

Elysian Capital Markets Group LLC

CRD # 173354

30212 Tomas, Suite 365
Rancho Santa Margarita, CA 92688
949-713-1630
www.elysiancmg.com

Form ADV, Part 2A Brochure

July 29, 2016

This brochure provides information about the qualifications and business practices of Elysian Capital Markets Group LLC. If you have any questions about the contents of this brochure, please contact us at 949-713-1630 or jharline@elysiancmg.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Elysian Capital Markets Group LLC is a Registered Investment Adviser. Any reference to or use of the terms “registered investment adviser” or “registered,” does not imply that Elysian Capital Markets Group LLC or any person associated with Elysian Capital Markets Group LLC has achieved a certain level of skill or training.

Additional information about Elysian Capital Markets Group LLC is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 - MATERIAL CHANGES

Revised July 29, 2016

The purpose of this page is to inform you of material changes to our brochure. If you are receiving this brochure for the first time, this section may not be relevant to you.

This brochure is Elysian Capital Markets Group LLC's first brochure. Previously, we were not required to maintain and deliver a brochure based on the types of clients we served. We will review and update this brochure at least annually to confirm that it remains current.

Elysian Capital Markets Group LLC has switched from Securities and Exchange Commission (SEC) registration to California registration.

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ITEM 4 - ADVISORY BUSINESS

Description of Advisory Firm

Elysian Capital Markets Group LLC (“Elysian Capital,” “we,” “our,” or “us”) is a privately owned Nevada limited liability company headquartered in Rancho Santa Margarita, California. Elysian Capital is registering as an investment adviser with California.

The principal owners of Elysian Capital are John Michael Harline, Angelo Nicholas Georggin, and Paula Marie Rezza. In December 2014, Elysian Capital registered with the U.S. Securities and Exchange Commission in anticipation of operating as an investment adviser to a registered investment company. Not serving as an adviser to a registered investment company, Elysian Capital is registering as an investment adviser with California.

Advisory Services Offered

Elysian Capital partners with other registered investment advisers (“asset managers”) to help them market and distribute Separately Managed Account (SMA), Mutual Fund, or Exchange Traded Funds (ETF) products. Elysian Capital itself does not manage any assets.

Elysian Capital Markets Group is a comprehensive financial services distribution company focused on providing sales distribution services for our partners. Our distribution services are accomplished by a hand-selected team of industry professionals with over a century of combined experience in all aspects of the financial services industry. We seek to partner with emerging asset managers rich in history and track records, but who currently lack retail investor exposure.

We describe the fees charged for advisory services below under **Item 5 - Fees and Compensation**.

Wrap Fee Programs

Elysian Capital does not manage accounts as part of a wrap or bundled fee program.

Assets Under Management

Elysian Capital does not manage assets; therefore, Elysian Capital has no assets under management.

ITEM 5 - FEES AND COMPENSATION

Elysian Capital receives compensation for its services to asset managers in one or more of the three ways outlined below. The contract with each asset manager specifies the terms of compensation.

1. Distribution services are performed by associated persons in their capacities as registered representatives of an unaffiliated registered broker-dealer (see **Item 10 – Other Financial Industry Activities and Affiliations**) and compensation for those services is paid through the broker-dealer.

2. Elysian Capital receives fees based on the assets raised by Elysian Capital for asset managers. The fee is calculated as basis points of the assets that Elysian Capital raises for the asset manager or as a percentage of the assets under management fee received by the asset manager from referred clients or invested assets as a result of Elysian Capital's marketing efforts. Fees are paid quarterly or monthly per the terms of the agreement with the asset manager.
3. Elysian Capital is paid a retainer or receives reimbursements from asset managers for the expenses incurred in marketing the products and services of those asset managers. Expense reimbursements are subject to a budget and payment terms which are outlined in a written agreement between Elysian Capital and the asset manager.

Fees are negotiable. Lower fees for comparable services may be available from other sources.

Termination

Termination and financial terms are outlined in each agreement between Elysian Capital and the asset manager. Elysian Capital will continue to receive fees as outlined in the agreement with the asset manager.

