

Form ADV Part 2B – Individual Disclosure Brochure

for

Frank J. Fazio III
Chief Investment Officer

March 31, 2015

This Brochure Supplement provides information about the background and qualifications of Frank J. Fazio III in addition to the information contained in the AJ Wealth Strategies, LLC (“AJ Wealth” or the “Advisor”) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you any questions about the contents of the AJ Wealth Disclosure Brochure or this Brochure Supplement, please contact us at (212) 729-7750 or by email at info@ajwealthllc.com.

Additional information about Frank J. Fazio III is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Frank J. Fazio III is a Chief Investment Officer of AJ Wealth Strategies, LLC. Mr. Fazio, born in 1978, is dedicated to serving the Clients of AJ Wealth Strategies, LLC. Mr. Fazio earned a B.A. in Economics from Union College, Schenectady, New York, in 2000. Additional information regarding Mr. Fazio's employment history is included below.

Employment History:

Chief Investment Officer, AJ Wealth Strategies, LLC	07/2014 to Present
Registered Representative, The Ayco Company, LP/Mercer Allied	02/2007 to 07/2014
Financial Analyst, Goldman Sachs-Ayco/Mercer Allied	04/2002 to 02/2007
Technical Analyst, Salomon Smith Barney	07/2000 to 04/2002

Item 3 – Disciplinary Information

Frank J. Fazio III, as a registered investment adviser of AJ Wealth Strategies, LLC, is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of him. Mr. Fazio has no applicable legal or disciplinary events required to be disclosed under this Item.

Item 4 – Other Business Activities

Mr. Fazio has no other business related activities outside of his activities at AJ Wealth Strategies, LLC.

Item 5 – Additional Compensation

Outside of his ordinary compensation earned from his position with AJ Wealth Strategies, LLC, Mr. Fazio does not receive any additional compensation from any other source.

Item 6 – Supervision

Mr. Fazio serves as a Chief Investment Officer of AJ Wealth and is supervised by Andrew Cooper, Managing Partner, and Justyn Volesko, Managing Partner and Chief Compliance Officer. Mr. Cooper and Mr. Volesko can be reached at (212) 729-7750 if you have any questions about Mr. Fazio's brochure supplement.

Item 7 – Requirements for State-Registered Advisers

Mr. Fazio has never been found liable in any arbitration claim involving an investment or an investment-related business or activity, fraud, false statement(s), or omissions, theft, embezzlement, bribery, forgery, counterfeiting, extortion, or any dishonest, unfair, or unethical practice. Mr. Fazio has never been found liable in a civil, self-regulatory organization, or administrative proceeding involving any investment or an investment-related business or activity, fraud, false statement(s), or omissions, theft, embezzlement, bribery, forgery, counterfeiting, extortion, or any dishonest, unfair, or unethical practice. Mr. Fazio has never been the subject of a bankruptcy petition.