

## **Tiberius Asset Management AG**

Baarerstrasse 53, 6300 Zug, Switzerland

Phone: +41 41 560 00 81

Fax: +41 41 560 00 82

[www.tiberiusgroup.com](http://www.tiberiusgroup.com)

[info@tiberiusgroup.com](mailto:info@tiberiusgroup.com)

### **Brochure Supplement**

Nicolas Maduz

March 2017

This brochure supplement provides information about Nicolas Maduz that supplements the Tiberius Asset Management AG (“TAM”) brochure. You should have received a copy of that brochure. Please contact [info@tiberiusgroup.com](mailto:info@tiberiusgroup.com) if you did not receive TAM’s brochure or if you have any questions about the contents of this supplement.

Additional information about Nicolas Maduz is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### *Partner and Head of Sales & Marketing of TAM*

**Year of birth:** 1969

**Formal education:**

- Conservatoire National des Arts et Metiers, Paris (France)  
Focus on Banking and Finance  
Diploma: Master
- Innovaco Formation, Paris (France)  
Focus on Computer Database Analyst & Programmer  
Diploma: Certificate
- Institut de Commerce et de Gestion, Paris (France)  
Focus on International Business & Administration  
Diploma: Bachelor

**Business background (preceding 5 years):**

- May 2015 – current: Chief Operating Officer of TAM
- June 2011 – May 2015: Member of the TAM Board
- June 2011 – current: Director of Tiberius Asset Management Ltd. (non-advisory affiliate of TAM)
- June 2011 – May 2015: Head of Sales & Marketing of TAM
- April 2006 – June 2011: Sales & Marketing of TAM  
Director of Tiberius Capital Services S.A.R.L. (former non-advisory affiliate of TAM which was liquidated)

## Item 3 Disciplinary Information

Nicolas has not been the subject of any material legal or disciplinary events required to be disclosed in this Item.

## Item 4 Other Business Activities

Nicolas Maduz, head of Sales & Marketing at TAM, controls one holding company domiciled in Switzerland which holds his stake in TAM and other personal residential property investments.

TAM has no dealings with these either of the holding companies controlled by Nicolas in connection with advisory services provided to clients, does not conduct shared operations or premises, does not refer clients between one another and we have no reason to believe that this relationship creates a conflict of interest with our clients. However for transparency purposes the holding is disclosed here, in the miscellaneous section of Form ADVI and Form ADV Part 2A.

TAM has in place a Best Execution and Fair Allocation Policy which provides for such a potential conflict of interest with specific disclosure and selection procedures. TAM maintains a record of any potential conflicts of interest, including external appointments held by all staff, including Nicolas. This list is updated when necessary and completeness is confirmed on an annual basis. None of the relationships notified to TAM by the individuals concerned create a material conflict of interest between the Firm and its clients or between clients. This is also true of the relationship between Nicolas and the holding company he controls.

### **Item 5 Additional Compensation**

Nicolas receives no economic benefit from anyone other than TAM through the investment advisory services provided to clients.

### **Item 6 Supervision**

Nicolas must log all his client contacts and meetings to the TAM Client Relationship Management database. Nicolas does not perform any asset management functions.

Besides TAM sales activities, TAM Compliance monitors the client related activities of the supervised person by reviewing and pre-approving all sales-related agreements, marketing documents, brochures, publications and due diligence questionnaires. TAM Compliance also holds regular (at least on a quarterly basis) training sessions where supervised persons are trained on local marketing rules as well as on current and upcoming regulatory developments. TAM Compliance also reports on a monthly basis on supervised persons and their client related activities directly to the Board of Directors via its Internal Control System.

TAM is audited by an independent external auditor on an annual basis, which is accredited by FINMA.

Contact details for the TAM key personnel are:

#### **Christoph Eibl (Co-founding Partner and CEO)**

Email: [christoph.eibl@tiberiusgroup.com](mailto:christoph.eibl@tiberiusgroup.com)

#### **Nicolas Maduz (Partner and Head of sales and marketing)**

Email: [nicolas.maduz@tiberiusgroup.com](mailto:nicolas.maduz@tiberiusgroup.com)