



**Form ADV Part 2B – Individual Disclosure Brochure**

**Anthony L. Simonetti**

Investment Adviser Representative  
CRD #5592155

Novem Group  
350 Linden Oaks, Suite 140  
Rochester, NY 14625  
(585) 348-9525  
asimonetti@novemgroup.com

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*This document provides information about Anthony L. Simonetti that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Anthony L. Simonetti is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Personal Information**

Name: Anthony L. Simonetti

Born: 1986

Education: Providence College  
BS Finance, 2008

**Business Background (past 5 years)**

2/2015 – Present	Investment Adviser Representative Novem Group
11/2014 – Present	Investment Adviser Representative American Portfolios Advisors, Inc.
10/2014 – Present	Registered Representative American Portfolios Financial Services, Inc.
9/2008 – 10/2014	Registered Representative AXA Advisors, LLC

**Certifications**

Series 7	General Securities Representative Exam
Series 66	Uniform Combined State Law Exam

**Other Business Activities**

Anthony L. Simonetti is a Registered Representative of American Portfolios Financial Services, Inc. and a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative.

Anthony L. Simonetti is engaged in business with AM Ginsburg Advisory Group, iTrust Advisors, FIS Marketing LLC., Mass Mutual, and Zenith Marketing for life and health insurance products.

Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed insurance agent.

Anthony L. Simonetti is the President of the Just Breathe Foundation, a charitable organization.

**Additional Compensation**

Anthony L. Simonetti does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

**Supervision**

As an Investment Adviser Representative, Anthony L. Simonetti works closely with his supervisors, Robert J Bartolotta & Amanda Abdoo, and all advice provided to clients and account-related transactions are reviewed by his supervisors. Anthony L. Simonetti adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

**Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.