Other Compensation

Individuals associated with Elysian Capital, in their capacities as registered representatives of an unaffiliated broker-dealer, earn compensation for the recommendation of securities to financial institutions. As the services performed by associated persons are for the asset managers who are clients of Elysian Capital, this activity does not present a conflict of interest with our clients. Associated persons are compensated based upon assets raised for Elysian Capital's asset manager clients; as such, these persons have a conflict when recommending the securities or services of Elysian Capital's asset manager clients to other financial advisors, as these persons have an incentive to recommend the securities or services based on the compensation received rather than the needs of the financial advisors. Financial advisors have the option to purchase securities through brokers or agents not affiliated with Elysian Capital or any of its associated persons. Elysian Capital's primary compensation is in the form of asset management fees based on assets raised for asset manager clients. Elysian Capital's compensation is solely received from its asset manager clients, Elysian Capital does not charge advisory fees in addition to the fees earned from such asset managers. See ***Item 10 – Other Financial Industry Activities and Affiliations***.

ITEM 6 - PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

Elysian Capital does not charge performance-based fees or other fees based on a share of capital gains on or capital appreciation of the assets of a client.

ITEM 7 - TYPES OF CLIENTS

Elysian Capital provides services to other registered investment advisers.

Elysian Capital does not manage assets, as such, we do not have a minimum account size requirement.

ITEM 8 - METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

Elysian Capital seeks to find emerging asset managers with rich histories and track records with aspirations to build Mutual fund or ETF vehicles from their SMA or Hedge Fund experience. Elysian Capital does not recommend investment strategies or specific securities to clients.

Asset Managers

Elysian Capital markets the products and services of asset managers. These asset managers must maintain proper and current licensing/registration, as applicable to each adviser.

The registered broker-dealer firm that persons associated with Elysian Capital are affiliated or associated with must approve of the securities that the associated persons in their capacity as registered representatives market or recommend.

Investing Involves Risk

Investing in securities involves risk of loss, and clients should be prepared to bear that risk.

ITEM 9 - DISCIPLINARY INFORMATION

Elysian Capital and our personnel seek to maintain the highest level of business professionalism, integrity, and ethics. Elysian Capital does not have any disciplinary information to disclose.

ITEM 10 - OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Affiliated Entities

Elysian Capital has the following related entities that all have the same principal owners as Elysian Capital: Elysian Capital Holdings, LLC ("ECH") and Elysian Capital Consulting Services, LLC ("ECCS").

Elysian Capital also has the following related entities that are 50% owned by ECH: Pinnacle Dispute Resolution Services, LLC ("PDRS") and Legacy Now Lending, LLC ("LNL").

ECH is a Delaware limited liability company. It is primarily a holding company with ownership in PDRS and LNL. ECH has also acquired a non-controlling equity interest in Partnervest Financial Group LLC located in Santa Barbara, California. John Harline is CEO, Lisa Harline is CFO, and A. Nicholas Georggin is General Counsel for Partnervest Financial Group LLC. Partnervest Financial Group LLC owns Partnervest Advisory Services, LLC, a registered investment adviser. Kenneth Hyman is the CEO, President, Treasurer, CFO and CCO for Partnervest Advisory Services LLC. He is the majority owner of Partnervest Financial Group LLC and the Compliance Officer for Elysian Capital. There is no other business relationship between Elysian Capital and Partnervest Advisory Services LLC

ECCS provides financial services wholesale distribution development and coaching for asset managers; sales team coaching services for existing wholesale distribution teams; executive search services for asset managers, broker dealers, RIA's and wholesale distribution teams; and outsourced CEO, CFO, CTO, and COO Services for growing asset managers and RIA's.

PDRS provides customized solutions for the resolution of conflicts. It is comprised of trial attorneys and other industry professionals who bring expertise to the dispute resolution process. Through a cooperative approach, PDRS focuses on the open exchange of information so as to achieve early resolution of complex legal disputes and consolidated matters. PDRS seeks a successful resolution in a constructive and efficient manner.

