

**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Adviser:	SEC File Number:	Date:
Newport Coast Securities, Inc.	801-67802	09/30/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Adviser exactly as stated in Item 1A of Part I of Form ADV: Newport Coast Securities, Inc.	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
Item 1.D	<p>Newport Coast Securities, Inc. (the "Adviser") provides investment advisory services to its clients on a discretionary and non-discretionary basis. The advisory services include, among other things, providing advice regarding asset allocation and the selection of investments. Account management or supervision is guided by the stated objectives of the client. In addition, the Adviser considers the client's risk profile and financial status prior to making any recommendations.</p> <p>ASSET MANAGEMENT SERVICES & ASSET MANAGEMENT FEES</p> <p>The Adviser is compensated for investment management or supervisory services based on clients' assets under management. Fees are paid quarterly in arrears and are due on the first day of the calendar quarter, and are based on the account's asset value as of the last business day of the prior calendar quarter. Fees are prorated for accounts opened during the quarter. Fees for asset management services are negotiable and generally range 1.0% to 2.75% per year of the assets under management. Fees are not allowed to exceed 3% annually. Fees are typically based on the amount of assets under management and the range of services being provided. Since fees are payable after services are provided, there are no unearned fees and the client is not due a refund upon early termination of an investment advisory contract. However the Adviser's fees are prorated to the date of termination.</p> <p>The account custodian may charge fees, which are in addition to and separate from the investment advisory service fee. Custodians may charge accounts for various transaction costs, retirement plan and administration fees. In addition, some mutual fund assets deposited in the account may have been subject to deferred sales charges and 12 (b) (1) fees and other mutual fund annual expenses as described in each fund's prospectus. Advisory clients should also note that fees for comparable services vary and lower fees for comparable services may be available from other sources.</p> <p>The Client's account will be charged a \$75.00 annual performance-reporting fee. This fee will be deducted from the client's account on a quarterly basis at a rate of \$18.75. The Advisor Select Plus platform provides consolidated quarterly performance reports and statements. This is not optional. To establish an advisory account the account must reside on the platform for regulatory and compliance monitoring as well as for performance calculation, report generation and web access. Performance reports will be sent to client via e-mail. If no e-mail address is provided the quarterly performance statements will be mailed to the client using U.S.P.S.</p> <p>FOLIOfn</p> <p>FOLIOfn, Inc. is a technology-driven financial services company, online brokerage and custodian firm founded in 1998 by a former Commissioner of the US Securities and Exchange Commission. FOLIO Advisor consolidates brokerage, custody, trading and compliance services in one simple-to-use platform. It provides customized investment portfolios, asset allocation and, model management services. FOLIO Advisor is also a powerful wrap program and portfolio management system. The Advisor will refer clients to FOLIOfn to establish an account at FOLIOfn. All securities will be custodied at FOLIOfn. The Advisor will have access to FOLIOfn platform to manage the clients account in a variety of ways, including the following: you can create your own customized Folios based on your and your clients' preferences, or you can utilize the model Folios we've created. FOLIO Advisor provides stock, social and sector exclusions, tax management, tax loss harvesting, portfolio trading, daily compliance reports, such as trade blotters, cancelled trade reports, new account reports, and employee trading, fully compliant proxy voting, performance reporting and a "filing cabinet" for statements, confirms and tax documents. You can create investment solutions using multiple managers and strategies that best meet your clients' needs.</p> <p>FINANCIAL PLANNING/CONSULTING SERVICES & FEES</p> <p>The Adviser provides financial planning and consulting services consistent with clients' financial and tax status, in addition to their risk profile and return objectives. The Adviser may also provide general non-securities advice on topics that may include tax and budgetary planning, estate planning and business planning.</p> <p>Clients are charged an hourly fee or fixed fee for financial planning and consulting services. Hourly fees are due and payable as services are performed. Flat fees are due and payable upon completion of the plan or services or as stated in the client agreement. Under certain circumstances a deposit may be required for fixed fee services and the balance upon completion of the plan or service. Fees are based on the complexity of the plan or service, project and/or the range of services provided. Hourly fees generally range from \$75 to \$300 with a minimum of 2</p>

