



**Form ADV Part 2B – Individual Disclosure Brochure**

**Ryan G. Sullivan**

Investment Adviser Representative

CRD #5715848

Novem Group  
350 Linden Oaks, Suite 140  
Rochester, NY 14625  
(585) 348-9525  
rsullivan@novemgroup.com

Updated: 3/23/2018

*This document provides information about Ryan G. Sullivan that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Ryan G. Sullivan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Personal Information**

Name: Ryan G. Sullivan

Born: 1986

Education: University of Rochester  
BA Financial Economics, 2009

**Business Background (past 5 years)**

4/2017 – Present	Investment Adviser Representative Novem Group
7/2015 – Present	Investment Adviser Representative American Portfolios Advisors, Inc.
3/2015 – Present	Registered Representative American Portfolios Financial Services, Inc.
9/2009 – 3/2015	Registered Representative AXA Advisors, LLC.

**Certifications**

Series 7	General Securities Representative Exam
Series 66	Uniform Combined State Law Exam

**Other Business Activities**

Ryan G. Sullivan is a Registered Representative of American Portfolios Financial Services, Inc., an Investment Adviser Representative of American Portfolios Advisors, Inc., and a licensed insurance agent with APFS Rochester, Inc. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a registered representative or licensed insurance agent.

Ryan G. Sullivan is a joint owner of Black and White Baseball and gives baseball lessons totaling 30 hours per month.

**Additional Compensation**

Ryan G. Sullivan does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

**Supervision**

As an Investment Adviser Representative, Ryan G. Sullivan works closely with his supervisors, Robert J Bartolotta & Amanda Abdoo, and all advice provided to clients and account-related transactions are reviewed by his supervisors. Ryan G. Sullivan adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

**Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.