LNL offers residential mortgages, hard money lending, bridge financing, and non-owner occupied investment properties financing.

A related person of Elysian Capital is a practicing lawyer and is a partner at a law firm. The law firm does not provide legal services to Elysian Capital clients. Furthermore, there are no referrals between the law firm and Elysian Capital; therefore, the relationship does not create conflicts of interest with clients of Elysian Capital.

Neither Elysian Capital nor any management persons are registered, or have an application pending to register, as a futures commission merchant, commodity pool operator, a commodity trading advisor, or an associated person of the foregoing entities.

Before marketing asset managers, Elysian Capital confirms that the asset managers are properly licensed or registered as an investment adviser.

Registered Representative of Unaffiliated Broker-Dealer

Associated Persons of Elysian Capital are also registered securities representatives and investment advisory representatives of Newport Coast Securities, Inc. or Sorrento Pacific Financial, LLC, non-affiliated registered broker-dealers and members of the Financial Industry Regulation Authority ("FINRA"). Each Associated Person generally spends the majority of their time in providing services to clients of the broker-dealer. These Associated Persons receive compensation for the sale and distribution of the securities of asset managers. As the services performed by Associated Persons are for the asset managers who are clients of Elysian Capital, this activity does not present a conflict of interest.

ITEM 11 - CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

Code of Ethics

Elysian Capital takes the issue of regulatory compliance seriously and is committed to maintaining compliance with state and applicable federal securities laws. Additionally, Elysian Capital has a position

of public trust and it is our goal to maintain that trust and provide excellent service to asset managers. Elysian Capital places great value on ethical conduct. Therefore, the ultimate goal of our internal policies is to challenge our staff to live up not only to the letter of the law, but also to the ideals set forth by Elysian Capital.

Elysian Capital believes that we owe clients the highest level of trust and fair dealing. As a registered investment adviser, Elysian Capital is a fiduciary to clients. As a fiduciary, our duties include:

1. Abide by applicable federal and state securities laws.
2. Have an affirmative duty of care, loyalty, honesty and good faith to act in the best interests of our clients.
3. Fully disclose all material facts concerning any conflict that does arise with clients, and avoid the appearance of a conflict of interest.
4. Not trade a security while in the possession of non-public information about the security.
5. Maintain client confidence and trust; handle with integrity and discretion client confidential information.

Personal Trading

Elysian Capital and our personnel seek to maintain the highest level of business professionalism, integrity, and ethics. Elysian Capital does not manage investment accounts for clients or recommend specific securities to clients. Therefore, the personal investment activity of Elysian Capital and our personnel does not pose conflicts of interest with our clients.

Elysian Capital maintains required personal securities transaction records.

This disclosure is provided to give clients a summary of our Code of Ethics. However, if a client or a prospective client wishes to review our Code of Ethics in its entirety, a copy will be provided upon request.

ITEM 12 - BROKERAGE PRACTICES

Elysian Capital does not effect transactions for clients and does not determine or recommend broker-dealers to clients.

ITEM 13 - REVIEW OF ACCOUNTS

Elysian Capital does not manage assets, as such, we do not review accounts.

ITEM 14 - CLIENT REFERRALS AND OTHER COMPENSATION

Elysian Capital does not receive economic benefits from any person who is not a client for providing investment advice. Elysian Capital does not compensate any person directly or indirectly who is not a supervised person for client referrals.

ITEM 15 - CUSTODY

Elysian Capital does not take custody of client funds or securities in any way.

ITEM 16 - INVESTMENT DISCRETION

Elysian Capital does not manage assets, as such, we do not have investment discretion.

ITEM 17 - VOTING CLIENT SECURITIES

Elysian Capital does manage assets, as such, we do not accept or have the authority to vote client securities.

ITEM 18 - FINANCIAL INFORMATION

Registered investment advisers are required in this item to provide clients with certain financial information or disclosures about the firm's financial condition. Elysian Capital does not require the prepayment of more than \$500 in fees per client, six months or more in advance, does not have or foresee any financial condition that is reasonably likely to impair our ability to meet contractual commitments to clients, and has not been the subject of a bankruptcy proceeding.

