

SUPERVISED PERSON BROCHURE

FORM ADV PART 2B

Richard B. Bates

Sound Income Strategies, LLC

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This brochure supplement provides information about Richard Bates and supplements the Sound Income Strategies, LLC brochure. You should have received a copy of that brochure. Please contact Richard Bates if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Bates (CRD #1452607) is available on the SEC's website at www.adviserinfo.sec.gov.

DECEMBER 08, 2014

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Richard "Rick" Bates

- Year of birth: 1963
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Item 2 Educational Background and Business Experience

Educational Background:

- Seattle Pacific University, B.A. Finance; 1985

Business Experience:

- Sound Income Strategies, LLC; Investment Advisor Representative; 12/2014-Present
 - Integrity Investment & Tax Advisory, LLC; Managing Member/Insurance Agent; 05/2011 - Present
 - Integrity Investments; Owner/Insurance Agent; 02/1996 – 05/2011
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Item 3 Disciplinary Information

None to report

Item 4 Other Business Activities

Rick Bates has a financial industry affiliated business as an insurance agent. From time to time, he will offer clients advice or products from those activities. Approximately 50% of his time is spent in this business. He may receive separate yet typical compensation in the form of commissions for the sale of insurance products.

These practices represent conflicts of interest because it gives Mr. Bates an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Bates has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Item 5 Additional Compensation

Rick Bates receives additional compensation in his capacity as an insurance agent, but he does not receive any performance based fees.

Item 6 Supervision

Rick is supervised by Managing Member and Chief Compliance Officer David Scranton. He reviews Rick's work through frequent office interactions as well as remote interactions. Mr. Scranton can be reached by phone at 866-646-7600 or email dscranton@scrantonfinancialgroup.com.