

**Form ADV Part 2B – Brochure Supplement**  
**Item 1: Cover Page**  
**January 2014**

**Thomas J. Dornoff**

**Granite Financial Group, LLC**  
**175 N. Patrick Blvd. – Suite 170**  
**Brookfield, WI 53045**  
**Phone: (262) 439-8009**  
**Fax: (262) 439-8138**

This brochure supplement provides information about Thomas J. Dornoff that supplements our brochure. You should have received a copy of that brochure. Please contact Ellen Duhamel, Chief Compliance Officer if you did not receive Granite Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Dornoff is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Thomas J. Dornoff**  
**Year of Birth:** 1959

### **Educational Background:**

- 1982; University of Wisconsin – Madison; B.S. Landscape Architecture

### **Business Background:**

- 08/2013 – Present Granite Financial Group, LLC; Investment Adviser Representative
- 08/2013 – Present LPL Financial; Registered Representative
- 04/2008 – 08/2013 Morgan Stanley Smith Barney; First Vice President
- 09/1994 – 04/2008 A.G. Edwards & Sons, Inc.; Vice President

### **Exams, Licenses & Other Professional Designations:**

- 01/1991 – Certified Financial Planner™
- 10/1994 – Series 63
- 03/1992 – Series 7
- Health and Life Insurance Licensed

### **CFP® - CERTIFIED FINANCIAL PLANNER™:**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board’s *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Thomas Dornoff.

### **Item 4: Other Business Activities**

Thomas Dornoff is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn and may not necessarily be in the best interests of the client.

Thomas Dornoff is a registered representative of LPL Financial, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Dornoff may earn and may not necessarily be in the best interests of the client.

### **Item 5: Additional Compensation**

Thomas Dornoff does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

### **Item 6: Supervision**

Ellen Duhamel, Chief Compliance Officer of Granite Financial Group, LLC, supervises and monitors Thomas Dornoff's activities on a regular basis. Ms. Duhamel reviews all outgoing correspondence for written financial advice that Mr. Dornoff provides to his clients. Please contact Ms. Duhamel if you have any questions about Mr. Dornoff's brochure supplement at (262) 439-8009.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Dornoff has not been involved in any arbitration claims alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization or administrative proceedings nor has he been the subject of any bankruptcy petitions.

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**Ellen M. Duhamel**

**Granite Financial Group, LLC**  
**175 N. Patrick Blvd. – Suite 170**  
**Brookfield, WI 53045**  
**Phone: (262) 439-8009**  
**Fax: (262) 439-8138**

This brochure supplement provides information about Ellen Duhamel that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Duhamel, Chief Compliance Officer if you did not receive Granite Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Duhamel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Ellen Marie Duhamel**

**Year of Birth:** 1951

### **Educational Background:**

- 1980; University of Wisconsin - Whitewater; M.Ed. Professional Development
- 1973; Marquette University; B.A. History

### **Business Background:**

- 08/2013 – Present Granite Financial Group, LLC;  
Chief Compliance Officer and Investment Adviser Representative
- 08/2013 – Present LPL Financial; Registered Representative
- 04/2008 – 08/2013 Morgan Stanley Smith Barney; Vice President of Investments
- 05/1986 – 04/2008 A.G. Edwards & Sons, Inc.; Vice President and Branch Manager

### **Exams, Licenses & Other Professional Designations:**

- 08/2008 – Certified Financial Planner™
- 04/2004 – Series 66
- 01/1999 – Series 8
- 04/1985 – Series 7
- 07/1984 – Series 6
- 07/1984 – Series 22
- 06/1984 – Series 63
- Health and Life Insurance Licensed

### **CFP® - CERTIFIED FINANCIAL PLANNER™:**

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To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*.

The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Ellen Duhamel.

### **Item 4: Other Business Activities**

Ellen Duhamel is a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation she may earn and may not necessarily be in the best interests of the client.

Ellen Duhamel is a registered representative of LPL Financial, member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Ms. Duhamel may earn and may not necessarily be in the best interests of the client.

### **Item 5: Additional Compensation**

Ellen Duhamel does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

### **Item 6: Supervision**

Thomas Dornoff is a Managing Member of Granite Financial Group, LLC and as such supervises and monitors Ellen Duhamel's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Dornoff if you have any questions about Ms. Duhamel's brochure supplement at (262) 439-8009.

### **Item 7: Requirements for State-Registered Advisers**

Ms. Duhamel has not been involved in any arbitration claims alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization or administrative proceedings nor has she been the subject of any bankruptcy petitions.