

Reedmark Advisors, LLC

Firm Brochure - Form ADV Part 2A

This brochure provides information about the qualifications and business practices of Reedmark Advisors, LLC. If you have any questions about the contents of this brochure, please contact us at (973) 360-5931 or by email at: apascazio@reedmark.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Reedmark Advisors, LLC is also available on the SEC's website at www.adviserinfo.sec.gov. Reedmark Advisors, LLC's CRD number is: 173760.

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Registration does not imply a certain level of skill or training.

Version Date: 03/21/2017

Item 2: Material Changes

There are no material changes in this brochure from the last annual updating amendment of Reedmark Advisors, LLC. Material changes relate to Reedmark Advisors, LLC's policies, practices or conflicts of interests.

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Item 4: Advisory Business

A. Description of the Advisory Firm

Reedmark Advisors, LLC (hereinafter “Reedmark”) is a Limited Liability Company organized in the State of Delaware.

The firm was formed in October 2014, and the principal owner is Anthony Joseph Pascasio.

B. Types of Advisory Services

Portfolio Management Services

Reedmark offers ongoing portfolio management services based on the individual goals, objectives, time horizon, and risk tolerance of each client. Reedmark creates an Investment Policy Statement for each client, which outlines the client’s current situation (income, tax levels, and risk tolerance levels) and then constructs a plan to aid in the selection of a portfolio that matches each client's specific situation. Portfolio management services include, but are not limited to, the following:

- Investment strategy
- Asset allocation
- Risk tolerance
- Personal investment policy
- Asset selection
- Regular portfolio monitoring

Reedmark evaluates the current investments of each client with respect to their risk tolerance levels and time horizon. Reedmark will request discretionary authority from clients in order to select securities and execute transactions without permission from the client prior to each transaction. Risk tolerance levels are documented in the Investment Policy Statement, which is given to each client.

Reedmark seeks to provide that investment decisions are made in accordance with the fiduciary duties owed to its accounts and without consideration of Reedmark’s economic, investment or other financial interests. To meet its fiduciary obligations, Reedmark attempts to avoid, among other things, investment or trading practices that systematically advantage or disadvantage certain client portfolios, and accordingly, Reedmark’s policy is to seek fair and equitable allocation of investment opportunities/transactions among its clients to avoid favoring one client over another over time. It is Reedmark’s policy to allocate investment opportunities and transactions it identifies as being appropriate and prudent among its clients on a fair and equitable basis over time.

Selection of Other Advisers

Reedmark may direct clients to third-party investment advisers to manage all or a portion of the client's assets. Before selecting other advisers for clients, Reedmark will always ensure those other advisers are properly licensed or registered as an investment adviser. Reedmark then makes investments with a third-party investment adviser by referring the client to the third-party adviser. These investments may be allocated either through the third-party adviser's fund or through a separately managed account managed by such third party adviser on behalf of Reedmark's client. Reedmark may also allocate among one or more private equity funds or private equity fund advisers. Reedmark will not review the ongoing performance of the third-party adviser as a portion of the client's portfolio.

Pension Consulting Services

Reedmark offers ongoing consulting services to pension or other employee benefit plans (including but not limited to 401(k) plans) based on the demographics, goals, objectives, time horizon, and/or risk tolerance of the plan's participants.

Retirement Plan Assessment Services

Reedmark offers retirement plan assessment services. These services include a total review of the overall plan including compliance, actuarial, fiduciary advisory, investment advisory and industry benchmarking. Clients are billed an hourly rate based upon the level of professional who contributes to the overall project. Client signs an engagement letter before any work is begun. Hourly rates range from \$250.00 per hour to \$500.00 per hour.

C. Client Tailored Services and Client Imposed Restrictions

Reedmark will tailor a program for each individual client. This will include an interview session to get to know the client's specific needs and requirements as well as a plan that will be executed by Reedmark on behalf of the client. Reedmark may use "model portfolios" together with a specific set of recommendations for each client based on their personal restrictions, needs, and targets. Clients may not impose restrictions in investing in certain securities or types of securities in accordance with their values or beliefs.

