compensation**

Mr. Winter does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Mr. Winter is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

#### **Item 7: Requirements for State-Registered Advisers**

Mr. Winter has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.