ITEM 19 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

We have disclosed material conflicts of interest required under Section 260.238(k) of the California Corporate Securities Law of 1968 regarding Elysian Capital, our representatives or our employees, which we expect could reasonably impair the rendering of unbiased and objective advice.

Principal Executive Officers and Management Persons

We list the principal executive officers and management persons of Elysian Capital under **Item 4 - Advisory Business**, above. A description of their education and business background is included in the brochure supplement, Form ADV Part 2B, which we provide to clients initially. Clients can also get a copy of the brochure supplement for Elysian Capital's officers at any time by contacting us at the address or phone number on the cover page of this brochure.

Other Business Activities

Elysian Capital and our personnel may engage in other business activities besides providing investment advice. We discuss these activities under **Item 10 - Other Financial Industry Activities and Affiliations**, above.

Performance-Based Fees

Elysian Capital does not charge performance-based fees or other fees based on a share of capital gains or on capital appreciation of the assets of a client.

Legal and Disciplinary Issues

Elysian Capital and our personnel seek to maintain the highest level of business professionalism, integrity, and ethics. Neither Elysian Capital nor our management persons have any legal or disciplinary events to disclose.

Arrangements with Securities Issuers

Elysian Capital and our personnel have no relationships or arrangements with issuers of securities other than those disclosed above under ***Item 5 – Fees and Compensation and Item 10 - Other Financial Industry Activities and Affiliations.***

Form ADV, Part 2B Brochure Supplement

**Paula Marie Rezza
Michael Eugene Maffia
Kevin Richard Vanderbilt**

Elysian Capital Markets Group LLC

30212 Tomas, Suite 365
Rancho Santa Margarita, California 92688
949-713-1630

July 29, 2016

This brochure supplement provides information about Paula Rezza, Michael Maffia, and Kevin Vanderbilt that supplements the Elysian Capital Markets Group LLC brochure. You should have already received a copy of that brochure. Please contact Ken Hyman if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Paula Rezza, Michael Maffia, and Kevin Vanderbilt is available on the SEC's website at www.adviserinfo.sec.gov.

Paula Marie Rezza

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Paula Marie Rezza, Managing Member, b. 1967

Education:

Radford University – no degree acquired, attended 9/85 - 12/86

Business Background:

- Elysian Capital Markets Group LLC – Managing Member, 01/2015 to Present
- Newport Coast Securities – Registered Representative, 03/2016 to Present
- Newport Coast Asset Management – Investment Adviser Representative, 03/2016 to Present
- Capital Investment Group, Inc. – Registered Representative, 05/2015 to 11/2015
- MBSC Securities Corporation – Regional Director, 05/2008 to 11/2014
- CDC IXIS – Managing Regional Director, 02/2004 to 05/2008

ITEM 3 - DISCIPLINARY INFORMATION

Paula Rezza has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Paula Rezza is also registered as a securities representative of Newport Coast Securities, Inc., a non-affiliated registered broker-dealer and a member of the Financial Industry Regulation Authority ("FINRA") and as an investment advisory representative of Newport Coast Asset Management. She spends the majority of her time providing services to clients of the broker-dealer. She receives compensation for the sale and distribution of the securities of asset managers. As the services she performs are for the asset managers who are clients of Elysian Capital, this activity does not present a conflict of interest.

Paula Rezza is also a principal owner of: Elysian Capital Holdings, LLC ("ECH") and Elysian Capital Consulting Services, LLC ("ECCS"). ECH is a Delaware limited liability company. It is primarily a holding company with ownership in PDRS and LNL. ECH has also acquired an equity interest in Partnervest Financial Group LLC located in Santa Barbara, California. Elysian Capital believes the businesses are either unrelated or complimentary to the business of Elysian Capital and do not present material conflicts of interest. For more information on the related entities, see **Form ADV, Part 2A Item 10**.