D. Wrap Fee Programs

A wrap fee program is an investment program where the investor pays one stated fee that includes management fees, transaction costs, fund expenses, and other administrative fees. Reedmark does not participate in any wrap fee programs.

E. Assets Under Management

Reedmark has the following assets under management:

| Discretionary Amounts: | Non-discretionary Amounts: | Date Calculated: |
|------------------------|----------------------------|------------------|
| \$3,200,000.00 | \$723,000,000.00 | March 1, 2017 |

Item 5: Fees and Compensation

A. Fee Schedule

Asset-Based Fees for Portfolio Management

| Total Assets Under Management | Annual Fee |
|-------------------------------|------------|
| \$0 - \$3,000,000 | 1.00% |
| \$3,000,001 - \$5,000,000 | 0.75% |
| \$5,000,001 - \$10,000,000 | 0.50% |
| \$10,000,001 - \$15,000,000 | 0.40% |
| \$15,000,001 - \$25,000,000 | 0.30% |
| \$25,000,000 - And Up | 0.25% |

These fees are generally negotiable and the final fee schedule is attached as Exhibit II of the Investment Advisory Contract. Clients may terminate the agreement without penalty for a full refund of Reedmark's fees within five business days of signing the Investment Advisory Contract. Thereafter, clients may terminate the Investment Advisory Contract generally with 30 days' written notice.

Reedmark uses an average of the daily balance in the client's account throughout the billing period, after taking into account deposits and withdrawals, for purposes of determining the market value of the assets upon which the advisory fee is based.

Selection of Other Advisers Fees

Reedmark will receive its standard fee on top of the fee paid to the third party adviser. This relationship will be memorialized in each contract between Reedmark and each third-party adviser. The fees will not exceed any limit imposed by any regulatory agency.

The notice of termination requirement and payment of fees for third-party investment advisers will depend on the specific third-party adviser selected.

These fees are negotiable.

Reedmark may engage in the selection of third-party money managers, but does not have any such arrangements in place at this time. This service may be canceled with 30 days' notice.

Pension Consulting Services Fees

Asset-Based Fees for Pension Consulting

| Total Assets Under Management | Annual Fee |
|--------------------------------------|-------------------|
| \$0 - \$15,000,000 | 0.50% |
| \$15,000,001 - \$30,000,000 | 0.30% |
| \$30,000,001 - \$50,000,000 | 0.25% |
| \$50,000,001 - And Up | 0.15% |

These fees are generally negotiable and the final fee schedule is attached as Exhibit II of the Investment Advisory Contract.

Clients may terminate the agreement without penalty for a full refund of Reedmark's fees within five business days of signing the Investment Advisory Contract. Thereafter, clients may terminate the Investment Advisory Contract generally with 30 days' written notice. Reedmark uses an average of the daily balance in the client's account throughout the billing period, after taking into account deposits and withdrawals, for purposes of determining the market value of the assets upon which the advisory fee is based.

Retirement Plan Assessment Services

Reedmark offers retirement plan assessment services. These services include a total review of the overall plan including compliance, actuarial, fiduciary advisory, investment advisory and industry benchmarking. Clients are billed an hourly rate based upon the level of professional who contributes to the overall project. Client signs an engagement letter before any work is begun. Hourly rates range from \$250.00 per hour to \$500.00 per hour.

B. Payment of Fees

Payment of Asset-Based Portfolio Management Fees

Asset-based portfolio management fees are withdrawn directly from the client's accounts with client's written authorization on a quarterly basis. Fees are paid in arrears.

Payment of Asset-Based Pension Consulting Fees

Asset-based pension consulting fees are withdrawn directly from the client's accounts with client's written authorization on a quarterly basis. Fees are paid in arrears.