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	<p>hours. Fixed fees vary widely depending upon the number and range of services provided to the client and typically range from \$200 to \$15,000 per billing period. Additionally, educational seminars are billable at the Adviser's hourly rate or fixed fees established by the Adviser, as well as other fees to cover the cost of any materials provided to the participants that may apply. Financial plans produced will typically be delivered within six months or sooner of the date of the Agreement. The Adviser considers fees for financial planning or a consulting project to be earned as progress is realized toward creation of the plan or completion of the service.</p> <p style="text-align: center;">Retirement Plan Consulting</p> <p>Through its investment adviser representatives the Adviser may provide educational seminars for participants in various retirement plans. Topics typically cover various investment concepts, investment allocation, and other general subjects but may involve the investment options available within the plan. One on one pension related consulting is not differentiated from other financial consulting.</p> <p style="text-align: center;">My Own 401K Advisor</p> <p>My Own 401K Advisor is an investment advisory service that provides one-on-one advice to people with 401K accounts. We draw on one of the largest teams in mutual fund research to provide the client with a Risk Profile and a portfolio asset allocation that matches the most appropriate funds available in their 401K with their Risk Profile. The IAR follows up with clients at least quarterly. We also provide a number of other retirement planning services:</p> <p>Safety Net Alerts-providing a "safety net" alert service designed to help the client avoid the most significant market declines.</p> <p>Retirement Projections-analyzing client retirement assets to evaluate whether their nest egg will provide for their golden years.</p> <p>Retirement Income Planning – using specialized portfolio management techniques to balance safety and reliability with the clients need for maximum income.</p> <p>Investment Management Services for Assets outside your 401K – investment management for all client assets.</p> <p>Asset Aggregation Services – through the Grendall service we can provide all client bank accounts, brokerage accounts, insurance contracts, IRA's, etc. maintained in the client's personal on-line portal.</p> <p>Retirement Account Consolidation – we can help to consolidate these old accounts and help the client manage them efficiently, consistent with the same Risk Profile that guides the client's 401K investments.</p> <p style="text-align: center;">General Information</p> <p>Clients will have a period of five (5) business days from the date of signing the agreement to unconditionally rescind the agreement and receive a full refund of all fees. Thereafter, clients may terminate the agreement by providing the Adviser with written notice prior to delivery of the plan or completion of the service.</p> <p>When multiple services such as investment management, financial plan implementation or financial planning services are offered, there is a potential conflict of interest since there is an incentive for the party offering financial planning services to recommend products or services for which the Adviser, or a related party, may receive compensation. However, financial planning clients are under no obligation to act upon any recommendations of the Adviser or to effect any transactions through the Adviser if they decide to follow the recommendations.</p> <p style="text-align: center;">ASSET MANAGEMENT, FINANCIAL PLANNING & CONSULTING SERVICES</p> <p>The Adviser provides a comprehensive range of services to clients with complex financial needs and who generally have in excess of \$1,000,000 in invested assets. The services will include but are not limited to asset management on a discretionary or non-discretionary basis, and financial planning and consulting services. The services may include but are not limited to providing advice regarding asset allocation, analyzing alternative investments, researching hard to value securities, making investment selections, providing general non-securities advice on topics that may include tax and budgetary planning, estate planning and business planning. The Adviser considers the client's risk profile, return objectives, and financial and tax status prior to making any recommendations. Fees are negotiable; clients are quoted a fixed fee that generally ranges from \$10,000 to \$100,000. Fees are paid quarterly in arrears and are due on the first day of the calendar quarter. Fees are</p>	

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Item 13.B	<p>prorated for accounts opened during the quarter. Since fees are payable after services are provided, there are no unearned fees and the client is not due a refund upon early terminate of an investment advisory contract. However the Adviser's fees are prorated to the date of termination.</p> <p>ADVISORY REFERRALS SERVICES & FEES</p> <p>The Adviser has entered into agreements with various third-party advisers. Under these agreements, the Adviser offers clients various types of programs sponsored by these advisers. All third-party investment advisers to whom the Adviser will refer clients will be licensed as investment advisers by their resident state and any applicable jurisdictions or registered investment advisers with the Securities and Exchange Commission.</p> <p>After gathering information about a client's financial situation and investment objectives, the Adviser will assist the client in selecting a particular third-party program. The Adviser receives compensation pursuant to its agreements with these third-party advisers for introducing clients to these third-party advisers and for certain ongoing services provided to clients. This compensation is disclosed to the client in a separate disclosure document and is typically equal to a percentage of the investment advisory fee charged by that third-party adviser or a fixed fee. The disclosure document provided by the Adviser will clearly state the fees payable to the Adviser and the impact to the overall fees due to these payments. Since compensation the Adviser receives may differ depending on the agreement with each third-party adviser, the Adviser may have an incentive to recommend one third-party advisers over another, if the compensation arrangements are more favorable. Since the independent third-party adviser may pay the fee for the investment advisory services of the Adviser, the fee paid to the Adviser is not negotiable, under most circumstances. Fees paid by clients to independent third-parties are established and payable in accordance with the Form ADV Part II or other equivalent disclosure document of each independent third-party adviser to whom the Adviser refers its clients, and may or may not be negotiable, as disclosed in the disclosure documents of the third-party adviser.