ITEM 5 - ADDITIONAL COMPENSATION

Any additional compensation that Paula Rezza may receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

Paula Rezza is a Managing Member of Elysian Capital and is not supervised by any other individual.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Paula Rezza has not been found liable in any arbitration claim, or civil, self-regulatory organization, or administrative proceeding nor has he been the subject of a bankruptcy petition.

Michael Eugene Maffia

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael Eugene Maffia, Chief Business Officer, b. 1965

Education:

Central Texas College – Associates Degree, 1988

Business Background:

- Elysian Capital Markets Group LLC – Chief Business Officer, 01/2015 to Present
- Newport Coast Securities – Registered Representative, 03/2016 to Present
- Capital Investment Group, Inc. – Registered Representative, 11/2015 to 03/2016
- Elysian Capital Market Group LLC – Founder and Managing Partner, 09/2014 to 01/2015
- Vertical Capital Securities, LLC – RVP, 02/2014 to 08/2014
- Transamerica Capital Inc. – RVP, 08/2010 to 01/2014

ITEM 3 - DISCIPLINARY INFORMATION

Michael Maffia has no material disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Maffia is also registered as a securities representative of Newport Coast Securities, Inc., a non-affiliated registered broker-dealer and a member of the Financial Industry Regulation Authority ("FINRA"). He spends the majority of his time providing services to clients of the broker-dealer. He receives compensation for the sale and distribution of the securities of asset managers. As the services he performs are for the asset managers who are clients of Elysian Capital, this activity does not present a conflict of interest.

ITEM 5 - ADDITIONAL COMPENSATION

Any additional compensation that Michael Maffia may receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

Paula Rezza is a Managing Member of Elysian Capital and supervises Michael Maffia. Paula Rezza reviews the advice provided by Michael Maffia for consistency with applicable laws and regulations. Paula Rezza can be reached by calling (949)713-1630.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Michael Maffia has outstanding tax liens and was the subject of a bankruptcy petition which was discharged in 2010.

Kevin Richard Vanderbilt

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kevin Richard Vanderbilt, Sales Director, b. 1983

Education:

Villanova University – B.S. in Marketing, Minor in Finance and International Business, 2005

Business Background:

- Elysian Capital Markets Group LLC – Sales Director, 02/2015 to Present
- Newport Coast Securities – Registered Representative, 03/2016 to Present
- Newport Coast Asset Management – Investment Adviser Representative, 03/2016 to Present
- Capital Investment Group, Inc. – Registered Representative, 05/2015 to 11/2015
- Foreside Fund Services, LLC – Registered Representative, 10/2010 to 02/2015
- Henderson Global Investors – Sales, 10/2010 to 02/2015

Professional Designations:

Certified Investment Management Analyst® (“CIMA®”)

The CIMA® designation is issued by the Investment Management Consultants Association. To earn each certification, each CIMA® candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business (“AACSB”) accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information regarding the CIMA® is available at http://www.imca.org/main/do/CIMA_Home.

ITEM 3 - DISCIPLINARY INFORMATION

Kevin Vanderbilt has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Kevin Vanderbilt is also registered as a securities representative of Newport Coast Securities, Inc., a non-affiliated registered broker-dealer and a member of the Financial Industry Regulation Authority (“FINRA”) and as an investment advisory representative of Newport Coast Asset Management. He spends the majority of his time providing services to clients of the broker-dealer. He receives compensation for the sale and distribution of the securities of asset managers. As the services he performs are for the asset managers who are clients of Elysian Capital, this activity does not present a conflict of interest.

ITEM 5 - ADDITIONAL COMPENSATION

Any additional compensation that Kevin Vanderbilt may receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Paula Rezza is a Managing Member of Elysian Capital and supervises Kevin Vanderbilt. Paula Rezza reviews the advice provided by Kevin Vanderbilt for consistency with applicable laws and regulations. Paula Rezza can be reached by calling (949)713-1630.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Kevin Vanderbilt has not been found liable in any arbitration claim, or civil, self-regulatory organization, or administrative proceeding nor has he been the subject of a bankruptcy petition.