Payment of Selection of Other Advisers Fees

The timing, frequency, and method of paying fees for selection of third-party managers will depend on the specific third-party adviser selected.

Retirement Plan Assessment Services

Clients are billed an hourly rate based upon the level of professional who contributes to the overall project. Client signs an engagement letter before any work is begun. Hourly rates range from \$250.00 per hour to \$500.00 per hour. Fees are paid in arrears.

C. Client Responsibility For Third Party Fees

Clients are responsible for the payment of all third party fees (i.e. custodian fees, brokerage fees, mutual fund fees, transaction fees, etc.). Those fees are separate and distinct from the fees and expenses charged by Reedmark. Please see Item 12 of this brochure regarding broker-dealer/custodian.

D. Prepayment of Fees

Reedmark collects its fees in arrears. It does not collect fees in advance.

E. Outside Compensation For the Sale of Securities to Clients

Neither Reedmark nor its supervised persons accept any compensation for the sale of securities or other investment products, including asset-based sales charges or service fees from the sale of mutual funds.

Item 6: Performance-Based Fees and Side-By-Side Management

Reedmark does not accept performance-based fees or other fees based on a share of capital gains on or capital appreciation of the assets of a client.

Item 7: Types of Clients

Reedmark generally provides advisory services to the following types of clients:

- ❖ Individuals
- ❖ High-Net-Worth Individuals
- ❖ Pension and Profit Sharing Plans
- ❖ Charitable Organizations

Minimum Account Size for Portfolio Management

There is an account minimum of \$500,000, which may be waived by Reedmark in its discretion.

Minimum Account Size for Pension Consulting

There is an account minimum of \$5,000,000, which may be waived by Reedmark in its discretion.

Item 8: Methods of Analysis, Investment Strategies, and Risk of Investment Loss

A. Methods of Analysis and Investment Strategies

Methods of Analysis

Reedmark's methods of analysis include charting analysis, fundamental analysis and quantitative analysis.

Charting analysis involves the use of patterns in performance charts. Reedmark uses this technique to search for patterns used to help predict favorable conditions for buying and/or selling a security.

Fundamental analysis involves the analysis of financial statements, the general financial health of companies, and/or the analysis of management or competitive advantages.

Quantitative analysis deals with measurable factors as distinguished from qualitative considerations such as the character of management or the state of employee morale, such as the value of assets, the cost of capital, historical projections of sales, and so on.

Investment Strategies

Reedmark uses long term trading.

Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

B. Material Risks Involved

Methods of Analysis

Charting analysis strategy involves using and comparing various charts to predict long and short term performance or market trends. The risk involved in using this method is that only past performance data is considered without using other methods to crosscheck data. Using charting analysis without other methods of analysis would be making the assumption that past performance will be indicative of future performance. This may not be the case.

Fundamental analysis concentrates on factors that determine a company's value and expected future earnings. This strategy would normally encourage equity purchases in stocks that are undervalued or priced below their perceived value. The risk assumed is that the market will fail to reach expectations of perceived value.

Quantitative Model Risk: Investment strategies using quantitative models may perform differently than expected as a result of, among other things, the factors used in the models, the weight placed on each factor, changes from the factors' historical trends, and technical issues in the construction and implementation of the models.

Investment Strategies

Long term trading is designed to capture market rates of both return and risk. Due to its nature, the long-term investment strategy can expose clients to various types of risk that will typically surface at various intervals during the time the client owns the investments. These risks include but are not limited to inflation (purchasing power) risk, interest rate risk, economic risk, market risk, and political/regulatory risk.

Selection of Other Advisers: Reedmark's selection process cannot ensure that money managers will perform as desired and Reedmark will have no control over the day-to-day operations of any of its selected money managers. Reedmark would not necessarily be aware of certain activities at the underlying money manager level, including without limitation a money manager's engaging in unreported risks, investment "style drift" or even regulator breach or fraud.

Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

C. Risks of Specific Securities Utilized

Clients should be aware that there is a material risk of loss using any investment strategy. The investment types listed below are not guaranteed or insured by the FDIC or any other government agency.

Mutual Funds: Investing in mutual funds carries the risk of capital loss and thus you may lose money investing in mutual funds. All mutual funds have costs that lower investment returns. The funds can be of bond “fixed income” nature (lower risk) or stock “equity” nature.

Equity investment generally refers to buying shares of stocks in return for receiving a future payment of dividends and/or capital gains if the value of the stock increases. The value of equity securities may fluctuate in response to specific situations for each company, industry conditions and the general economic environments.

Fixed income investments generally pay a return on a fixed schedule, though the amount of the payments can vary. This type of investment can include corporate and government debt securities, leveraged loans, high yield, and investment grade debt and structured products, such as mortgage and other asset-backed securities, although individual bonds may be the best known type of fixed income security. In general, the fixed income market is volatile and fixed income securities carry interest rate risk. (As interest rates rise, bond prices usually fall, and vice versa. This effect is usually more pronounced for longer-term securities.) Fixed income securities also carry inflation risk, liquidity risk, call risk, and credit and default risks for both issuers and counterparties. The risk of default on treasury inflation protected/inflation linked bonds is dependent upon the U.S. Treasury defaulting (extremely unlikely); however, they carry a potential risk of losing share price value, albeit rather minimal. Risks of investing in foreign fixed income securities also include the general risk of non-U.S. investing described below.

Exchange Traded Funds (ETFs): An ETF is an investment fund traded on stock exchanges, similar to stocks. Investing in ETFs carries the risk of capital loss (sometimes up to a 100% loss in the case of a stock holding bankruptcy). Areas of concern include the lack of transparency in products and increasing complexity, conflicts of interest and the possibility of inadequate regulatory compliance.

Hedge Funds often engage in leveraging and other speculative investment practices that may increase the risk of investment loss; can be highly illiquid; are not required to provide periodic pricing or valuation information to investors; May involve complex tax structures and delays in distributing important tax information; are not subject to the same regulatory requirements as mutual funds; and often charge high fees. In addition, hedge funds may invest in risky securities and engage in risky strategies.

Private equity funds carry certain risks. Capital calls will be made on short notice, and the failure to meet capital calls can result in significant adverse consequences, including but not limited to a total loss of investment.

Past performance is not indicative of future results. Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

Item 9: Disciplinary Information

A. Criminal or Civil Actions

There are no criminal or civil actions to report.

B. Administrative Proceedings

There are no administrative proceedings to report.

C. Self-regulatory Organization (SRO) Proceedings

There are no self-regulatory organization proceedings to report.

Item 10: Other Financial Industry Activities and Affiliations

A. Registration as a Broker/Dealer or Broker/Dealer Representative

Neither Reedmark nor its representatives are registered as, or have pending applications to become, a broker/dealer or a representative of a broker/dealer.

B. Registration as a Futures Commission Merchant, Commodity Pool Operator, or a Commodity Trading Advisor

Neither Reedmark nor its representatives are registered as or have pending applications to become either a Futures Commission Merchant, Commodity Pool Operator, or Commodity Trading Advisor or an associated person of the foregoing entities.

C. Registration Relationships Material to this Advisory Business and Possible Conflicts of Interests

Anthony Joseph Pascazio is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Reedmark always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to

utilize the services of any representative of Reedmark in connection with such individual's activities outside of Reedmark.

