



BROCHURE SUPPLEMENT  
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David J. Parker

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This Brochure Supplement provides information about the senior personnel of Ampersand Management LLC (“Ampersand Management”) named above and supplements Ampersand Management’s Firm Brochure. You should have received a copy of that Brochure. Please contact [info@ampersandcapital.com](mailto:info@ampersandcapital.com) if you did not receive the Firm Brochure or if you have any questions about the contents of this Supplement.

Drs. Charpie and Hooper, who supervise the investment advisory activities of the personnel described in these brochures, may be contacted at the firm’s main number listed above.

#### **RICHARD A. CHARPIE, 64**

##### **Educational Background and Business Experience**

Dr. Charpie founded Ampersand in 1988 by structuring its spinoff from PaineWebber and serves as Managing Partner of its funds. Rick holds a B.S. in Physics, an M.S. in Physics and a Ph.D. in Economics and Finance, all from the Massachusetts Institute of Technology.

##### **Disciplinary Information**

There are no legal or disciplinary events to disclose concerning Dr. Charpie.

##### **Other Business Activities**

Rick Charpie dedicates his entire business efforts to the affairs of Ampersand and its funds.

##### **Additional Compensation**

Dr. Charpie receives no additional compensation from any non-client for providing investment advice.

##### **Supervision**

Supervision of all Ampersand investment activities is managed jointly by Dr. Charpie and Dr. Hooper.



## **HERBERT H. HOOPER, 52**

### **Educational Background and Business Experience**

Dr. Hooper joined Ampersand in 2002 and has served as Managing Partner of its funds since 2008. Herb holds a B.S. in Chemical Engineering from North Carolina State University and a Ph.D. in Chemical Engineering from the University of California, Berkeley.

### **Disciplinary Information**

There are no legal or disciplinary events to disclose concerning Dr. Hooper.

### **Other Business Activities**

Herb Hooper dedicates his entire business efforts to the affairs of Ampersand and its funds.

### **Additional Compensation**

Dr. Hooper receives no additional compensation from any non-client for providing investment advice.

### **Supervision**

Supervision of all Ampersand investment activities is managed jointly by Dr. Charpie and Dr. Hooper.

## **DAVID J. PARKER, 55**

### **Educational Background and Business Experience**

Mr. Parker joined Ampersand in 1994 and has been a General Partner since 2000. David Parker holds a B.A. in Government and Economics from Dartmouth and an M.B.A. from Wharton.

### **Disciplinary Information**

There are no legal or disciplinary events to disclose concerning Mr. Parker.

### **Other Business Activities**

Mr. Parker dedicates his entire business efforts to the affairs of Ampersand and its funds.

### **Additional Compensation**

Mr. Parker receives no additional compensation from any non-client for providing investment advice.

### **Supervision**

Supervision of all Ampersand investment activities is managed jointly by Dr. Charpie and Dr. Hooper.



**JARED J. BARTOK, 38**

**Educational Background and Business Experience**

Mr. Bartok joined Ampersand in 2007 and has been a General Partner since 2012. Jared holds a B.A. in Economic and Computer Sciences from Middlebury College.

**Disciplinary Information**

There are no legal or disciplinary events to disclose concerning Mr. Bartok.

**Other Business Activities**

Jared Bartok dedicates his entire business efforts to the affairs of Ampersand and its funds.

**Additional Compensation**

Mr. Bartok receives no additional compensation from any non-client for providing investment advice.

**Supervision**

Supervision of all Ampersand investment activities is managed jointly by Dr. Charpie and Dr. Hooper.