



Form ADV Part 2B – Individual Disclosure Brochure

Alexander M. Garfield

Investment Adviser Representative
CRD #6606259

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This document provides information about Alexander M. Garfield that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Alexander M. Garfield is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Alexander M. Garfield (Alex Garfield)

Born: 1991

Education: State University of New York at Fredonia
Bachelors of Science in Business Management, 2013

Business Background (past 5 years)

4/2016 – Present	Investment Adviser Representative Novem Group
11/2015 – Present	Asset Management Coordinator Novem Group
1/2015 – 10/2015	National Sales Support Paychex
8/2014 – 2/2015	Contractor/Painter Prism Home Improvement
6/2014 – 8/2014	Assistant Dock Hand Coffee Point Seafoods
2/2014 – 5/2014	Real Estate Prospector VIP Prospecting
10/2013 – 12/2013	Delivery Papa John's
8/2009 – 5/2013	Student SUNY Fredonia

Certifications

Series 65 Uniform Investment Adviser Law Exam

Other Business Activities

Alex Garfield is not involved in any other business activities.

Additional Compensation

Alex Garfield does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Alex Garfield works closely with his supervisors, Robert J Bartolotta & Amanda Abdo, and all advice provided to clients and account-related transactions are reviewed by his supervisors. Alex Garfield adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.