</p> <p>Clients who are referred to third-party investment advisers will receive full disclosure, including services rendered and fee schedules, at the time of the referral, by delivery of a copy of the relevant third-party adviser's Form ADV Part II or equivalent disclosure document at the same time as the Form ADV Part II or equivalent disclosure document of the Adviser. In addition, if the investment program recommended to a client is a wrap fee program the client will also receive the Schedule H or equivalent wrap fee brochure provided by the sponsor of the program. The Adviser will provide to each client all appropriate disclosure statements, including disclosure of solicitation fees to the Adviser and its advisory associates. The Adviser may also employ/engage solicitors to whom it will pay cash or a portion of the fees paid by investors referred to it by those solicitors. All solicitors who refer clients will comply with the requirements of the jurisdiction where they operate. When applicable the solicitor will be licensed as investment advisers or notice filed in the applicable jurisdiction.</p>	
Item 5	<p>EDUCATION & BUSINESS STANDARDS</p> <p>The Adviser generally requires that individuals involved in determining or giving investment advice have at least two years of financial planning, advisory, or brokerage related experience or educational achievements that the Adviser deems appropriate. The Adviser requires that each associate have licenses required in any applicable state.</p>	
Item 6	<p>EDUCATION & BUSINESS BACKGROUND</p> <p>Kathleen McPherson has 32 years experience in the financial industry and currently holds the following Securities Licenses: Series 6, 7, 22, 24, 30, 39, 62, 63, 79 and 65. Ms. McPherson obtained her Bachelor of Science degree in Business Administration from San Diego State University. Ms. McPherson was born in 1956.</p> <p>Prior to Ms. McPherson's 16-year tenure as Executive Vice President of Brookstreet Securities Corporation, she gained industry experience since 1978 in investment marketing, investor relations, research, registration, compliance, and investment banking and formation/marketing of alternative investment products. During the course of 16 years at Brookstreet Securities Corporation, Ms. McPherson had a hands-on role building a fully-disclosed broker-dealer from 15 to over 650 Registered Representatives and 289 branch offices nationwide with over \$100 million in annual revenue. Ms. McPherson's primary areas of supervision included: Alternative Investment Products, Due Diligence and Product Marketing (DPP's, Mutual Funds, RIA, Vas, Managed Futures), Investment Banking and Syndicate Dept, Managing Dealer/Investment Formation and Marketing, Insurance Dept., Registered Investment Advisor, Legal and Regulatory, Compliance, Registration and Administration.</p> <p>Scot Barringer has been managing investments for his clients for 25 years. He started his career as a stock broker with the California firm of Bateman Eichler, Hill Richards and became the youngest Vice President in the firm's history. In 1988, Scot founded his own broker/dealer, Barringer Ryan & Company – one of the early</p>	

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Items 7 (A, B & C) 8.A & 8.C.9	<p>entrants in the independent broker/dealer community. In 1992, Barringer Ryan & Company merged into Brookstreet Securities, where Scot remained as a Senior Advisor until 2007. Today, Scot is a Senior Investment Manager with Newport Coast Securities where he manages almost \$50 million for a group of private clients.</p> <p>Born in 1962 in Massachusetts, Mr. Barringer graduated from The Principia Upper School in St. Louis, MO in 1980. He attended University of California, Los Angeles (UCLA) from 1980 to 1982 before transferring to Principia College in Elsah, IL where he graduated with a BA in Business Administration in 1984.</p> <p>Scot is married and lives with his wife and children in Denver, CO. He is an avid sports fan and has coached youth sports for many years.</p> <p>ACTIVITIES & AFFILIATIONS</p> <p>The Adviser's principal business is as a securities broker-dealer which is registered with the Securities and Exchange Commission ("SEC") and a member of the Financial Industry Regulatory Authority ("FINRA"). In addition, the Adviser is an insurance company. The Adviser's brokerage-related activities generate the majority of the firm's revenues. The Adviser is a broker-dealer offering various types of products including equities, fixed income securities, options, mutual funds, private partnerships, and insurance products. In their capacity as registered representatives, associated persons of the Adviser may recommend securities or other products and receive normal transactions commissions or other compensation. In addition, the Adviser and its associated persons may receive commissions in lieu of investment advisory fees. Thus, a conflict of interest may exist between the interests of the associated persons and those of the advisory clients. However, clients are under no obligation to act upon any recommendations of the associated persons or effect any transactions through the associated persons if they decide to follow the recommendations.</p>	
Item 9, 9 (B & E)	<p>CLIENT TRANSACTIONS – CODE OF ETHICS</p> <p>The Adviser has adopted a Code of Ethics for the purpose of instructing its personnel in their ethical obligations and to provide rules for their personal securities transactions. The Adviser and its personnel owe a duty of loyalty, fairness and good faith towards their clients, and the obligation to adhere not only to the specific provisions of the Code but to the general principles that guide the Code. The Code covers a range of topics that may include: general ethical principles, reporting personal securities trading, exceptions to reporting securities trading, reportable securities, initial public offerings and private placements, reporting ethical violations, distribution of the Code, review and enforcement processes, amendments to Form ADV and supervisory procedures. The Adviser will provide a copy of the Code to any client or prospective client upon request.