D. Selection of Other Advisers or Managers and How This Adviser is Compensated for Those Selections

Reedmark may direct clients to third-party investment advisers to manage all or a portion of the client's assets. Clients will pay Reedmark its standard fee in addition to the standard fee for the advisers to which it directs those clients. This relationship will be memorialized in each contract between Reedmark and each third-party advisor. The fees will not exceed any limit imposed by any regulatory agency. Reedmark will always act in the best interests of the client, including when determining which third-party investment adviser to recommend to clients. Reedmark will ensure that all recommended advisers are licensed or notice filed in the states in which Reedmark is recommending them to clients.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

A. Code of Ethics

Reedmark has a written Code of Ethics that covers the following areas: Prohibited Purchases and Sales, Insider Trading, Personal Securities Transactions, Exempted Transactions, Prohibited Activities, Conflicts of Interest, Gifts and Entertainment, Confidentiality, Service on a Board of Directors, Compliance Procedures, Compliance with Laws and Regulations, Procedures and Reporting, Certification of Compliance, Reporting Violations, Compliance Officer Duties, Training and Education, Recordkeeping, Annual Review, and Sanctions. Reedmark's Code of Ethics is available free upon request to any client or prospective client.

B. Recommendations Involving Material Financial Interests

Reedmark does not recommend that clients buy or sell any security in which a related person to Reedmark or Reedmark has a material financial interest.

C. Investing Personal Money in the Same Securities as Clients

From time to time, representatives of Reedmark may buy or sell securities for themselves that they also recommend to clients. This may provide an opportunity for representatives of Reedmark to buy or sell the same securities before or after recommending the same securities to clients resulting in representatives profiting off the recommendations they provide to clients. Such transactions may create a conflict of interest. Reedmark will always document any transactions that could be construed as conflicts of interest and will

never engage in trading that operates to the client's disadvantage when similar securities are being bought or sold.

D. Trading Securities At/Around the Same Time as Clients' Securities

From time to time, representatives of Reedmark may buy or sell securities for themselves at or around the same time as clients. This may provide an opportunity for representatives of Reedmark to buy or sell securities before or after recommending securities to clients resulting in representatives profiting off the recommendations they provide to clients. Such transactions may create a conflict of interest; however, Reedmark will never engage in trading that operates to the client's disadvantage if representatives of Reedmark buy or sell securities at or around the same time as clients.

Item 12: Brokerage Practices

A. Factors Used to Select Custodians and/or Broker/Dealers

Custodians/broker-dealers will be recommended based on Reedmark's duty to seek "best execution," which is the obligation to seek execution of securities transactions for a client on the most favorable terms for the client under the circumstances. Clients will not necessarily pay the lowest commission or commission equivalent, and Reedmark may also consider the market expertise and research access provided by the broker-dealer/custodian, including but not limited to access to written research, oral communication with analysts, admittance to research conferences and other resources provided by the brokers that may aid in Reedmark's research efforts. Reedmark will never charge a premium or commission on transactions, beyond the actual cost imposed by the broker-dealer/custodian.

Reedmark will recommend clients to use Schwab Institutional, a division of Charles Schwab & Co., Inc.

1. Research and Other Soft-Dollar Benefits

While Reedmark has no formal soft dollars program in which soft dollars are used to pay for third party services, Reedmark may receive research, products, or other services from custodians and broker-dealers in connection with client securities transactions ("soft dollar benefits"). Reedmark may enter into soft-dollar arrangements consistent with (and not outside of) the safe harbor contained in Section 28(e) of the Securities Exchange Act of 1934, as amended. There can be no assurance that any particular client will benefit from soft dollar research, whether or not the client's transactions paid for it, and Reedmark does not seek to allocate benefits to client accounts proportionate to any soft dollar credits generated by the accounts. Reedmark benefits by not having to produce or pay for the research, products or services, and Reedmark will have an incentive to recommend a broker-dealer based

on receiving research or services. Clients should be aware that Reedmark's acceptance of soft dollar benefits may result in higher commissions charged to the client.

2. *Brokerage for Client Referrals*

Reedmark receives no referrals from a broker-dealer or third party in exchange for using that broker-dealer or third party.

