

FORM ADV PART 2B BROCHURE SUPPLEMENT

Item 1-Cover Page

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This brochure supplement provides information about Aimee Baehr that supplements the TA Capital Management, LLC ("TA Capital") disclosure brochure. You should have received a copy of that brochure. Please contact Aimee Baehr at 646-290-5660 or at [abaehr@tacapitalmanagement.com](mailto:abaehr@tacapitalmanagement.com) if you did not receive TA Capital's brochure or if you have any questions about the contents of this supplement.

Additional information about Total Alignment is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov). (Although Aimee Baehr conducts investment advisory business through Total Alignment, please note that the SEC website does not indicate that Aimee Baehr is a registered as an investment adviser representative of Total Alignment. Unlike most other states, the State of New York does not provide the ability for an individual to register as an investment adviser representative which is the reason for the apparent discrepancy.)

**Item2-Educational Background and Business Experience**

Aimee Baehr (b.04.24.79) graduated from Rowan University in Glassboro, NJ with a Bachelor of Arts degree with a major in Economics in 2002. While studying at Rowan, she had an internship with Edward Jones Investments which lead to an offer for full time employment as an assistant to the Regional Leader and very successful Registered Rep. In 2004 she followed that producer to the Independent BrokerDealer, Raymond James, where she earned a Series 7 license. In 2006 she left Raymond James to become a Registered Rep with SagePoint Financial where she earned her Series 63 & 65 licenses and built her own book of clients. She enjoyed her role at SagePoint but was very excited about an offer to join TA Capital Management in 2013.

Aimee was awarded the Neuheim National Merit Full Scholarship from the College for Financial Planning for the Certified Financial Planner Certificate Program in 2012 and earned the CFP Mark in November 2016.

CERTIFIED FINANCIAL PLANNER™, CFP® and CFP (with a flame design) (collectively, the “CFP® marks”) - are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Among other requirements, CFP Board requires those who are authorized to use its marks to have demonstrated a mastery of nearly 100 topics related to integrated financial planning. Those topics include, but are not limited to, the topics of: insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Knowledge in these areas is generally demonstrated by the individual receiving a passing score on a 6-hour examination on these topics. In addition to passing that exam, individuals who are authorized to use the CFP® marks must also: (i) hold a Bachelor’s degree, (ii) complete a required course of study (or qualify for an exemption from such course of study by virtue of a holding a related designation or license), (iii) have relevant work experience of three years (and on exception, two years), and (iv) pass a background check and comply with CFP Board’s *Standards of Professional Conduct*, which is a set of documents outlining the ethical and practice standards for CFP® professionals and (v) complete 30 hours of continuing education every year.

### **Item 3- Disciplinary Information**

Aimee Baehr has no legal or disciplinary events to report.

### **Item 4- Other Business Activities**

Aimee Baehr has no other Business Activities to report.

### **Item 5- Additional Compensation**

Aimee Baehr receives no additional compensation for providing investment advice.

### **Item 6- Supervision**

Aimee Baehr is the Chief Compliance Officer of TA Capital Management. She is responsible for overseeing and enforcing the firm’s compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Aimee Baehr can be contacted at 646-290-5660.

### **Item 7- Requirements for State Registered Advisers**

Aimee Baehr has not been found liable in an arbitration claim alleging damages in excess of \$2,500. She has not been involved in any award or found liable in any civil, self-regulatory organization or administrative proceeding. Additionally, she has not been the subject of a bankruptcy petition.