</p> <p>In their capacity as registered representatives, associates of the Adviser may receive payments from certain mutual funds distributed pursuant to a 12b-1 distribution plan or other such plans as compensation for administrative services, representing a separate financial interest. As such, a conflict of interest may exist with respect to recommendations to buy or sell securities. In all cases, transactions are effected in the best interests of the client.</p> <p>The Adviser does not permit insider trading and has implemented procedures to ensure that its policy regarding insider trading is being observed by associated persons.</p> <p>Associated persons may own an interest in or buy or sell for their accounts the same securities, which may be purchased or sold in the accounts of advisory clients. Associated persons seek to ensure that they do not personally benefit from the short-term market effects of their recommendations to clients and their personal transactions are regularly monitored. Associated persons are aware of the rules regarding material non-public information and insider trading. Associated persons may also buy or sell specific securities for their accounts based on personal investment considerations, which the Adviser does not deem appropriate to buy or sell for clients.</p>	
Item 10	<p>ACCOUNT MINIMUMS</p> <p>The Adviser requires a minimum of \$25,000 to establish a new advisory account; however, under very limited circumstances the minimum may be waived at the sole discretion of the Adviser. In addition, the Adviser may continue to service existing accounts that have values that have fallen below the minimum.</p>	
Items 11 (A & B)	<p>ACCOUNT REVIEWS</p> <p>Investment adviser representatives perform reviews of all investment advisory accounts no less than quarterly. Accounts are reviewed for consistency with the investment strategy and performance among other things. Reviews may be triggered by changes in an account holder's personal, tax, or financial status. Macroeconomic and company specific events may also trigger reviews. There is currently no limit on the number of accounts that can</p>	

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Items 12.A (1, 2, 3 & 4) & 12.B	<p>be reviewed by an investment adviser representative. Brokerage statements are generated no less than quarterly. These statements are sent directly from the account custodian. These reports list the account positions, activity in the account over the covered period, and other related information. Clients are also sent confirmations following each brokerage account transaction unless confirmations have been waived. Financial plans are reviewed only upon request unless the Adviser is retained to update the plan on a continuous basis.</p> <p>DISCRETION</p> <p>Investment adviser representatives may suggest that clients implement recommendations through the Adviser in its capacity as a broker dealer. If a client elects to work with an investment adviser representative, the Adviser is the only broker dealer option. Investment adviser representatives would receive normal and customary commissions as sales agents resulting from these securities transactions, presenting investment adviser representatives with a potential conflict of interest.</p> <p>Furthermore, in implementing a plan through relationships maintained by investment adviser representatives, clients may pay commissions or fees that are higher or lower than those that may be obtained from elsewhere for similar services. As a broker dealer the Adviser can set commission rates. Clients are advised that they are under no obligation to implement the plan or its recommendations through the investment adviser representatives in their capacities as registered representatives.</p> <p>BLOCK TRADING</p> <p>In placing its orders to purchase or sell securities in accounts, principals of the firm may elect to aggregate orders. In so doing, the firm will not aggregate transactions unless aggregation is consistent with its duty to seek best execution and the terms of adviser's investment advisory agreement with each client for which trades are being aggregated; no advisory client will be favored over any other client; each client that participates in an aggregated order will participate at the average share price for all adviser's transactions in that security on a given business day, with transaction costs shared pro-rata based on each client's participation in the transaction; adviser will prepare, before entering an aggregated order, a written statement ("Allocation Statement") specifying the participating client accounts and how it intends to allocate the order among those clients. If the aggregated order is filled in its entirety, it will be allocated among clients in accordance with the Allocation Statement; if the order is partially filled, it will be allocated pro-rata based on the Allocation Statement; notwithstanding the foregoing, the order may be allocated on a basis different from that specified in the Allocation Statement if all client accounts receive fair and equitable treatment and the reason for different allocation is explained in writing and is approved in writing by adviser's compliance officer no later than one hour after the opening of the markets on the trading day following the day the order was executed.</p> <p>The Adviser's books and records will separately reflect, for each client account, the orders of which are aggregated, the securities held by, and bought and sold for that account; funds and securities of clients whose orders are aggregated will be deposited with one or more banks or broker-dealers, and neither the client's cash nor their securities will be held collectively any longer than is necessary to settle the purchase or sale in question on a delivery versus payment basis; cash or securities held collectively for clients will be delivered out to the custodian bank or broker-dealer as soon as practicable following the settlement; adviser will receive no additional compensation of any kind as a result of the proposed aggregation; and individual investment advice and treatment will be accorded to each advisory client.</p>	

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