3. *Clients Directing Which Broker/Dealer/Custodian to Use*

Reedmark may permit Clients to direct it to execute transactions through a specified broker-dealer. Clients must refer to their advisory agreements for a complete understanding of how they may be permitted to direct brokerage. If a client directs brokerage, the client will be required to acknowledge in writing that the Client's direction with respect to the use of brokers supersedes any authority granted to Reedmark to select brokers; this direction may result in higher commissions, which may result in a disparity between free and directed accounts and trades for the client and other directed accounts may be executed after trades for free accounts, which may result in less favorable prices, particularly for illiquid securities or during volatile market conditions. Not all investment advisers allow their clients to direct brokerage.

B. Aggregating (Block) Trading for Multiple Client Accounts

Reedmark does not aggregate or bunch the securities to be purchased or sold for multiple clients. This may result in less favorable prices, particularly for illiquid securities or during volatile market conditions.

Item 13: Reviews of Accounts

A. Frequency and Nature of Periodic Reviews and Who Makes Those Reviews

All client accounts for Reedmark's advisory services provided on an ongoing basis are reviewed at least quarterly by Anthony J Pascazio, Managing Member with regard to clients' respective investment policies and risk tolerance levels. All accounts at Reedmark are assigned to this reviewer.

B. Factors That Will Trigger a Non-Periodic Review of Client Accounts

Reviews may be triggered by material market, economic or political events, or by changes in client's financial situations (such as retirement, termination of employment, physical move, or inheritance).

C. Content and Frequency of Regular Reports Provided to Clients

Each client of Reedmark's advisory services provided on an ongoing basis will receive a quarterly report detailing the client's account, including assets held, asset value, and calculation of fees. This written report will come from the custodian. Reedmark will also provide at least quarterly a separate written statement to the client.

Item 14: Client Referrals and Other Compensation

A. Economic Benefits Provided by Third Parties for Advice Rendered to Clients (Includes Sales Awards or Other Prizes)

Reedmark does not receive any economic benefit, directly or indirectly from any third party for advice rendered to Reedmark's clients.

B. Compensation to Non – Advisory Personnel for Client Referrals

Reedmark does not directly or indirectly compensate any person who is not advisory personnel for client referrals.

Item 15: Custody

When advisory fees are deducted directly from client accounts at client's custodian, Reedmark will be deemed to have limited custody of client's assets and must have written authorization from the client to do so. Clients will receive all account statements and billing invoices that are required in each jurisdiction, and they should carefully review those statements for accuracy.

Item 16: Investment Discretion

Reedmark provides discretionary and non-discretionary investment advisory services to clients. The Investment Advisory Contract established with each client sets forth the discretionary authority for trading. Where investment discretion has been granted, Reedmark generally manages the client's account and makes investment decisions without consultation with the client as to when the securities are to be bought or sold for the account, the total amount of the securities to be bought/sold, what securities to buy or sell, or the price per share. In some instances, Reedmark's discretionary authority in making these determinations may be limited by conditions imposed by a client (in investment guidelines or objectives, or client instructions otherwise provided to Reedmark).

Item 17: Voting Client Securities (Proxy Voting)

Reedmark will not ask for, nor accept voting authority for client securities. Clients will receive proxies directly from the issuer of the security or the custodian. Clients should direct all proxy questions to the issuer of the security.

Item 18: Financial Information

A. Balance Sheet

Reedmark neither requires nor solicits prepayment of more than \$1,200 in fees per client, six months or more in advance, and therefore is not required to include a balance sheet with this brochure.

B. Financial Conditions Reasonably Likely to Impair Ability to Meet Contractual Commitments to Clients

Neither Reedmark nor its management has any financial condition that is likely to reasonably impair Reedmark's ability to meet contractual commitments to clients.

C. Bankruptcy Petitions in Previous Ten Years

Reedmark has not been the subject of a bankruptcy petition in the last ten years.